IDENTIFICATION AND CHARACTERIZATION OF MYCN-EXPRESSING TRIPLE-NEGATIVE BREAST CANCER: IMPLICATIONS FOR THERAPETUIC STRATEGIES

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To my parents and Román

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LIST OF ABBREVIATIONS

| AR | androgen receptor |
|------|--|
| AACR | American Association for Cancer Research |
| ACS | American Cancer Society |
| ADCC | antibody-dependent cellular cytotoxicity |
| AML | acute myeloid leukemia |
| AOD | approved oncology drug |
| ATCC | American Type Culture Collection |
| ATP | adenosine triphosphate |
| BET | bromodomain and extra-terminal motif |
| BETi | bromodomain and extra-terminal motif inhibitor |
| BID | twice a day |
| BL1 | basal-like 1 |
| BL2 | basal-like 2 |
| BRCA | breast invasive carcinoma |
| BRD | bromodomain |
| CCLE | cancer cell line encyclopedia |
| CBR | clinical benefit rate |
| CDC | Centers for Disease Control and Prevention |
| CDK | cyclin-dependent kinase |
| CDX | cell line-derived xenograft |
| CFA | colony formation assay |

| CRPC | castration-resistant prostate cancer |
|--------|--|
| CI | confidence interval |
| CN | copy number |
| СТС | circulating tumor cell |
| CRPC | castration-resistant prostate cancer |
| DAB | 3,3'-Diaminobenzidine |
| DFS | disease free survival |
| DHSR | Digital Histology Shared Resource |
| DMEM | Dulbecco's modified Eagle's medium |
| DNA | deoxyribonucleic acid |
| EDTA | ethylenediaminetetraacetic acid |
| EGFR | epidermal growth factor receptor |
| ERK1/2 | mitogen-activated protein kinase 3/1 (gene: MAPK3/1) |
| EMT | epithelial-to-mesenchymal transition |
| ER | estrogen receptor (gene: ESR1) |
| ERE | estrogen response element |
| FDA | Food and Drug Administration |
| FISH | fluorescence in situ hybridization |
| FFPE | formalin-fixed paraffin-embedded |
| GBM | glioblastoma multiforme |
| GE | gene expression |
| GENIE | genomics evidence neoplasia information exchange |
| GLS | glutaminase |
| | |

| GSEA | gene set enrichment analysis |
|------------------|--|
| HER2 | human epidermal growth factor receptor 2 (gene: ERBB2) |
| HTS | high-throughput screening |
| HR | hormone receptor |
| HR | homologous recombination |
| HRP | horseradish peroxidase |
| HSC | hematopoietic stem cell |
| IACUC | Institutional Care and Use Committee |
| IC ₅₀ | half-maximal inhibitory concentrations |
| iPS | induced pluripotent stem |
| IRB | institutional review board |
| ISB | isotonic swelling buffer |
| IHC | immunohistochemistry |
| IDT | Integrated DNA Technologies |
| IM | immunomodulatory |
| LAR | luminal androgen receptor |
| LCM | laser-capture microscopy |
| LB | ligation buffer |
| Μ | mesenchymal |
| MAPK | mitogen-activated protein kinase |
| MBC | metaplastic breast cancer |
| MEC | mammary epithelial cells |
| MEK1/2 | mitogen-activated protein kinase kinase 1/2 (gene: MAP2K1/2) |

| MEKi | MEK inhibitor |
|----------|--|
| METABRIC | Molecular Taxonomy of Breast Cancer International Consortium |
| mRNA | messenger RNA |
| MTA | material transfer agreement |
| mTOR | mammalian target of rapapmycin |
| MYC | V-Myc avian myelocytomatosis viral oncogene homolog |
| MYCL | V-Myc avian myelocytomatosis viral oncogene homolog 1, lung |
| MYCN | V-Myc avian myelocytomatosis viral oncogene neuroblastoma |
| NAC | neoadjuvant chemotherapy |
| NST | non-special type |
| NB | neuroblastoma |
| NCBI | National Center for Biotechnology Information |
| NCI | National Cancer Institute |
| NEB | New England Biolabs |
| NEPC | neuroendocrine prostate cancer |
| NIH | National Institutes of Health |
| NSG | NOD <i>scid</i> gamma |
| NT | non-targeting |
| NTP | nucleotide triphosphate |
| OR | odds ratio |
| PARP | poly (ADP-ribose) polymerase |
| PCA | principal component analysis |
| pCR | pathologic complete response |

| PI3K | phosphoinositide 3-kinase |
|------------|---|
| PI3Ki | phosphoinositide 3-kinase inhibitor |
| PI3KiR | phosphoinositide 3-kinase inhibitor-resistant |
| PIM1 | pim-1 proto-oncogene, serine/threonine kinase |
| PBS | phosphate-buffered saline |
| PDX | patient-derived xenograft |
| PFS | progression-free survival |
| PK | pharmacokinetic |
| PLK1 | polo-like kinase 1 |
| PMSF | phenylmethylsulfonyl fluoride |
| PTEN | phosphatase and tensin homolog |
| PR | progesterone receptor (gene: PGR) |
| PRO-seq | precision nuclear run-on sequencing |
| QC | quality control |
| QD | every day |
| RNA | ribonucleic acid |
| RNA-seq | RNA-sequencing |
| RNA pol II | RNA polymerase II |
| RPKM | reads per kilobase per million |
| RPPA | reverse phase protein array |
| RT | room temperature |
| RT | reverse transcriptase |
| OS | overall survival |

| scRNA-seq | single-cell RNA sequencing | | |
|-----------|---|--|--|
| SD | standard deviation | | |
| siRNA | small interfering RNA | | |
| SEER | Surveillance, Epidemiology, and End Results | | |
| SEM | standard error mean | | |
| SNP | single nucleotide polymorphism | | |
| SPORE | Specialized Program of Research Excellence | | |
| SPRM | selective progesterone receptor modulators | | |
| TBST | tris-buffered saline plus tween | | |
| TCGA | The Cancer Genome Atlas | | |
| TDLU | terminal ductal lobular unit | | |
| TGI | tumor growth inhibition | | |
| ТКІ | tyrosine kinase inhibitor | | |
| TIL | tumor-infiltrating lymphocytes | | |
| TNBC | triple-negative breast cancer | | |
| ТРМ | transcripts per million | | |
| TPSR | Translational Pathology Shared Resource | | |
| ТМА | tissue microarray | | |
| TSA-IF | tyrosine signal-amplified immunofluorescence | | |
| TTP | Time to Progression | | |
| VANTAGE | Vanderbilt Technologies for Advanced Genomics | | |
| VICC | Vanderbilt-Ingram Cancer Center | | |
| VUMC | Vanderbilt University Medical Center | | |

CHAPTER I

INTRODUCTION

This dissertation focuses on the discovery and preclinical characterization of drug combinations to inhibit the tumor cell growth of a subset of triple-negative breast cancer (TNBC) tumors that aberrantly expresses the transcription factor, MYCN. TNBC, a subtype of breast cancer known for both its heterogeneity and poor prognosis, is characterized by the absence of estrogen receptor (ER) and progesterone receptor (PR) expression and amplification/over-expression of the human epidermal growth factor receptor 2 (HER2) gene (ERBB2). These three receptors currently direct therapeutic strategies for the other breast cancer subtypes. Given the lack of ER, PR, and elevated HER2 levels in TNBC, the standard-of-care for patients with TNBC primarily involves cytotoxic chemotherapy in the primary setting.

Development of strategies to treat TNBC has been challenging due to genetic and epigenetic differences in tumor makeup between patients (intertumoral heterogeneity) as well as between individual cells within a given tumor (intratumoral heterogeneity). Investigators who focus on the study of TNBC have approached these issues by (1) further dividing TNBC into additional subtypes based on gene and protein expression or by specific oncogenic genomic alterations; and (2) evaluating genetic modifications and shifts in cellular composition within tumor cell populations before and after treatment with various chemotherapeutics and targeted therapies. The most prevalent genetic alteration in TNBC involves the mutation or loss of TP53, followed by aberrant *MYC* amplification/overexpression and alterations in genes involved in PI3K pathway signaling.

Results presented in Chapters III and IV focus on the identification and characterization of a subset of tumors that aberrantly express two members of the MYC family (MYC and MYCN) and how tumor expression of these onco-proteins changes in response to leading chemotherapeutics and agents under clinical development.

Strategies to target receptor-positive and triple-negative breast cancer

Breast cancer subtype identification and significance

Breast cancer is the most commonly diagnosed malignancy in females worldwide, accounting for greater than two million diagnoses annually (1). The National Cancer Institute (NCI), American Cancer Society (ACS), and Centers for Disease Control and Prevention (CDC) collaborate yearly to analyze and report cancer incidence and mortality patterns across the United States. The most current report from Surveillance, Epidemiology, and End Results (SEER) states that, among women, breast cancer is the second leading cause of cancer-related deaths across all racial and ethnic groups (2). Although incidence rates of breast cancer in the U.S. have increased approximately 0.4% per year since 2004, mortality rates have been on the decline (2). The latter is due, in part, to the discovery of biomarkers in breast cancer that effectively guide selection of targeted therapies and the ongoing development of new therapeutics.

We have known since the 1930s that aberrant expression of hormones (namely estrogen and progesterone), which are involved in normal mammary gland development, is one of the primary drivers of breast cancer and characterizes a breast cancer subtype that represents about 70-75% of all breast cancer cases (*3*). Screening new breast cancer diagnoses for the expression of nuclear hormone receptors (HRs), ER and PR, through

immunohistochemical (IHC) methods has become routine to guide selection of therapy. Where it was once believed that surgical removal of the ovaries [oophorectomy (source of estrogen and progesterone production)] or breast tissue [lumpectomy (breastconserving, wide local excision of tumor tissue); mastectomy (full removal of a breast)] were the only avenues to disrupt hormone-driven breast cancer, we now know that pharmacological inhibition of ER and associated upstream hormone signaling to ER and PR are both effective methods to inhibit the growth of tumors that express these receptors (3).

In 1987, Slamon and his colleagues described another subtype of breast cancer (4) shortly after the discovery of the ERBB2 gene and its transforming activity by the Weinberg laboratory, which they further characterized by 1984 (5, 6). Overexpression of ERBB2 (encoding the protein HER2) in 10-15% of all breast cancer cases is typically due to amplification of ERBB2. In addition to the assessment of HER2 protein levels through IHC, breast tumors are also frequently screened for ERBB2 gene-amplification through fluorescence *in situ* hybridization (FISH) techniques. Discovery and development of therapeutics against HER2+ disease was a considerable breakthrough in breast cancer research. Once considered a poor prognosis, patients with HER2+ breast cancer now experience prolonged survival after first line therapy (7).

TNBC, representing 15% of breast cancer cases, is a subset of breast cancer that lacks ER and PR expression and HER2 gene-amplification/over-expression; and, is therefore, unresponsive to the leading targeted therapeutics in the breast cancer field. Due to the scarcity of effective targeted therapies for TNBC, standard of care for early stage disease entails the use of cytotoxic chemotherapy. Patients with a TNBC diagnosis

are typically of younger age and experience a worse survival outcome compared to the other breast cancer subtypes. The following sections will explain genomic and morphological differences between the breast cancer subtypes, mechanisms to which effective therapeutics are known to inhibit growth of receptor-positive (ER, PR, and HER2) breast cancer, and current avenues under exploration for the treatment of TNBC.

Standard of care for patients with receptor-positive breast cancer

<u>Hormone receptor-directed therapeutic intervention</u>: While estrogen receptors, ER α and ER β , are expressed at similar levels within normal mammary epithelium, ER α is expressed at higher levels in breast cancer and is the only isoform evaluated in breast cancer biopsies for diagnostic purposes (8). ER α is expressed in the majority of breast cancer and plays a critical role in hormone-regulated breast cancer progression; therefore, therapeutic strategies have been deployed to target ER signaling. Given that estrogen is an essential component of the menstrual cycle and reproduction, tamoxifen, the current leading breast cancer therapeutic, was originally synthesized in the 1960s for contraceptive purposes (9). One of the earliest observations supporting a relationship between breast cancer progression and the ovaries (where estrogen is produced) came from a study that found a reduction in mammary cancer development in high-incidence strains of mice after early oophorectomy (removal of ovaries) (10). Tamoxifen is considered a selective estrogen-receptor modulator (SERM), or estrogen antagonist, and was designed to compete with estrogen for ER binding (Figure 1A) (8, 9, 11). In 1973, tamoxifen was repurposed for the treatment of breast cancer in the United Kingdom and four years later in the United States (9).

Although the clinical application of tamoxifen resulted in decreased ER+ tumor cell growth, mechanisms of inhibition were initially unclear; *in vitro* assays indicated the affinity between tamoxifen and ER was very low (*12*). Discovering that tamoxifen was converted in the liver to 4-hydroxytamoxifen, a molecule with greater than 100 times the affinity to ER than tamoxifen, launched the study of structure-activity relationships of antiestrogens and ultimately led to the development of raloxifene (3-hydroxytamoxifen, another leading clinical estrogen antagonist) and the majority of all current SERMs (Figure 1A) (*9*). Other treatments for ER+ breast cancer include the use of selective ER downregulators (SERDs), a class of steroidal anti-estrogens (e.g. fulvestrant) that causes an ER conformational change and subsequent proteasomal degradation (*13*), and aromatase inhibitors (e.g. exemestane, anastrozole, and letrozole) that prevent the conversion of androgen to estrogen (Figure 1A) (*14*).

ER+ breast cancers, representing the majority of all breast cancer cases (70-75%) (Figure 1A), are largely well-differentiated, less aggressive, and associate with better survival outcomes compared to HER2+ and TNBC subtypes (*15*). Tamoxifen treatment after surgical resection provides a response rate of nearly 70% in premenopausal women as well as substantially reduces the rate of recurrence and mortality by at least 40% and 30%, respectively (*16, 17*). Extending administration of tamoxifen from two to five years will generally prolong time to metastatic recurrence, indicating selective pressure on ER for an extended period of time is an effective measure to control outgrowth of disseminated dormant tumor cells and should be continuously administered beyond five years. Unfortunately, nearly a third of patients will relapse after tamoxifen treatment within

| Α | Leading therapies for receptor-positive BC Drug target | | |
|------------------|---|-----------------------------|--|
| HR+ (70-75%) | Tamoxifen | ER antagonist ^a | |
| | Raloxifene | ER antagonist ^a | |
| | Fulvestrant | ER degradation ^b | |
| | Exemestane | Aromatase inhibitor | |
| | Anastrozole | Aromatase inhibitor | |
| | Letrozole | Aromatase inhibitor | |
| HER2+ (~5%) | Trastuzumab | Extracellular HER2 | |
| | Pertuzumab | Extracellular HER2 | |
| HR+/HER2+ (~10%) | Lapatinib | Intracellular HER2 | |

В

| Therapies for TNBC | | Leading compo | unds Target | Tumor crite | eria FDA-approval |
|--------------------|---------------------------------------|--------------------------|--|--------------------------|---|
| | Chemotherapy | Anthracycline Taxanes | es DNA damage Microtubules | No specif criteria | ic All stages |
| | PARP inhibitor | Olaparib Talazoparib | PARP PARP | germline BRCA1/2-mu | e Advanced or Itant metastatic |
| | Immunotherapy | Atezolizuma | b PD-L1 | PD-L1 expressio | Advanced or n metastatic ⁺ |
| C B BI | TNBC (~15%) BL2 M BL1 Unc | TNBC subtype | Gene Ontology | Mutational enrichment | Drug sensitivity |
| | | BL1 | Cell cycle/DDR | BRCA1/2 | Platinum agents/NAC/ PARP inhibition |
| | | BL2 | MET/EGFR genes and nyoepithelial features | | mTOR, Growth factor receptors |
| | | M tran | NOTCH/TGFß and s-differentiation featu | res re | mTOR, Growth factor eceptors, Src inhibition |
| | | LAR | Luminal androgen receptor signaling | РІКЗСА | AR antagonist/ PI3K inhibition |
| | | IM* | Immune signaling | | Chemotherapy/ Immunotherapy |

Figure 1. Therapeutic strategies to target HR+ and triple-negative breast cancer. (A) Leading therapies and corresponding drug targets to treat receptor-positive (HR+ and HER2+) breast cancer (BC). a, selective estrogen receptor modulator. b, selective estrogen receptor downregulator. (B) Leading therapies and corresponding drug targets, along with stage and molecular tumor criteria, to treat triple negative breast cancer (TNBC). †Unresectable. (C) Gene ontology, mutational enrichment, and drug sensitivity for the four TNBCtype-4 subtypes [basal-like 1 (BL1), basal-like 2 (BL2), mesenchymal (M), and luminal androgen receptor (LAR)]. Unc, unclassified. *, tumors with immunomodulatory (IM) gene expression from presence of tumor infiltrating lymphocytes (TILs).

15 years; however, second-line therapeutic options, such as SERDs and aromatase inhibitors, are generally effective to treat tamoxifen-resistant disease.

Since ER is involved in transcription of PR, breast cancer expressing both receptors typically respond well to endocrine therapies. However, individual hormone receptor expressing tumors, ER+/PR- versus ER-/PR+, represent approximately 15% and 3% of all breast cancer, respectively, and have been determined as separate distinct types of cancer in terms of biological features and prognosis (*17*). ER+/PR- tumors more frequently affect elderly, postmenopausal women, are classified as ductal or unspecified carcinomas, and are associated with a better prognosis compared to ER-/PR+ expressing tumors (*17*, *18*). ER-/PR+ tumors, on the other hand, affect younger, premenopausal women and are often associated with biomarkers of poor prognosis, such as basal cytokeratins and reduced E-cadherin expression; counterintuitively, patients with dual positive (ER+/PR+) breast cancer demonstrate a better overall survival (OS) than patients that express either receptor alone (*17*).

Despite the understanding that PR signaling is a major component of breast cancer progression, drug development has primarily focused on disrupting ER signaling. The disproportional effort is due, at least in part, to severe clinical side effects (liver toxicity) from first line PR antagonists [selective progesterone receptor modulators (SPRMs)] generated in the 1960s (*19*). Although less toxic newer generation SPRMs are currently under investigation, additional avenues of PR-mediated signaling are being developed that target downstream effectors involved in paracrine signaling (WNT4 and RANKL) or proliferation (cyclin D1) that promote breast carcinogenesis (*19–21*). These therapeutics

are suspected to benefit patients with ER-/PR+ tumors or with expression of both receptors that are unresponsive to endocrine therapies designed to target ER signaling.

<u>HER2-directed targeted therapies</u>: HR+ and HER2+ tumors are not mutually exclusive; approximately 10% of breast cancers are both HR+ and HER2+ and 5% are HER2+ only (Figure 1A). Unlike the nuclear HRs, HER2 is a transmembrane protein with no known direct activating ligand; instead, HER2-mediated growth factor signaling is initiated at the plasma membrane after homo- or heterodimerization with related family members, HER3, HER4, or epidermal growth factor receptor (EGFR/HER1), another frequently amplified receptor in cancer (*22*). After dimerization, catalytic activity within the cytoplasmic domain of the receptors results in autophosphorylation of tyrosine residues and initiates various growth stimulating pathways including phosphatidylinositol-4,5-bisphosphate 3-kinase (PI3K), mitogen-activated protein kinase (MAPK), and protein kinase C (PKC) signaling (*22, 23*). HER2 overexpression is generally a result of enhanced transcription and/or amplification of the ERBB2 gene.

The development of HER2-targeted therapies has been one of the most productive areas of drug development for breast cancer over the last three decades. Upon the discovery of HER2 overexpression in breast tumors, two main approaches were taken to target HER2+ breast cancer. The first involved the use of monoclonal antibodies designed to bind to the extracellular domain of HER2, and the second entailed the use of tyrosine kinase inhibitors that bind to the intracellular domain of HER2. Trastuzumab and pertuzumab are the two leading Food and Drug Administration (FDA)-approved monoclonal antibodies to treat patients with HER2+ breast cancer (Figure 1A). Both

therapies induce antibody-dependent cellular cytotoxicity (ADCC), recruitment of immune innate effector cells, and immune cell Fc receptor-dependent tumor cell lysis (24). Further, HER2 antibody-drug conjugates, such as trastuzumab-emtansine (T-DM1), that utilize antibody-mediated delivery of potent toxins to HER2+ tumor cells, are also under clinical development (24) (Figure 1A). Currently, trastuzumab in combination with the microtubule inhibitor, paclitaxel, is the current standard of care for patients with treatment-naïve HER2+ breast cancer (25). Lapatinib, neratinib, and afatinib are examples of a class of oral receptor tyrosine kinase inhibitors (TKIs) that compete with adenosine triphosphate (ATP) binding to prevent auto-phosphorylation and inhibit subsequent activation of growth-stimulating signal transduction pathways (Figure 1A) (24). The use of TKIs result in decreased cell proliferation and induction of apoptosis; however, they are generally suggested as a treatment for later stage disease once tumors have stopped responding to the various anti-HER2 monoclonal antibodies in combination with chemotherapy.

Although IHC and FISH are the two clinically-developed methods for identification of HER2+ breast cancer, overexpression of HER2 can also be identified through RNAbased methods such as RNA-sequencing and quantitative reverse transcription polymerase-chain reaction (qRT-PCR); however, these techniques have been primarily used in preclinical studies or to evaluate changes in gene expression after treatment in clinical samples (23). The magnitude of benefit between expression levels and HER2targeted therapies in primary and metastatic breast cancer has been extensively studied. The greatest correlation between HER2 levels and response to HER2-targeted therapies have been in the neoadjuvant setting (treating patients prior to surgical resection) (26, 27). No association with disease-free survival (DFS) nor OS could be made in the

adjuvant setting (treating patients after surgical resection and/or radiation therapy) (28). Studies evaluating response to HER-targeted therapies in the metastatic setting were variable. While patients with tumors harboring elevated HER2 mRNA levels responded well to targeted treatment, patients with lower HER2-expressing tumors also exhibited clinical benefit (29).

Around 10-15% of patients that present with early stage HER2+ breast cancer will experience disease recurrence after the first round of anti-HER2 treatment (23). Known mechanisms of resistance to monoclonal antibody-mediated therapies include steric hindrance of the HER2 receptor itself (e.g. activating truncations and kinase domain mutations), expression of alternative receptors (e.g. HER1, HER3, IGF-1R, and VEGFR), and activation of downstream effectors (e.g. development of PIK3CA mutations, PTEN loss, or mTOR activation) (30). The discovery of resistance mechanisms to HER2targeted therapy have led to the initiation of various clinical trials to investigate the integration of agents that target the other HER2 family members, the PI3K pathway, or mTOR signaling in combination with anti-HER2 treatment. Currently, patients with metastatic HER2+ breast cancer have a median survival comparable to HR+ breast cancer with a life expectancy of more than 4.5 years (compared to 1.5 years achieved 18 years ago); unfortunately, metastatic breast cancer is not considered curable, so across every breast cancer subtype, treatment goals for metastatic disease involve prolonging survival and improving quality of life as much as possible (25).

Standard of care for patients with TNBC

As previously mentioned, TNBC represents ~15% of all breast cancer; however, the subtype also accounts for ~26% of all locally-advanced disease (*31*) and ~25% of all breast cancer-related deaths (*32*). TNBC affects women of younger age (<40 years) compared to the other breast cancer subtypes and is enriched for women of Africandecent (*33*). The clinical definition for what constitutes negativity for ER, PR, and HER2 expression has varied over the decades, namely the definition of ER/PR positivity. Currently, positivity greater than or equal to one percent for either ER or PR by IHC defines criteria for assigning an ER+/PR+ breast cancer classification by the American Society of Clinical Oncology (*34*). HER2 positivity is defined by the presence of 3+ IHC levels and/or *ERBB2* gene amplifications greater than 2.0 by FISH (*35*). Therefore, the now universal pathology screening assay-based definition for TNBC is zero for ER/PR expression and \leq 1+ for HER2 expression by IHC, and the lack of *ERBB2* gene amplifications by FISH.

<u>Subtype-specific clinical features</u>: A description for what this subtype lacks, rather than what it contains, provides a misconception that tumors within the TNBC subtype are of similar biology. TNBC tumors are actually a composite of breast cancers with numerous histological variants that are both genetically and morphologically distinct, making the development of universal therapy for TNBC extremely difficult. The majority of TNBC tumors are invasive ductal carcinomas that frequently present with elevated EGFR and/or keratin 5/6 expression; however, the TNBC subtype also contains relatively rare histotypes, including medullary, metaplastic, adenoid cystic, and apocrine carcinomas

(36). MBC represents 0.5-5.0% of all invasive breast cancers and are characterized by a differentiation of neoplastic epithelium into squamous and/or mesenchymal elements (i.e. chondroid, spindle, rhabdoid, or osseous cells) entirely or in admixture with glandular components (37). Although MBCs present with a wide range of histological appearances, they are perceived clinically as a single subtype that is typically recalcitrant to chemotherapy and associated with a poor prognosis (38)

Aside from differing in gene expression and presenting with unique histological elements, additional attributes distinguish TNBC from the other breast cancer subtypes, including aberrant multimodality imaging features at diagnosis in the primary setting. These include spiculated margins, irregular mass shape, and low levels of calcification (*31*). Calcium deposition is the primary means to detect tumors using mammographic methods; therefore, despite the relatively large size at diagnosis, nearly 20% of TNBC are occult upon initial mammographic imaging, indicating mammography alone is a suboptimal tool for initial diagnostic evaluation (*31*). Combining mammography with ultrasonographic assessment has been shown to enhance detection levels to 92-100% of TNBC tumors. Further, given the increased sensitivity of magnetic resonance imaging (MRI), institutions are implementing this technology as a screening tool, alongside biopsy evaluation, for patients that are at high risk for developing breast cancer (i.e. patients with a family history of breast cancer or that carry BRCA1/2 mutations, discussed below) (*31*).

The current standard of care for patients with early stage TNBC remains systemic cytotoxic chemotherapy, primarily through use of anthracyclines (DNA-damaging agents) and taxanes (microtubule inhibitors, Figure 1B). Compared to the receptor-positive breast cancer subtypes, patients with TNBC display the highest rates of pathologic complete

response (pCR, no observable disease in breast and regional lymph nodes after treatment) after neoadjuvant chemotherapy (39, 40). A pCR for patients with TNBC is associated with infrequent relapse and favorable long-term clinical outcomes; however, patients with residual disease experience progression and a poor prognosis (39, 40). To further elucidate the natural history and clinical behavior between breast cancer subtypes, Dent et al. analyzed the clinical correlates of TNBC versus receptor-positive breast cancer for 1,601 patients diagnosed with early-stage disease over a ten-year time span (32, 41). Similar to previous studies, patients with TNBC were of younger mean age (53.0 versus 57.7, p<0.0001) and presented with tumors that were of higher grade (grade III: 66% versus 28%, p<0.0001), larger in size (>2cm: 63.5% versus 37.3%, p<0.0001), and associated with a higher lymph node positivity (54.6% versus 45.6%, p<0.02). While size of receptor-positive tumors correlated with lymph node positivity (p<0.0001), no correlation was found for patients with TNBC; at least one positive lymph node was found in 55% of patients with tumors ≤ 1 cm (32). These analyses illustrated patients with TNBC had an increased likelihood of distant recurrence [hazard ration (HR) 2.6, p<0.0001] and death (HR 3.2, p<0.001) compared to patients with receptor-positive breast cancer. The average time to recurrence peaked at three years for patients with TNBC; whereas, receptor-positive breast cancer patients exhibited a constant rate of recurrence over time (32). Visceral relapses, including lung and brain metastases, are more prevalent in patients with TNBC, whereas patients with HR+ and HER2+ disease recur more often to the bone and liver, respectively (42-44).

Genomic alterations and biomarker-directed therapies: The most highly mutated gene in TNBC, representing ~80% of cases, is the tumor suppressor *TP53* (encoding protein p53), a critical regulator of genome maintenance that functions to regulate the cell cycle and prevent adverse effects from DNA damage (45, 46). To ensure proper genomic fidelity, activated p53 will initiate growth arrest to repair DNA lesions, or if DNA damage is too severe, induce an apoptotic cell death (45, 47). Hotspot mutations that occur in the DNA binding domain of *TP53* result in a loss of p53 transcription factor activity and DNA damage-induced cell cycle checkpoint control, which allows for the accumulation of *TP53* can lead to Li-Fraumeni syndrome, a rare autosomal-dominant hereditary disorder, that ultimately results in a 80-100% risk of females developing cancer in their lifetime (48, 49). While the association between inactivated p53 and cancer was discovered in the 1970s, it still remains an active area in cancer research.

BRCA1 and *BRCA2* are well-characterized tumor suppressors that are directly involved in the homologous recombination (HR)-mediated repair of double-stranded breaks (50). Individuals carrying germline mutations in *BRCA1/2* face a 60-85% risk of developing breast cancer in their lifetime (51). The majority (~75%) of all tumors that harbor a *BRCA1/2* mutation are TNBC, representing ~15% of the TNBC tumor population (52). Regardless of whether a *BRCA1/2* mutation is hereditary or sporadic, a mutation in one copy of either gene can lead to defects in HR-mediated DNA repair and thereby sensitize tumor cells to damage by DNA crosslinking agents, such as platinum salts (e.g. cisplatin and carboplatin) (53, 54). Further, phenotypic and molecular characteristics of *BRCA1*-mutant cancers have been found in TNBC tumors without *BRCA* gene mutations.

These tumors are hypothesized to have "*BRCA*ness" through epigenetic silencing of *BRCA* expression or defects in HR through unknown variants and be responsive to therapies that demonstrate efficacy in *BRCA1/2*-mutant cancers (55).

Despite only consisting of ten patients with BRCA1 germline mutations, results from a proof-of-concept study brought tremendous excitement to the breast cancer research field; nine of the ten patients (90%) achieved a pCR after four cycles of singleagent neoadjuvant cisplatin (56). As a result, clinical trials in both the primary (57-59) and metastatic (60-62) settings were initiated, with the majority of trials reporting promising results in favor of adding platinum agents to standard-of-care chemotherapy. For example, in the GeparSixto randomized phase II neoadjuvant trial, 315 patients with primary TNBC were treated with paclitaxel, liposomal doxorubicin, and bevacizumab, with or without weekly carboplatin (58). Patients treated with the addition of carboplatin achieved a higher pCR (53.2% versus 36.9%, p=0.005) (58). In a randomized phase II study, 53 patients with metastatic TNBC were treated with docetaxel combined with either cisplatin or capecitabine in the first-line setting (60). Patients that received cisplatin achieved a better overall response rate (63.0% versus 15.4%, p=0.001) and progressionfree survival (PFS, 10.9 versus 4.8 months, p≤0.001) (60). In contrast, the Triple Negative Breast Cancer Trial (TNT), a large randomized phase III trial based in the U.K., treated 376 TNBC patients with either carboplatin or docetaxel monotherapy as first-line treatment with crossover on progression (62). No difference was found with initial treatments or after crossover regimens; however, when evaluating benefit in select populations, patients with a germline BRCA1 mutation had double the objective response rate when treated with carboplatin (68% versus 33%, p=0.01) (62). Of note, a benefit was

not observed for patients with tumors expressing low *BRCA1* mRNA or exhibiting "BRCAness" using the Myriad HRD assay (62).

Another approach to target BRCA1/2-mutant tumors was discovered in 2005 when two independent groups determined BRCA1/2-mutant tumors were hypersensitive to PARP inhibition (63, 64). PARP is another protein involved in DNA double-strand break repair and is able to compensate for the loss-of-function phenotype observed in BRCA1/2-mutant cancers. The combined lethal effect of two genetic variations that are otherwise nonlethal in isolation is referred to as "synthetic lethality" and has been attributed to the efficacy of dual BRCA and PARP inactivation (65). With promising preliminary results from phase II trials for patients with TNBC in the primary and metastatic setting (66, 67), a large randomized phase III trial (OlympiaD) was initiated to evaluate olaparib, a PARP inhibitor (PARPi), as a monotherapy compared to standardof-care treatment in germline BRCA-mutant HER2-negative metastatic breast cancers. Patients treated with PARPi had a greater response rate (59.9% versus 28.8%), lower grade III adverse events (36.6% versus 50.5%), and lower rates of discontinuation due to toxicity (4.9% versus 7.7%) (68). In response to results from the OlympiaD trial, olaparib became the first FDA-approved targeted therapy for TNBC. Olaparib is approved for women with germline BRCA-mutant metastatic HER2-negative breast cancer (Figure 1B) (69). Current efforts are focused on improving patient response by combining a PARPi with platinum agents and other chemotherapeutics for early-stage and metastatic BRCAmutant cancers (70, 71). Dosing strategies for PARPi and platinum agents still need to be optimized to ensure compounds added to chemotherapeutic regimens do not lead to increased rates of discontinuation or toxicity-induced adverse events (72). Preclinical efforts in *BRCA*-proficient tumors indicate PI3K, CDK1, HDAC, EGFR, ATM, and AR inhibitors are synthetic lethal with PARPi by inducing "BRCAness;" and thus, these combinations are also being evaluated clinically *(69)*.

Immunotherapy and immunoconjugates: The advent of immunotherapy has revolutionized the landscape of translational cancer research over the past decade. In normal physiology, the immune system performs a process called tumor immune surveillance where immune cells, such as CD8+ T-cells, recognize tumor-associated antigens and attack tumor cells (73). When present in an inflammatory environment, activated T-cells, B-cells, natural killer cells, and other lymphocytes express an inhibitory receptor called PD-1 (programmed cell death protein 1) (73, 74). Immune cells will become inactivated when PD-1 binds to its ligands, PD-L1 and PD-L2, which are normally expressed on the cells surface of T-cells and antigen-presenting cells (75, 76). To escape immune surveillance, many tumor cells develop the ability to express PD-L1 and thus evade immune cell detection and activity (73). A similar tumor cell-mediated deactivation of the immune system is carried out through tumor cell expression of the ligand, CTLA-4 (cytotoxic T-lymphocyte-associated antigen 4) (77). Discovery of these interactions has led to the development of monoclonal antibodies designed against either the receptor or ligand to block the interaction and allow for immune cells to recognize the tumor cells as pathogenic.

High levels of tumor-infiltrating lymphocytes (TILs) are associated with ERnegativity, higher-grade tumors, poor-prognostic clinicopathological features, higher proliferation rates, and lymph node positivity (78–81). These features generally associate

with advanced stage disease and a worse prognosis (82). Counterintuitively, high TIL levels are associated with a superior response to NAC treatment and are predictive of pCR rates, DFS, and a greater OS (83–85). For example, specimens analyzed from two large neoadjuvant clinical trials (GeparDuo and GeparTrio) demonstrated that patients with lymphocyte-predominant breast cancer (>60% stroma or tumor infiltration) had a higher pCR rate compared to patients with no TILs (GeparDuo: 41.7% versus 2.8%, p=0.012; GeparTrio: 40.0% versus 7.2%, p=0.001) (85). Efficacy of NAC in TIL-rich tumors suggest that the immune component plays a substantial role in the response to NAC.

Immunotherapy, in the form of checkpoint inhibitors, first demonstrated substantial improvements in patient care when used to treat patients with metastatic melanoma. Until a phase III clinical trial evaluating antibodies designed against CTLA-4, no therapy had shown an improvement in overall survival for patients with metastatic melanoma in any phase III, randomized, controlled trial (*86*). This study demonstrated the addition of anti-CTLA-4 (ipilimumab) alone, or in combination with a gp100 peptide vaccine, improved median OS (ipilimumab alone versus gp100 alone: 10.1 versus 6.4 months, HR 0.66, p=0.003; ipilimumab plus gp100 versus gp100 alone: 10.0 versus 6.4 months, HR 0.68, p<0.001) (*87*). A randomized, controlled, phase III study for advanced melanoma designed to compare anti-PD-1 (pembrolizumab) to anti-CTLA-4 (ipilimumab) determined that treatment with pembrolizumab resulted in superior response rates (32.9% versus 11.9%, p=<0.001), 6-month PFS rates (46.4% versus 26.5%, HR 0.58, p<0.001), and 12-month OS rates (68.4% versus 58.2%, HR 0.69, p=0.0036) after 3-week treatments of either compound (*88*). Further, patients treated with pembrolizumab had less high-grade
toxicity than those treated with ipilimumab (10.1% versus 19.9%) (88). Treatment with pembrolizumab every 2 weeks further improved efficacy in all categories but also resulted in elevated rates of high-grade toxicity (13.3%) (88). Tumor immunotherapy is now being evaluated in nearly all tissue types, alone or in combination with other forms of treatment, with the goal of increasing tumor-associated antigens and associated immunogenicity.

A randomized phase III trial (IMpassion130) evaluating patients with unresectable, locally-advanced or metastatic TNBC treated with anti-PD-L1 (atezolizumab) plus nab-paclitaxel versus placebo plus nab-paclitaxel demonstrated that the addition of atezolizumab resulted in superior PFS (7.2 versus 5.5 months, HR 0.80, p=0.002) and OS (21.3 versus 17.6 months, HR 0.84, p=0.08), with greater differential efficacy when patients were selected based on high PD-L1 expression (PFS: 7.5 versus 5.0 months, HR 0.62, p<0.001; OS: 25.0 versus 15.5 months, HR 0.62) (89). Results from Impassion130 led to the first FDA approval for immunotherapy in breast cancer; atezolizumab is approved for patients with unresectable, locally advanced or metastatic TNBC that are positive for PD-L1 by IHC (Figure 1B). Understanding the relevance of immune targets in disease etiology has brought to light a new era of therapeutic strategies and the continued exploration of checkpoint inhibitors, immune antagonists, and cancer-related vaccines.

TNBC subtypes and corresponding gene expression

Technological advances in the epigenetic analysis of tumors have enabled further insight to transcriptional programs and signal transduction pathways that govern the biological differences between tumors. Through use of complementary DNA microarrays

on mRNA from 84 experimental tumor samples, Perou and colleagues published a landmark study in 2000 demonstrating that expression patterns could be grouped through use of unsupervised hierarchical clustering to identify discrete intrinsic subtypes of breast cancer (90). A subset of 496 genes (termed the 'intrinsic' gene subset) had considerable variation between tumors and could be used to reproducibly classify tumors into individual subtypes: normal-like, luminal A, luminal B, HER2+/ER-, and basal-like (90, 91). Further, tumors classified as luminal stained positive for luminal markers (keratin 8/18), and basallike tumors stained positive for basal/myoepithelial markers (keratin 5/6) by IHC (90). Of importance, HR and HER2 expression status was one of the driving factors that dictated clustering [ER and/or PR expression in luminal A, luminal B, and 'normal-like' subtypes; elevated HER2 expression in Luminal B and HER2+ subtypes; and the lack of ER, PR, and elevated HER2 expression (aka triple-negative) in the basal-like subtype] and could therefore be joined with histopathological assessments to direct clinical prognoses and treatment decisions (90). Similar to previously identified histological subtype correlations with TNBC, patients with basal-like breast cancer represented a higher proportion of premenopausal women from African descent, and along with the HER2+ subtype, had the shortest survival outcomes (91).

Subsequent studies identified a subset of unique tumors, termed "claudin-low", that were characterized by low expression of *Claudin 3, 4,* and 7, as well as *Occludin* and *E-cadherin (92, 93)*. They also exhibit low expression of luminal genes, have inconsistent basal gene expression, and highly express lymphocyte and endothelial cell markers *(92, 93)*. Prat *et al.* report that claudin-low tumors were enriched for EMT markers, cancer stem cell-like features, and immune response genes *(93)*. Importantly, these tumors were

identified clinically as TNBC with metaplastic and medullary differentiation, further highlighting the heterogeneous nature of TNBC biology through gene expression studies (93). Collectively, nearly all "basal-like" breast cancers, as identified through the 496 intrinsic gene subset, are classified as TNBC; however, only 50-80% of TNBC tumors, as identified through clinical IHC assessment, are considered to have the intrinsic basal subtype (94–96). These data highlighted the basic nature of TNBC subtype classification and signified the necessity to refine and classify TNBC tumors based on transcriptional profiling.

To further characterize the spectrum of gene expression across TNBC, Pietenpol and colleagues analyzed gene expression in 587 TNBC tumors from 21 Affymetrix datasets that were identified through a bimodal expression filter to exclude ER+, PR+, and HER2+ cases (97). Cumulative expression was renormalized and subjected to kmeans clustering to determine the most differentially expressed genes and identify stable clusters; the six resulting groups [basal-like 1 (BL1), basal-like 2 (BL2), immunomodulatory (IM), mesenchymal (M), mesenchymal stem-like (MSL), and luminal androgen receptor (LAR)] demonstrated vastly different gene expression profiles, gene ontologies, and mutational enrichments (97). Briefly, the BL1 and BL2 subtypes were enriched in genes known to regulate the cell cycle and DNA replication. The BL2 subtype expressed genes involved in EGF/MET/WNT β-catenin pathways. The IM subtype exhibited high expression of genes involved in immune-cell signaling, the M and MSL subtypes were enriched for extracellular matrix (ECM) and EMT-related genes, and the LAR subtype demonstrated gene expression associated with androgen receptor signaling (Figure 1C) (97, 98).

Given the growing focus on TILs and the advent of immune therapy, focus was given to determining if immune and stromal cells within the tumor microenvironment contributed to the TNBC subtype classifications. By performing laser-capture microscopy (LCM) on stromal-rich TNBC tumors and sequencing RNA isolated from cells within the stroma and tumor compartments separately, the Pietenpol Lab determined that IM and MSL subtypes were impacted by tumor-infiltrating immune and associated stromal cells, respectively; therefore, TNBC subtype classifications were refined to four subtypes (BL1, BL2, M, and LAR) and termed TNBCtype-4 (Figure 1C) *(98)*. To determine the significance of TNBC subtyping, retrospective analyses on TNBC gene expression from clinical trials were performed. Genomic alterations, clinical behavior, and sensitivity to therapeutic agents associated with TNBCtype-4 subtype correlations, and how these results could contribute to future clinical trial design, are described in the sections below.

TNBC subtype-specific therapies

<u>TNBC subtypes and neoadjuvant chemotherapy</u>: As previously mentioned, *BRCA1/2* mutations have long been associated with basal-like breast cancer and increased sensitivity to DNA-damaging agents such as cisplatin and other platinum-based agents. Similarly, a higher frequency of *BRCA1/2* mutations were found in BL1-subtype TNBC cell line models compared to the other TNBC subtypes and demonstrated increased sensitivity to cisplatin both *in vitro* and *in vivo* (Figure 1C) (97). By performing retrospective analyses on TNBC tumors from The Cancer Genome Atlas (TCGA) and several clinical trials, TNBCtype-4 correlates were able to further delineate the clinical, histological, and genomic differences between subtypes and determine the predictive

value of each subtype with response to neoadjuvant chemotherapy. Consistent with previous studies that associate high grade TNBC with increased immune infiltrate and an improved overall patient survival (83–85), BL1-subtype tumors were of the highest grade, contained the most immune infiltrate, and when treated with neoadjuvant A-T (adriamycin and taxanes) (99), achieved a significantly higher pCR compared to the other subtypes (49% versus 31%, p=0.0441) (98). Similar results were found after patients with TNBC were treated with neoadjuvant AC-T (A-T plus cyclophosphamide) (99); BL1-subtype tumors displayed the highest pCR (49%) and best long-term RFS (72%) seven years post-treatment (98). In contrast, tumors stratified to the BL2 and LAR subtypes demonstrated the least favorable clinical outcomes. To determine the likeliness that a patient with a specific TNBC subtype would respond to NAC, an odds ratio (OR) was calculated for each subtype (BL1-OR: 1.44, BL2-OR: 0.44, M-OR: 1.21, LAR-OR: 0.81), with the highest values indicating increased odds of an achieved pCR (98). These data further characterize the clinical characteristics of TNBC tumors grouped according to gene expression similarities and demonstrate an association between the BL1 subtype and an increased response to NAC (Figure 1C), a result that could aid in future clinical trial design by stratifying patients more likely to respond to NAC.

<u>Androgen receptor signaling</u>: The androgen receptor (AR) is a hormone receptor reliant on testosterone for signaling and has been studied as a therapeutic target for ARdependent prostate cancer for decades. With prospects of reappropriating antiandrogenic therapies, the discovery that a subset of TNBC (~16%) express genes involved in AR signaling created tremendous excitement in the breast cancer research field, especially

since LAR-subtype TNBC tumors have a poor response to NAC (98–100). Counterintuitively, patients with AR-expressing TNBC generally experience more favorable survival outcomes compared to those harboring AR-negative tumors (101). This is due to the lower overall mitotic score, histological grade, and clinical stage typically detected at diagnosis, and could explain why chemotherapy is less effective for this subtype (101).

Initial efforts to target AR-expressing TNBC using first generation antiandrogens, such as bicalutamide, have been promising. By assessing differential sensitivity to bicalutamide across a panel of TNBC cell lines, LAR-subtype cells demonstrated a statistically significant increased sensitivity to bicalutamide compared to lines without AR expression (Figure 1C) (97); however, only a six month clinical benefit rate (CBR) of 19% and a median progression-free survival of 12 weeks (95% confidence interval (CI), 11-22 weeks) was achieved with bicalutamide in patients with metastatic AR-expressing TNBC (TBCRC011) (102). Two large, randomized, phase II trials evaluating patients with prostate cancer confirmed superior efficacy with a second generation AR-antagonist, enzalutamide, compared to bicalutamide (103, 104). Similarly, a greater CBR (28%, 95% CI, 19% to 39%) to enzalutamide was achieved at six months in patients with AR-expressing TNBC in a phase II clinical trial (NCT01889238) (102).

With the goal of developing effective drug combinations and improving the CBR of AR-antagonists for patients with LAR-subtype TNBC, I started my graduate studies in the Pietenpol Lab working alongside Brian D. Lehmann, Ph.D. Mutational analysis of clinical samples demonstrated an enrichment of *PIK3CA* activating mutations within AR-expressing TNBC (40%) compared to AR-negative TNBC (4%) (105) (Figure 1C).

Similarly, all four LAR-subtype TNBC cell lines contained activating *PIK3CA* mutations compared to 6% found in the other TNBC subtypes (97, 105). *PIK3CA* and *PTEN* are among the most highly mutated genes in TNBC (106). Mutations within the regulatory (E542) and kinase (H1047) domains of PI3K α , the protein product of *PIK3CA*, and loss-of-function mutations or chromosomal deletions of *PTEN*, are known to result in constitutive oncogenic phosphatidylinositol-3 kinase (PI3K) signaling and therefore, increased protein translation and tumor cell growth (107). Reverse phase protein arrays (RPPA), IHC, and Western analyses confirmed co-occurrence of AR expression with markers of activated PI3K pathway signaling (phospho-AKT and phospho-S6) (105).

Importantly, combined pharmacological inhibition of AR and PI3K resulted in an additive or synergistic decrease in cell growth across every LAR-subtype cell line tested, *in vitro* and *in vivo*, using adherent and nonadherent cell viability assays and cell line-derived xenograft (CDX) animal studies, respectively (105). Preclinical studies evaluating PTEN-deficient prostate cancer, and now LAR-subtype TNBC, demonstrate a greater reduction in viability after combined AR and PI3K inhibition compared to treatment with either inhibitor alone (105, 108). Currently, enzalutamide in combination with the PI3K inhibitor, BYL719, is being evaluated clinically for AR-expressing metastatic breast cancer (NCT03207529).

<u>Immunotherapy for IM-subtype TNBC</u>: As previously discussed, initial gene expression analyses from whole TNBC tumor sections identified a subset of specimens with immune gene ontology (97). While the IM gene signature is no longer classified as a TNBC subtype, it remains a descriptor that highlights tumor populations enriched for TILs and

high immune-related gene expression, including *PD-L1*, *PD-1*, and *CTLA-4* (97, 98). Consistent with other studies, tumors displaying an IM gene signature associated with increased RFS and distant metastasis-free survival compared to tumors without IM association (97). Of significance, the anti-PD-1 monoclonal antibody, pembrolizumab, was reported to have clinical activity in a patient with advanced-stage BL1-subtype TNBC with IM gene ontology (BL1/IM) and no expression of the PD-1 ligand (PD-L1) (Figure 1C) (109, 110). This case study indicates that the IM gene signature could be a surrogate biomarker to identify a patient population responsive to checkpoint inhibitors who would not be identified through conventions IHC analyses of their tumors.

Additional high-frequency alterations in TNBC

Genomic instability in TNBC has also been characterized by elevated mutational burdens, complex structural rearrangements, and high-frequency CN alterations (46, 111). Additional genetic alterations found in DNA-repair and cell-cycle genes include *RB1*, *ATM*, *CHEK2*, *CCNE1*, *RAD51C*, *BRIP1*, and *NBN* (106, 112, 113). Significant CN alterations include amplifications in *MYC*, *PIK3CA*, *KRAS*, *BRAF*, *EGFR*, and *CCNE1* and deletions in *TP53*, *RB1*, *PTEN*, *INPP4B*, and *MAP2K4*, many of which lead to activation of oncogenic pathways and could be targeted therapeutically (113). Of significance, *MYC* alterations occur in ~30% of ER-negative breast cancer with resulting *MYC* levels disproportionately elevated in TNBC compared to the other breast cancer subtypes (46, 114). MYC drives tumorigenesis by increasing the transcription of genes involved in numerous oncogenic signal transduction pathways (115). Given the focus of my research and results presented in Chapter III and IV on MYC and MYCN expression

in TNBC, the next section will review MYC proteins in tumorigenesis and the development of therapeutic strategies to target the MYC family.

MYC-family isoform function in normal physiology and tumorigenesis

Tissue specific MYC-family isoform expression

The MYC family of proto-oncogenes includes three isoform paralogs, *MYC*, *MYCN*, and *MYCL*, encoded by proteins MYC, MYCN, and MYCL, respectively. These isoforms comprise one of the most extensively studied gene families due to their critical role in vertebrate development and oncogenesis. Their function primarily involves the transcriptional regulation of genes representing at least 15% of the human genome; genes that encode proteins involved in ribosome biogenesis, metabolism, protein translation, and cell cycle progression, and orchestrate a broad range of biological processes such as differentiation, cell proliferation, survival, and immune surveillance *(116, 117)*. Since the >50% of all human cancers that exhibit deregulated MYC-family isoform expression associate with unfavorable clinical prognoses and patient outcomes, targeting the MYC family in tumor development has been a highly sought-after therapeutic strategy *(118)*.

MYC family members are thought to function similarly but differ with respect to tissue-specificity, with each isoform having unique spatial and temporal tissue-specific expression patterns necessary for proper organogenesis in a developing mammal *(119–122)*. While *MYC* expression is generally ubiquitously expressed during murine embryogenesis, *MYCN* expression is primarily restricted to the brain, kidney, intestine, and pre-B-cells, and *MYCL* expression is primarily restricted to the brain, kidney, and lung

(123). Overexpression of each isoform is thought to result in tumors that are of similar tissue origin. Elevated *MYC* levels lead to a broad spectrum of blood-borne malignancies and solid tumors across numerous tissue types, *MYCN* overexpression results in tumors of neural or neuroendocrine origin, and high *MYCL* expression generates small-cell lung carcinomas (124–126). A discussion of the ever-evolving literature on MYC-family isoform expression and regulation, and how the general perception of tissue-specific isoform expression is not entirely accurate, will be presented in the next several sections.

Discovering MYC

MYC was the first family member to be discovered, stemming from experiments that began in the 1960s. In Sofia, the capital city of Bulgaria, a strain of virus, MC29, was propagated from a Rhode Island Red Chicken that had succumbed to spontaneous development of anemia and promyelocytic solid tumors (127). Isolation and animalmediated viral passaging revealed that MC29 predominantly resulted in hematopoietic neoplasia in recipient fowl (127). While other avian leukosis viruses typically result in leukemia, MC29 transformed myeloid cells into solid tumor <u>my</u>elo<u>c</u>ytomas, which is how the *MYC* oncogene received its name. Further characterization of MC29 revealed the virus could induce transformation in a variety of cell types that resemble tumor morphology *in vivo* (128–131). Through a series of experiments that predominantly entailed the use of radiolabeling and genomic assessment, *v-myc* was found to be the single gene functionally transmitted by MC29 (132–134) and was a gene that resembled a cellular homolog in uninfected vertebrate cells, termed *c-myc* (135, 136). In 1982, *c-myc* was officially cloned and characterized, events that solidified *c-myc* (also known as

MYC) as a *bona fide* oncogene *(137)* and led to an era of research evaluating MYC protein structure, regulation, and function and the search for related genes.

The first mechanism identified that showed enhanced MYC transcription came from a seminal discovery where a retroviral promoter inserted before the MYC gene led to tumor development (138–140). Soon after, the MYC locus was found to be translocated in Burkitt's lymphoma, placing MYC under the control of the immunoglobulin μ heavy chain enhancer and resulting in high levels of MYC transcription (141, 142). While similar gene rearrangements are not frequently observed in other cancers, MYC expression is commonly upregulated through transcriptional overexpression or gene amplification, which can take the form of large amplifications, small focal amplification, or double-minute chromosomes (113, 143, 144). Additional genomic alterations include high-frequency mutations around the Thr58 phosphorylation site within the degron of MYC. Thr58 mutations stabilize MYC and lead to increased growth and transformation (145, 146). Another noteworthy nucleotide variant, rs6983267, discovered by Haiman and colleagues, located more than one megabase away from the *MYC* gene, conferred an increased risk to colorectal and prostate cancer (147). Subsequent studies demonstrated that this polymorphism stimulates binding of the activator TCF-4 to an enhancer and results in increased MYC transcription (148, 149). The identification of a distant variant with no apparent genomic connection to the MYC gene location suggests other remote mutations could be leading to deregulated MYC expression.

MYC is typically under control of numerous signal transduction pathways activated in tumors. The loss of tumor suppressor adenomatous polyposis coli (APC) in colorectal cancer leads to ß-catenin accumulation, activation of TCF-4, and constitutive high *MYC*

transcription (150). Similarly, potent induction of *MYC* expression can result from aberrant Sonic hedgehog, Notch, PI3K, and MAPK pathway signaling (151–154). The majority of studies to date that focus on elevated *MYC* copy number (CN) and RNA levels do not consider other perturbations that lead to increased MYC protein, such as increased translation or a slower rate of protein degradation; meaning, the number of tumors with aberrant MYC signaling could be much higher than realized. For example, overexpression of eIF4E, a translation factor, has been found in a variety of malignancies and results in the increased export of *MYC* mRNA from the nucleus (155). Stability of *MYC* message itself can lead to increased *MYC* levels (156). Loss of the SCF^{Fbw7} ubiquitin ligase, which occurs in approximately 6% of all human cancers, leads to stabilization of MYC protein (157). Taken together, the various ways in which *MYC* levels can be deregulated signifies its prominent and widespread role in tumorigenesis.

Originally identified in neuroblastoma, *MYCN* was the second family member to be discovered (*158*). Soon after, *MYCL* was identified and characterized in human small-cell lung cancer (*159*). Each MYC-family isoform resides on a separate chromosome (*MYC*: 8q24.21, *MYCN*: 2p24.3, *MYCL*: 1p34.2) and are related due to high sequence and structure homology conserved across all metazoan life (*160*). The general MYC family protein architecture includes five highly-conserved domains called MYC-boxes (I, II, IIIa, IIIb, and IV) located at the N-terminus of the protein and a nuclear localization sequence (NLS) and basic-region/helix-loop-helix/leucine-zipper (bHLHZip) motif at the C-terminus of the protein (*161*). MYC-boxes participate in protein-protein interactions and the regulation of MYC-family isoform stability and function; whereas, the bHLHZip motif heterodimerize with the bHLHZip motif of other transcription factors (e.g. MAX) and binds

to DNA with highest affinity through recognition of the Enhancer box (E-box) consensus sequence, CACGTG (*162, 163*). Unlike *MYCL*, the loss of *MYC* and *MYCN* are embryonic lethal in murine development (*MYC-/-* at E10.5 and *MYCN-/-* at E11.5) (*122*). Given the lack of experimental evidence for MYCL function in breast cancer, the remainder of this Introduction and chapters that follow are focused on MYC and MYCN.

Defining MYC target genes

Defining target genes that are directly regulated by MYC family members across cell types that are essential for its oncogenic properties has been a challenge. While previous studies have indicated that MYC is a regulator of specific target genes, further experimentation across a greater variety of cell types demonstrates MYC can act as both a transcriptional activator and repressor and can regulate thousands of genes, possibly even every active gene within a given cell type (164, 165). Similarly, MYCN has been shown to bind to thousands of promoters in open chromatin within embryonic stem cells and in several MYCN-driven types of cancer (166, 167). Some of the difficulties determining MYC/MYCN-specific target genes have likely been due to differing epigenetic patterns between cell types and pathological states that govern active chromatin regions. Further, the amount of MYC protein within a given cell can dictate the number and extent to which genes are expressed. Collectively, a consensus on MYC target genes has not been reached in this evolving field due to the breadth of model systems tested, the varying experimental methods taken, the vast range of expression levels, and the numerous mechanisms in which the MYC family regulates gene transcription.

As MYC levels increase, it is speculated that MYC becomes increasingly nonspecific and can "invade" promoters and enhancers with lower-affinity binding sites to drive the transcription of proteins that regulate nutrient transport, responses to hypoxia, and cell adhesion, processes more associated with the growth and survival of tumors (*165, 168–170*). Despite numerous failed attempts to determine a core set of target genes present across different cell types (*171, 172*), a study by Ji *et al.* identified ~50 MYC target genes that were shared between mouse and human embryonic stem cells and several cancer cell lines that also highly correlated with *MYC* levels across over 300 additional cell models (*173*). This core set of target genes represent high-affinity MYC binding sites and highlight a role for MYC in RNA processing, ribosome biogenesis, and macromolecular synthesis (*173*).

Lessons learned from the myriad of experimental results across various tissue types with differing levels of MYC have led to new theories about the role of MYC in normal and oncogenic cells. Two studies published in 2012 introduced the "amplifier" model, in which MYC does not act as a typical sequence-specific transcription factor but rather, is able to drive the expression of all preexisting genes already "on" within a given cell type (*164, 165*). These results align with findings that demonstrate MYC is typically present at both active and transcriptionally-poised chromatin alongside RNA polymerase II (*174–176*). The transcriptional amplification of active genes was largely uniform and dose-dependent, with a similar broad activation specific to individual cell types (*164, 165*). Consequently, when MYC is highly expressed in a tumorigenic state, the upregulation of all biochemical pathways creates a massive flux towards the acceleration of cellular processes involved in survival and cell growth while retaining the same cell lineage. The

MYC family has greatest affinity for E-boxes located in close proximity to CpG islands, regions that define active euchromatin (177, 178), and therefore, the chromatin structure that governs the differentiation state of a cell dictates which E-box or DNA sequences will be available for transcriptional activity (179). The strongest data to support the notion that the primary function of each MYC-family isoform is to maintain the survival and growth properties of a given cell type in which it is expressed comes from a study where the *MYCN* coding sequence is able to functionally replace *MYC* alleles in murine development (180). Expression of *MYCN* from the *MYC* locus resulted in normal cell growth and differentiation, with the transgenic mouse surviving until adulthood and capable of reproducing (180). Collectively, these data indicate MYC and MYCN have similar biochemical properties, but their physiological roles and target genes differ with respect to the transcriptional regulation and differentiation state in which each isoform is expressed.

In the amplifier model, suspending MYC expression would enable more rapid and efficient reprogramming. Once a cell has differentiated, elevated MYC levels would return to reinforce the new cell state. Such biphasic MYC expression has been reported in several models during the development of erythroleukemia *(181–183)*. Of relevance to this dissertation research, I would like to highlight the concept of biphasic expression of MYCN to MYC in cells transitioning between distinct states. An example of this occurs during early stages of hematopoiesis. Data from an elegant study using endogenous *MYC* and *MYCN* allelic fluorescent fusions demonstrate that expression of MYCN and MYCN and MYCN isoforms are mutually exclusive, with MYCN being highly expressed in the most primitive hematopoietic stem cell (HSC) state that switches to MYC expression during

differentiation into transit-amplifying progenitors (167). In concert with these results, ectopic expression of MYC in HSCs results in exit from the stem cell niche and the expansion of proliferative differentiated cell types (184). Conversely, conditional elimination of MYC in HSCs results in accumulation of defective HSCs and a failure to initiate normal stem cell differentiation (184, 185).

Similar to hematopoietic stem cells, the development of skeletal and connective tissue requires coordinated MYCN and MYC activity. MYCN is essential for the proliferative expansion of undifferentiated mesenchymal progenitors from the emergent limb bud (186, 187). As the undifferentiated mesenchyme expands, the most distal cells downregulate MYCN, condense, and exit the cell cycle (188). Chondrogenic progenitors expressing little to no expression of either isoform emerge from the condensing mesenchyme, induce MYC expression, and proliferate as chondrocytes within cartilaginous growth plates (187, 189). The condensing mesenchyme also gives rise to MYC-expressing osteoblasts and other connective tissue lineages and are thought to be a result of epigenetic reprogramming of the MYCN-expressing undifferentiated mesenchymal progenitors that gives rise to lineages necessary for the development of skeletal and connective tissue (189). While the amplification model challenges previous reports that the MYC family regulates a specific set of discrete genes, it offers an intuitive explanation for the prevalence of cell type-specific binding sites and aligns well with studies focused on investigating MYC-family isoform expression patterns during vertebrate development and tumorigenesis.

MYC and MYCN expression in vertebrate development

MYC and *MYCN* expression appear to be mutually exclusive with elevated levels that vary across tissue types at differing stages of development. Deletion of either *MYC* or *MYCN* is embryonic lethal in murine development at midgestation. Homozygous null *MYCN* embryos perish around embryonic day 12 (E12) due to severe hypoplasia and structural defects of the lungs, heart, intestines, kidneys, skeleton, genitourinary system, and central nervous system (*186, 190, 191*). Mouse models with epiblast-restricted loss of *MYC*, or that contain conditional tissue-specific deletions, demonstrate MYC is dispensable for the development of tissues and organs up until E11 but is critical for the growth, proliferation, and cellularity necessary for further development and maintenance of most organs (*192, 193*). Lethality resulting from loss of *MYC* is primarily due to a failure of proper hematopoiesis in extraembryonic tissues (*194–196*).

A clear dose-dependent effect on organ system development can be seen through *MYCN* heterozygotes and mice engineered to express hypomorphic alleles. For example, mice carrying homozygous hypomorphic *MYCN* alleles that express ~15-25% of normal *MYCN* levels were not embryonic lethal but did exhibit severe lung and cardiac hypoplasia (197–199). Site-specific conditional *MYCN* deletions confirm the essential role of MYCN in the development of the lung and heart (200, 201), as well as the developmental of limbs (187), kidneys (202), inner ears (203), and the central nervous system (204). The constellation of developmental defects observed in these mouse models largely recapitulates organs and tissues affected by Feingold syndrome (type 1), a human disease that develops as a result of *MYCN* haploinsufficiency (205). While the spectrum of phenotypes can be variable between patients, individuals with Feingold syndrome

experience digital abnormalities, renal disorders, inner ear malformations, esophageal and gastrointestinal atresia, microcephaly, and defects in intellectual development (206).

The extensive use of mouse models evaluating *MYCN* and *MYC* expression during early vertebrate development illustrates that *MYCN* is widely expressed during late gastrulation, immediately before the onset of organogenesis, and during the early stages of organogenesis and is critical for the initial establishment and expansion of stem and progenitor cell populations (Figure 2). Once organogenesis commences, *MYCN* levels subside and low *MYC* expression supports stem and progenitor cell maintenance that give rise to various cell lineages (Figure 2). Often under the control of WNT/ß-catenin signaling (202, 207–209), *MYC* levels elevate and lead to stem cell mobilization and proliferative expansion of specific cell lineages (207, 210–212). The general effect of MYC and MYCN stimulation on poised and active transcription provides a new paradigm where expression below some threshold level may increase cellular plasticity and when highly expressed, amplifies transcriptional targets that reinforce the differentiation state initiated by cell-specific pioneer factors (Figure 2).

MYCN and MYC levels are reduced across the majority of cells in an organ system as they reach terminal differentiation and are no longer proliferating (213–215) (Figure 2). However, low levels of MYC appear to maintain stem and progenitor cell populations in various tissue types, including kidney (216), pancreas (202, 217), lung (218), mammary gland (219, 220), and intestinal epithelium (221, 222). MYC is also expressed in proliferative transit-amplifying compartments of intestinal crypts alongside a single MYCN-expressing cell, lacking MYC expression, located at the base of the crypt that is thought to be an intestinal stem cell (222). The coordinated efforts between MYC and



Figure 2. *MYCN* and *MYC* expression in vertebrate development. Modified figure from Hurlin *el al.* demonstrating expression of *MYCN* and *MYC* during vertebrate development. Background colors represent stages where MYC-family isoform expression is highest (white) and lowest (blue).

MYCN in organogenesis and in adult tissue maintenance, such as in the hematopoietic system, skeletal tissue, and intestinal track, indicate a relationship between these two isoforms that likely contributes to the development of additional organ systems and when deregulated, could also contribute to tumorigenesis.

MYC and MYCN in tumor development

Historically, ectopic or overexpression of MYC or MYCN has been the primary way to study the cellular effects of elevated MYC-family isoform expression. Either isoform can regulate genes involved in cell cycle progression, such as cyclins and cyclindependent kinases (CDKs) (223, 224). Overexpression of MYC or MYCN generally leads to the prevention of cell cycle exit and increased proliferative potential, even in postmitotic cells (225). For example, forced expression of MYC in vivo results in cell-cycle reentry of postmitotic myocytes, keratinocytes, and forebrain neurons (226-228). MYCN overexpression supports cell-cycle reentry of cultured postmitotic sympathetic neurons (229). However, this is not always the case; elevated MYCN levels do not result in postmitotic cortical neuron proliferation (229). Similarly, forced MYC expression leads to cell-cycle reentry of structurally unorganized epithelial cells of mammary acini but does not cause the proliferation of cells within mature acini (230). Postmitotic cells that retain transcriptionally poised or active low-level proliferation-associated genes may be the reason some postmitotic cells are more receptive to MYC- or MYCN-mediated transformation than others.

In many cases, MYC- and MYCN-driven tumors arise from cell lineages that express either isoform during normal development. *MYC*, ubiquitously expressed in the

developing mammal, is elevated within a subset of nearly every tumor type. MYCN, best known for having elevated expression in neurons during vertebrate development, is overexpressed in several cancer types of the sympathetic and central nervous system (i.e. neuroblastoma, medulloblastoma, retinoblastoma, astrocytoma, and glioblastoma multiforme) (231) as well as non-neuronal tissues as described later. Approximately 20% of neuroblastoma cases harbor MYCN-amplification, which correlate with unfavorable biologic features, advanced stage, and poor patient outcome (232, 233). Similarly, MYCN is amplified in ~5% of medulloblastoma (both Sonic Hedgehog-driven and non-Sonic Hedgehog-driven) (234) and associates with a worse prognosis (235). MYCN amplifications are found to be a key factor in tumor progression in preclinical medulloblastoma models (234). Deregulated MYCN expression that occurs in a subset of glioblastoma multiforme is independent of low-grade gliomas and astrocytomas and leads to forebrain rather than hindbrain tumors (236). Recurrent functional mutations in the epigenetic modulator histone 3 gene (H3F3A) have been found in both adult and pediatric glioblastoma multiforme, which results in enhanced MYCN transcription and proliferation (237, 238). While MYCN is amplified in only a subset of retinoblastoma, MYCN is overexpressed in the majority of cases and is thought to reflect the embryonal cell of origin (239-241). Cases with MYCN amplifications are mutually exclusive to germline or somatic mutations of the retinoblastoma gene (*RB1*), present unilaterally at an early age (median 4.5 months), and are clinically aggressive (242).

Similar to the variety of cell types expressing *MYCN* in normal development described in the previous section, aberrant amplification and/or overexpression of *MYCN* is also found in a variety of non-neuronal cancers, including hematopoietic malignancies

(243, 244), Wilms tumor (245), rhabdomyosarcoma (246), small-cell lung cancer (247), pancreatic tumors (248), and prostate cancer (249). While а subset of rhabdomyosarcoma presents with MYCN amplification, the vast majority of all rhabdomyosarcoma cases express MYCN RNA and protein (246, 250). MYCN amplifications are frequently observed in hematopoietic malignancies such as lymphomas and acute myeloid leukemia (AML) (243). The MYCN locus is also a common site for retroviral integration in mouse T-cell lymphoma (244). Elevated MYCN expression in hematopoietic malignancies is considered one of the main "drivers" in tumor formation, depending on the cell of origin (231). Mouse bone marrow cells transduced with MYCN, but not MYC, stimulated the self-renewal and proliferation of myeloid cells in vitro and rapidly generated AML in vivo (251). Additionally, elevated MYCN expression in adult AML is predictive of unfavorable outcomes and a poor OS (252).

Solid cancers, including lung and prostate, contain both MYC- and MYCNexpressing tumors. While there is insufficient evidence to demonstrate MYCN plays a role in the development of lung cancer, 15-20% of small-cell lung cancer cases are *MYCN*amplified and associate with rapid tumor growth, a poor response to chemotherapy, and a shorter survival outcome (247, 253, 254). On the other hand, the development and progression of prostate cancer has been well characterized. Prostate cancer is generally driven by AR signaling; therefore, therapies targeting AR are primarily used to treat the disease. Following exposure to therapy, a subset of prostate cancer develops resistance to treatment in form of AR-independent signaling [i.e. castration-resistant prostate cancer (CRPC)] and typically spreads to distal sites (255–257). MYC is overexpressed in earlystage, high-grade intraepithelial neoplasia and localized adenocarcinomas, and MYCN is

amplified and/or overexpressed in late stage disease in the form of CRPC with adenocarcinoma or neuroendocrine histology (258). Recent studies demonstrate neuroendocrine prostate cancers (NEPC) often arise from preexisting adenocarcinoma during the development of resistance to AR therapies and are a result of lineage switching, a shift from *MYC*-expressing epithelial cells to *MYCN*-expressing neuroendocrine cells (249, 258, 259). Therefore, compared to MYC-expressing prostate cancer, MYCN-expressing cases are typically late stage, differ in histology and response to AR inhibitors, and associate with disease progression and a poor patient outcome. In concert with the previously described associations between MYCN expression and unfavorable outcomes across nearly every tumor cell type, MYCN overexpression has also been associated with poor prognostic features and worse clinical outcomes for a subset of breast cancers (260, 261).

Preclinical and clinical strategies to target MYC- and MYCN-driven tumors

While conditional mouse models demonstrate MYC-family isoform expression is sufficient to induce tumorigenesis (262–264), transient inactivation of these isoforms are able to elicit tumor regression (265), implying the downregulation of oncogenic MYC expression is a potential strategy to treat patients with tumors driven by one of the MYC-family isoforms. However, directly targeting MYC has proved to be a challenge. Given that MYC proteins are composed of bHLHZip motifs devoid of any active catalytic sites, therapeutic strategies using small molecule design have been difficult (161). Further, the MYC family is predominantly located in the nucleus, creating an obstacle for targeting the

family through monoclonal antibodies (266). Therefore, numerous methods have been proposed to indirectly abrogate MYC function.

Targeting transcription: The bromodomain and extraterminal (BET) motif family of transcriptional regulators, comprised of *BRD2*, *BRD3*, *BRD4*, and *BRDT* (testes-specific), binds to hyperacetylated lysines on active histone complexes and positively regulates transcription through recruitment of positive-transcription elongation factor b (p-TEFb) (267). pTEFb-mediated phosphorylation at the carboxyl-terminal domain of paused RNA polymerase II (RNA pol II), located at promoter-proximal gene regions, results in RNA pol II pause-release and transcriptional elongation (267, 268). Given that BRD4 is a regulator of *MYC* and *MYCN* transcription, inhibitors designed to outcompete lysine-binding to the BRD-family N-terminal bromodomains result in displacement of BRD4 from the *MYC* (269–271). BET inhibitors (BETis) have demonstrated potent preclinical anti-growth effects using hematopoietic and solid tumor models with deregulated *MYC* (i.e. multiple myeloma) and *MYCN* (i.e. neuroblastoma) expression, respectively (271–273), and are therefore currently in early stage clinical development.

In contrast to classic cyclin-dependent kinases (CDKs) that regulate cell cycle progression, CDK9 and CDK7 have critical roles in transcriptional regulation (268, 274). The phosphorylation of RNA pol II by p-TEFb mentioned above is mediated by the CDK9 kinase subunit of p-TEFb (275). CDK7 is the catalytic subunit of the transcription factor IIH complex (276). Together, both kinases facilitate RNA pol II pause-release and elongation, and therefore, similar to BETis, inhibition of CDK9 or CDK7 results in reduced

MYC transcript as well as MYC-mediated transcriptional regulation (277) and have become another strategy to target MYC- and MYCN-driven tumors. Potent preclinical anti-tumor effects have been described in several tissue types overexpressing either isoform, such as T-cell acute lymphoblastic leukemia, mixed-lineage leukemia, small-cell lung cancers, and neuroblastomas (278–280). Similar to BETis, CDK7/9 inhibitors are being evaluated clinically across a large variety of malignancies and tissue types.

Targeting translation: As previously mentioned, PI3K/AKT/mTOR signaling is a prominent growth-stimulating pathway frequently altered in TNBC as well as a variety of other cancer tissue types (*281*). mTOR complexes 1 and 2 (mTORC1 and mTORC2) are serine/threonine kinases, with mTORC1 having a central role in protein synthesis (*282, 283*). Upon phosphorylation by mTORC1, the eukaryotic translation initiation factor 4E (eIF4E) binding protein 1 (4EBP1) is released from eIF4E, thus allowing for translation of mRNAs with long 5'-untranslated regions, such as *MYC* and *MYCN (282, 283*). Pharmacological inhibition of PI3K, AKT, or mTOR decreases translation globally, including the protein synthesis of both MYC-family isoforms, and demonstrates antitumor effects in many MYC- and MYCN-driven tumors (*281, 284–286*).

<u>Targeting stability</u>: Several promising therapeutic strategies have been employed to target proteins responsible for the stability of MYC and MYCN. The primary focus in this approach involves the MYC-family isoform degron, located within MYC-box I at the C-terminus of the protein (*161*). Two phosphorylation sites, four amino acids apart (Ser62/Thr58 for MYC; Ser54/Thr50 for MYCN), are phosphorylated in a similar

sequential fashion for each MYC-family isoform (224, 287). Ser62/54 is phosphorylated first, by MAPK/ERK for MYC and CDK1 for MYCN, which stabilizes either isoform and promotes proliferative potential (224, 287, 288). GSK3ß is downstream in the PI3K pathway. GSK3bß is inactivated when the PI3K pathway is actively signaling. However, upon PI3K pathway inhibition, GSK3ß translocates to the nucleus and phosphorylates Thr58/50; wherein, the ubiquitin ligase, SCF^{FBXW7}, and associated complexes are recruited for MYC-family proteasomal degradation (289, 290). Therefore, inhibition of the MAPK or PI3K pathway generally results in decreased MYC levels and inhibition of CDK1 or PI3K pathway signaling generally results in decreased MYCN levels (224, 287). Further, polo-like kinase 1 (PLK1) binds to and phosphorylates SCF^{FBXW7}, leading to self-polyubiquitination and degradation (291). Therefore, PLK1 inhibition stabilized FBXW7 and results in increased degradation of MYC and MYCN (291).

AURKA, encoding the protein Aurora-A, is a regulator of chromosome segregation and cytokinesis and has been the most studied aurora kinase in conjunction with MYCfamily isoform function in cancer (292). While MYC exhibits several tumorigenic functions with Aurora-A, such as MYC-mediated transcription of *AURKA* in B-cell lymphomas (293) and joint enhancement of hTERT promoter activity in ovarian and breast epithelial cells (294), the latest enthusiasm in targeting Aurora-A has been to deregulate the MYCN stability. Aurora-A binds to the MYCN degron and blocks SCF^{FBXW7}-mediated ubiquitination (295). Since Aurora-A binding is independent of its catalytic activity, allosteric inhibitors have been developed to alter the conformation of Aurora-A and disrupt its ability to bind to MYCN (296–298). By disrupting protein-proteins interactions with allosteric inhibitors, instead of compounds that compete with ATP for the Aurora-A ATP-

binding site, not only do MYCN levels decrease but the cell-cycle function of Aurora-A in healthy cells remains intact (299). While Aurora-A allosteric inhibitors are thought to result in decreased toxicity (299), these inhibitors are yet to enter clinical trials.

Targeting protein-protein interactions: Another approach to target the MYC family has involved disruption of protein-protein interactions that mediate MYC-family isoform transcriptional regulation. Currently, the two leading strategies involve interrupting the binding interface between the MYC-family proteins and the bHLHZip transcription factor, MAX, or the epigenetic regulator, WDR5 (*231, 266*). Through interacting bHLHZip motifs, MYC and MAX have been described as obligate dimers necessary for DNA-binding and the transcriptional activation across thousands of target genes (*300*). In part, the interaction between MYC and MAX is controlled by post-translational modifications, including the phosphorylation of three residues in the bHLHZip motif of MYC; when phosphorylated, the binding interface between MYC and MAX is disrupted (*301*). Mutagenesis of glutamate and asparagine residues in the bHLHZip motif of MYC has revealed an additional binding site critical for proper MYC/MAX binding and function (*302–304*).

Approximately 80% of MYC DNA-binding sites are also bound by WDR5 through interactions with the evolutionarily conserved MYC-box IIIb motif (305). WDR5 acts as a scaffold for the assembly of multiple epigenetic regulatory complexes, including various histone acetyltransferases and methyltransferases (306). In conjunction with WDR5 histone binding and euchromatin formation, MYC is recruited to open chromatin to mediate corresponding target gene regulation (306). Given that WDR5 is aberrantly

expressed in a variety of cancers (*307*), has been shown to a prominent role in EMT (*308*), and operates as a co-factor for MYC binding (*305*, *309*), significant effort has been given towards inhibition of WDR5 function, both together and independent of MYC. Through use of high-throughput screens and fragment-based methods and structure-based design, highly-specific small molecule inhibitors have been synthesized to target the WDR5 interaction (WIN) site within WDR5 (*310*, *311*). Not only do these compounds displace WDR5 from chromatin, but they disrupt MYC-WDR5 protein-protein interactions (*307*, *311*). WDR5 has also been shown to support MYCN transcriptional complexes in neuroblastoma (*312*). Together, strategies to disrupt the interface between MYC and MAX or WDR5 are highly anticipated approaches for the treatment of numerous tumor types.

Additional strategies: Several studies have demonstrated MYC-driven tumors contain high surface expression of glutamine transporters and rely on exogenous glutamine for survival (313). Given that glutamine is converted to glutamate by glutaminase (GLS), selective GLS inhibitors have been developed to target MYC-driven glutamine metabolism and are currently in early phase clinical development (314, 315). MYCN-amplified neuroblastoma cell lines exhibited hypersensitivity to inhibitors that target the antiapoptotic proteins BCL2/BCLxL (venetoclax) (316). Treating these cells with an Aurora-A inhibitor (alisertib) led to mitotic arrest, decreased p4EBP1-mediated translation, and decreased MCL1 levels (another antiapoptotic protein) (316). Combined treatment with venetoclax and alisertib demonstrated greater efficacy in MYCN-amplified tumor cells than with either agent alone (316). Lastly, as previously noted, late stage prostate

cancer progresses from a CRPC to NEPC phenotype. EZH2, the enzymatic component of the polycomb repressive complex, has been shown to cooperate with MYCN to facilitate progression to a neuroendocrine cell lineage (256). Treatment with EZH2 inhibitors reverses MYCN target gene expression that supports a NEPC phenotype and abrogates associated tumor cell growth (256). EZH2 inhibitors have advanced clinically to investigate efficacy in patients with MYCN-expressing CRPC and NEPC.

Characterization of MYCN in TNBC and strategies to inhibit MYCN-expressing TNBC tumor cell growth: Goals of this dissertation

TNBC tumors are characterized by the lack of therapeutic targets expressed in the other breast cancer subtypes. Therefore, patients with TNBC have few treatment options and experience a shorter time to relapse and a worse survival outcome compared to the other breast cancer subtypes. Given that the TNBC subtype is a heterogeneous collection of tumors with no unifying biological features to exploit therapeutically, cytotoxic chemotherapy remains the primary means to treat patients with primary TNBC. For the >70% of patients that progress or recur during or subsequent to treatment, PARP inhibitors and monoclonal antibodies are used to target *BRCA*-mutant and unresectable PD-L1-expressing disease, respectively. Identification of new therapeutic targets are needed to improve outcomes for patients with TNBC. Given the prevalence of MYCN-expressing tumors, of both neuronal and non-neuronal cell origin, and the notion that a subset of breast cancer expresses MYCN, we sought to determine the prevalence of MYCN expression in TNBC and whether MYCN-expressing tumors represented a patient population to direct clinical efforts.

The primary goal of Chapter III was to assess levels of MYCN expression during the progression of TNBC. This was accomplished by developing an IHC stain capable of detecting non-amplified levels of MYCN and the use of clinical specimens from patients with primary treatment-naïve, primary NAC-treated, or locally-recurrent/metastatic TNBC. Conclusions from this study were validated by analyzing MYCN expression in singlenuclei sequencing data from patients before and after NAC treatment. By isolating individual cells from a TNBC tumor-derived cell line, we created unique preclinical models that allowed us to investigate tumor cell growth properties and drug sensitivities associated with MYCN expression.

Chapter IV encompasses results from primary and validation high-throughput drug screens using the NCI FDA-approved oncology drug (AOD) library and compounds of interest on our newly-generated MYCN- and MYC-expressing TNBC clonal cell line models. "Hits" from the validation screen were evaluated further through precision nuclear run-on sequencing (PRO-seq) and RNA-sequencing (RNA-seq) methods. To investigate the cellular effects of drug treatment on MYCN and MYC levels, we created a dual immunofluorescence stain capable of simultaneously detecting cellular MYCN and MYC expression within TNBC cell lines and patient-derived xenografts (PDX) that heterogeneously expressed both isoforms. Combination treatments using our leading compounds under investigation were performed on mice harboring TNBC PDX models with varying levels of MYCN and MYC expression. Together, our preclinical data provide a rationale to advance our proposed drug combination to clinical investigation for patients with advanced or recurrent MYCN-expressing TNBC with the goal of eventually providing patients with TNBC an additional treatment option.

CHAPTER II

MATERIALS AND METHODS

Breast cancer subtype determination

Gene expression for the MET500 dataset was kindly provided by Dr. Arul M. Chinnaiyan from the University of Michigan. RNA expression from TCGA (BRCA, RNA-Seq), METABRIC (microarray), Siegal *et al* (RNA-Seq), and MET500 (RNA-Seq) were log₂-transformed and density plotted for ER (ESR1), PR (PGR), and HER2 (ERBB2). Breast cancer subtypes were identified with discrete cutoffs in the bimodal distribution of positive and negative expression for each gene. A tumor was categorized as TNBC if the ER, PR and HER2 RNA levels were in the peak for negative expression of each gene. TNBC subtypes were determined from log₂-normalized expression using the publicly available TNBCtype tool *(98, 317)*.

Clinical sample RNA-Seq analyses

MYCN transcript comparisons between TNBC and other *MYCN*-expressing cancers were achieved through upper-quantile normalization across RNA-seq (FPKM) data from TCGA [TNBC (BRCA, n=197), AML (LAML, n=173), GBM (GBM, n=156)], the NCI-funded TARGET initiative *(318)* (NB, n=161), and two CRPC studies *(259, 319)* [adenocarcinoma, n=123; NE, n=15]. For those patients whom had multiple samples sequenced, the most current sequencing submission was analyzed. Pairwise Wilcox tests were performed between TNBC and each dataset, and p-values were adjusted by false

discovery rate. Patient-matched primary and metastatic lesion RNA-Seq analyses evaluating *MYCN* transcript levels were performed on RNA-Seq (RSEM) data (320) after identifying corresponding breast cancer subtypes as described in the "Breast cancer subtype determination" section.

TNBC587 differential gene expression analyses

Differential gene expression analyzes were conducted using median-centered log₂ normalized gene expression from the TNBC587 dataset. We created a rank order list that segregated the top MYCN-expressing tumors with the least amount of MYC expression (MYCN^{RatioHigh}) from high MYC-expressing tumors with little MYCN expression (MYC^{RatioHigh}). The number of statistically significant differentially expressed genes (FDR <= 0.05, absolute fold change >= 1.5) using the R-package, limma, between the top percentage (individual evaluations for each percentage, 1-10%) of MYCN^{RatioHigh} versus MYC^{RatioHigh} TNBC tumor samples was compared to an analysis comparing the same number of tumor samples selected at random. Volcano and principal component analysis (PCA) plots were generated using R-package, ggplot2.

Patient tissue and tissue microarrays (TMAs)

Immunohistochemistry (IHC) was performed on 344 previously collected and formalin-fixed paraffin-embedded (FFPE) primary or recurrent (local and distal) TNBC tumors, of which 314 were present within TMAs. Tumors were identified as TNBC by pathologist evaluation of IHC staining for ER, PR, and HER2. TMA101, TMA102, TMA11-4-09, TMA2 Mixed, TMA2 TNBC and TMA9936 were constructed from surgically resected

primary tumor samples from patients with breast cancer diagnosed at Vanderbilt University Medical Center. One-millimeter tumor cores (2 per surgical specimen) were punched from representative areas containing invasive carcinoma selected by a pathologist. Clinical and pathologic data were retrieved from medical records under institutionally approved protocols, IRB# 030747 and 130916, for patients in TMA101 and TMA11-4-09, respectively. TMAs P1, P2, and P3 were constructed from surgically resected primary tumors from patients with breast cancer diagnosed at the Instituto Nacional de Enfermedades Neoplásicas (Lima, Perú) *(321)*. Three 1 mm tumor cores were punched per surgical specimen. Clinical and pathologic data were retrieved from medical records under an institutionally approved protocol (INEN 10-018). TMAs BR1901 and BR1201a were purchased from US Biomax and contained two cores per tumor (1 and 1.5 mm punches, respectively).

Twenty-three surgically resected brain metastases, three needle core biopsies from lung metastases, and five paired surgically resected primary tumors from patients with TNBC diagnosed at VUMC were collected under an institutionally approved protocol (IRB# 171983). Two 1 mm tumor cores from representative areas containing invasive carcinoma selected by a pathologist were punched from 19 of the brain metastases to construct TMA111. An additional 19 TNBC samples from VUMC patients were identified from the American Association for Cancer Research (AACR) project genomics evidence neoplasia information exchange (GENIE) database, including six primary, eleven locally recurrent, and two metastatic tumors to the lung. These tissues were curated for IHC analysis under an institutionally approved protocol (IRB# 180146). See Table 2 and 4 for additional patient and sample characteristics.

Immunohistochemical (IHC) staining

For IHC experiments, 4 µm formalin-fixed, FFPE sections were deparaffinized with xylene and rehydrated with graded alcohol incubations. For tissue undergoing MYC staining (Abcam, ab32072), pressurized antigen retrieval was performed at 125°C for 10 seconds (Dako, S2367) and then cooled to 90°C prior to incubation with 3% hydrogen peroxide (H_2O_2) for 10 minutes. Sections were subjected to a protein block (Dako, X0909) and incubated with antibody overnight at 4°C in antibody diluent (Dako, S0809). HRPconjugated secondary antibody (Dako, K4003) was applied to tissue for 30 minutes, followed by DAB reagent (Dako, K3468) for 5 minutes. Hematoxylin was used as a counterstain and all washes were conducted with tris-buffered saline (TBS) with 0.1% Tween-20 (TBST). For MYCN staining (Cell Signaling, 51705S), pressurized antigen retrieval was performed at 125°C for 4 minutes (BioGenex, HK080-9K), followed by a 30minute depressurization period and an additional 30-minute room temperature (RT) cooling period. Sections were treated with 3% H₂O₂ for 10 minutes, permeabilized with 0.1% Tween-20 for 20 minutes, and blocked with Image-iT FX Signal Enhancer (Invitrogen, I36933) for 30 minutes. An additional block was performed with 5% goat serum/0.3% Triton X-100 in phosphate-buffered saline (PBS) for 1 hour prior to incubation with primary antibody overnight at 4°C in PBS with 5% goat serum. Subsequent incubations were performed as described with MYC staining; however, all washes were done with either PBS or PBS with 0.1% Tween-20. Whole slide bright field imaging was performed with the Zeiss Axio Scan.Z1 microscope (20x objective lens). H-scores were determined by a certified pathologist (Gonzalez-Ericsson) and were generated by

calculating the sum of the percent positive nuclei multiplied by IHC staining intensity (0-3). See Table 1 for additional specifications on antibodies used.

Tyrosine-signal amplification (TSA) immunofluorescence (IF) staining

Tumor sections: Antigen retrieval and all blocking steps (H₂O₂, Image-iT FX Signal Enhancer, and 5% goat serum/0.3% Triton X-100 in PBS) were performed similar to the methodology for MYCN IHC staining. Slides were incubated overnight with the first primary antibody (MYC, Abcam, ab32072) in PBS with 5% goat serum. HRP-conjugated secondary antibody (ThermoFisher Scientific, 31462) was applied for 1 hour at RT and slides incubated with TSA reagent (PerkinElmer, NEL741B001KT (fluorescein) plus 0.0015% H₂O₂) according to manufacturer's recommendations. Additional rounds of antigen retrieval and re-blocking steps were performed prior to incubation with a second primary antibody (MYCN, Cell Signaling, 51705S), HRP-conjugated secondary antibody, and TSA reagent (PerkinElmer, NEL744B001KT (cyanine 3) plus 0.0015% H₂O₂). Lastly, sections were incubated in DAPI (1:50,000, Sigma-Aldrich, D9542) for 15 minutes and mounted with SlowFade Gold Antifade Mountant (ThermoFisher Scientific, S36937). All washes were done with either PBS or PBS/0.25% goat serum/0.1% Triton X-100. Whole slide fluorescence imaging was performed with the Zeiss Axio Scan.Z1 microscope (20x objective lens). See Table 1 for additional specifications on antibodies used.

<u>Chamber slide cell line cultures</u>: Cells were washed briefly with PBS and fixed with 100% methanol for 10 minutes. TSA-IF for MYCN and MYC was performed on cells similar to methods described for tumor sections. Briefly, blocking steps were applied, followed by

sequential overnight incubations of MYC-family isoform antibodies. Antigen retrieval and blocking steps were performed between MYC and MYCN antibody incubations and after the last TSA reaction. For the nuclear stain, an antibody for histone H3 (Abcam, ab1791) was incubated overnight and a Cy5-conjugated secondary antibody (Invitrogen, A10523) applied for one hour at RT. Whole slide fluorescence imaging was performed with the Zeiss Axio Scan.Z1 microscope (20x objective lens).

Single-nucleus RNA-Seq analyses

Single-nucleus RNA-sequencing (SNRS) expression from TNBC tumors, pre- and post-NAC, were kindly provided by Dr. Nicholas E. Navin from a previously published study (*322*). Expression in TPM was log-transformed and scaled using the Seurat v3.0 R package (*323*). Tumor samples (KTN615, KTN132, KTN102, and KTN152) with persistent disease after NAC were evaluated for *MYCN* expression. tSNE dimensionality reduction, violin, and balloon plots were created using Seurat v3.0.

Survival analyses

Disease/progression-free and overall survival of patients represented in the TNBC587 dataset have been previously published *(98)*. Analyses compared survival characteristics of patients with positive versus negative median-centered log₂ normalized *MYCN* expression. In accordance with protocols described in the section "*Patient tissue and tissue microarrays (TMAs)*," survival data for patients with tissue in the three TNBC cohorts (primary, treatment-naïve; primary, NAC-treated; and recurrent) was curated and
analyzed with respect to MYCN H-scores. Survival curves for these datasets were generated using Graphpad Prism 7.0a.

Cell culture and in vitro assays

<u>Cell culture</u>: TNBC cell lines, CAL-51 (DSMZ, ACC 302) and MDA-MB-468 (ATCC, HTB-132), and neuroblastoma cell line, SK-N-BE(2)C (kindly provided by Dr. Dai H. Chung, Vanderbilt University), were cultured and maintained in DMEM (Gibco, 11965-092), 5% (v/v) FBS (Gemini, 100-106), 100U/ml penicillin, and 100 µg/ml streptomycin (Gemini, 400-109). Clonal cell lines were generated by seeding serial dilutions into 96-well plates (Costar, 3997). Wells containing single cells were identified the following day and expanded into clonal cell lines. Short tandem repeat DNA fingerprinting analyses (performed by Cell Line Genetics) were conducted on CAL-51 and MDA-MB-468 (March, 2011) and five CAL-51 clonally-derived cell lines (Cln3, Cln5, Cln15, Cln37, and Cln39) (April, 2016). All cell lines were routinely tested with results negative for the presence of *mycoplasma* (Lonza Bioscience).

<u>Cell line-derived xenograft (CDX) tumor growth</u>: CAL-51, MDA-MB-468, and SK-N-BE(2)C cell lines were resuspended in PBS at 5.0X10⁶ cells per 200 µl and injected subcutaneously into the flanks of NSG mice. CDX tumor dimensions were measured with calipers and tumor volumes estimated using the formula, (width² X length/2). Once tumors reached ~1000 mm³, CDXs were collected, placed in 10% buffered formalin overnight at RT, transferred to 70% ethanol, and paraffin-embedded at the Translational Pathology Shared Resource (TPSR) of Vanderbilt University Medical Center. All experiments were

conducted in accordance with approved protocols by the Institutional Care and Use Committee for animal research at Vanderbilt University Medical Center.

<u>Development of PI3K inhibitor-resistance</u>: CAL-51 cells were initially treated with PI3K inhibitor, taselisib (GDC-0032), at the IC₅₀ for CAL-51 (100 nM). Media was replaced at least once a week and cultures treated with the same dose, or 25% higher, the day following each passage. CAL51 cells were considered PI3Ki-resistant after demonstrating a >10-fold increase in IC₅₀ to taselisib, compared to the starting parental CAL-51 cell line, after a two-week "drug holiday." Taselisib was not applied at time of single-cell isolation or thereafter.

<u>Drug sensitivity assays</u>: Compounds were either purchased from commercial vendors or supplied under a material transfer agreement (MTA) through Genentech or Incyte Corporation (tables S6 and S7). VU661013 was kindly provided by Dr. Stephen W. Fesik, Vanderbilt University. CAL-51 cell lines were seeded in quadruplicate (1,500 cells/well) in 96-well plates (Costar, 3997) and treated with a six-point, three-fold dose-escalation alongside untreated controls for 72 hours. AlamarBlue (ThermoFisher Scientific, DAL1100) was applied to all assays according to manufacture recommendations and fluorescence analyzed with microplate data collection and analysis software Gen5 (Biotek). Viability and IC₅₀ analyses were determined in Prism (Graphpad, 7.0a) by performing a non-linear fit to log₁₀ normalized fluorescence values.

Bliss independence analyses were performed to determine synergy between combination treatments (*324, 325*). The theoretical Bliss expectation (C) between the fractional growth of drug A and B, at a given dose, was calculated by C = (A+B) - (A*B). The delta Bliss is the difference between the Bliss expectation and the observed growth inhibition between drug A and B at the same dose. A Bliss score = 0 indicates the combination treatment is additive and effects are likely through independent pathways. Therefore, a Bliss score > 0 is considered synergistic and < 0 antagonistic.

<u>Proliferation assays</u>: CAL-51^{Parental} clonal cell lines were seeded at 10,000 cells per well in triplicate into adherent (Sarstedt, 83.3920) or ultra-low adherent (Corning, 3471) sixwell plates. Cells were collected daily and counted using the TC20 automated cell counter (Bio-Rad). Doubling rate was calculated between day one and five for adherent cells and due to a latency in initial proliferation, between day three and five for forced-suspension cells, using the formula:

$$DoublingTime = \frac{Duration*\log(2)}{\log(FinalConcentration) - \log(InitialConcentration)}$$

<u>Chamber slides</u>: CAL-51 and MDA-MB-468 cell populations were seeded into eight-well chamber microscope slides (Corning, 354108) at 10,000 and 16,000 cells per well, respectively. After three days, chamber slides were treated with a dose-escalation of 0.25, 0.5, or 1.0 μ M INCB054329 or JQ1 for 24 hours. For combination treatment assays, cells were treated with 0.25 μ M trametinib, 0.5 μ M INCB054329, or 0.5 μ M JQ1 alone, or with the combination of trametinib and either BETi, for 48 hours.

<u>Colony formation assays</u>: For single-agent colony formation assays (CFAs), CAL-51 clonal cell lines were seeded into six-well plates (Sarstedt, 83.3920) or twelve-well plates (Corning, 353043) at 1,000 cells per well and treated with either 0.5 μ M or 1.0 μ M INCB054329 for six days. For combination treatment CFAs, CAL-51 and MDA-MB-468 cell populations were seeded at 1,500 and 3,000 cells per well in 48-well plates (Costar,3548) and treated with a dose escalation of INCB054329 [CAL-51 (0.13, 0.25, 0.5 μ M), MDA-MB-468 (0.03, 0.06, 0.13 μ M)], JQ1 [CAL-51 (0.13, 0.25, 0.5 μ M), MDA-MB-468 (0.06, 0.13, 0.25 μ M)], or trametinib [CAL-51 (2.5, 5, 10, 20, 40 nM), MDA-MB-468 (0.63, 1.25, 2.5, 5, 10 nM)] as single-agents or with trametinib in combination with either BETi for six days. Colonies were washed with PBS, fixed with 100% methanol for 10 minutes, and stained with a 1:1 mixture of methanol and crystal violet aqueous solution (Electron Microscopy Sciences, 26106-01) at RT for 1 hour. Cells were washed three times with dH₂O before placing inverted to dry. Quantification was performed using the Odyssey infrared imaging system and Odyssey software (Li-COR Biosciences).

<u>siRNA-mediated knockdown</u>: CAL-51 clonal cell lines were seeded into six-well plates at 100,000 cells per well and knockdown of *MYCN* (10 or 25 nM, Dharmacon, D-003918-08), alongside a non-targeting control (Dharmacon, D-001810-10), was performed according to the DharmaFECT Transfection Reagents siRNA transfection protocol using Dharmacon transfection reagent (Dharmacon, T-2004-03):

https://dharmacon.horizondiscovery.com/uploadedFiles/Resources/basic-dharmafectprotocol.pdf.

Transfection medium was replaced with complete medium after six hours and plates incubated at 37°C for four days. Viability was assessed by incubating cells with alamarBlue prior to cell collection for immunoblot analyses.

| Protein Target | Company | Catalog # | Species | Immunoblot | IHC | IF | TSA-IF |
|-------------------|---------------------|-----------|---------|------------|--------------------|-------|--------|
| MYCN | Santa Cruz | sc-53993 | Mouse | 1:500 | | | |
| MYCN | Cell Signaling | 9405 | Rabbit | 1:500 | | | |
| MYCN | MYCN Cell Signaling | | Rabbit | 1:500 | 1:150 [†] | | 1:500 |
| MYC | VAPR | 9E10 | Rabbit | 1:500 | | | |
| MYC | Cell Signaling | 5605 | Rabbit | 1:1000 | | | |
| MYC | Abcam | ab32072 | Rabbit | 1:1000 | 1:150 | | 1:500 |
| pERK1/2 | Cell Signaling | 4370 | Rabbit | 1:2000 | | | |
| ERK1/2 | Cell Signaling | 9107 | Mouse | 1:2000 | | | |
| Cleaved PARP | Cell Signaling | 5625 | Rabbit | 1:1000 | | | |
| Cleaved Caspase-3 | Cell Signaling | 9661 | Rabbit | 1:500 | | | |
| Beta-Actin | Santa Cruz | sc-47778 | Mouse | 1:500 | | | |
| GAPDH | Millipore | MAB374 | Mouse | 1:25000 | | | |
| Histone H3 | Abcam | ab1791 | Rabbit | | | 1:100 | |

 Table 1. Specifications for antibodies used

† General concentration, titrations and optimal concentration should be determined per antibody lot

Immunoblotting and antibodies

All cells were lysed using RIPA (pH 7.4) buffer [150mM NaCl, 50mM Tris-HCl (pH 7.5), 0.1% SDS, 1.0% NP-40, 0.5% Deoxycholic Acid, 5mM EDTA] supplemented with protease and phosphatase inhibitors (Chymostatin: Calbiochem, 230790; Leupeptin: Calbiochem, 108975; Antipain: Calbiochem, 178220; Pepstatin A: Millipore, 195368; AEBSF: Calbiochem, 101500; PMSF: Sigma, P-7626; NaVan: Sigma, S6508). Equal amounts of protein were separated on SDS polyacrylamide gels and transferred onto PVDF membrane (Millipore, IPVH00010). Antibodies used for cell line immunoblot analyses were against MYCN (Santa Cruz, sc-53993), MYC (VAPR, 9E10), and Actin (Santa Cruz, sc-47778). For PDX lysate, antibodies were against MYCN (Cell Signaling, 9405), MYC (Cell Signaling, 5605), cleaved-Caspase 3 (Cell Signaling, 9661), cleaved-

PARP (Cell Signaling, 5625), and GAPDH (Millipore, MAB374). Additional antibodies included pERK1/2^{T202/Y204} (Cell Signaling, 4370) and ERK1/2 (Cell Signaling, 9107).

Oncology drug screens

Primary and secondary drug screens were conducted at the High-Throughput Screening (HTS) facility at Vanderbilt University. The primary drug screen contained 158 drugs and was comprised of compounds from the NCI FDA-approved AOD library set (http://dtp.cancer.gov), Selleck Chemicals, and other venues (see table S6 for vendor and catalog numbers). The screen was conducted in 384-well plates using a ten-point, three-fold dose escalation of each compound for 72 hours. AlamarBlue was applied (see 'Drug sensitivity assays' section) and IC₅₀ results determined in Prism (GraphPad, 7.0a) by performing a non-linear fit to log₁₀ normalized (to DMSO-treated control) fluorescence values. Compounds with a greater or less than two-fold change in IC₅₀ between MYCN^{Low} and MYCN^{High} clonal cell lines were used in a secondary screen, alongside additional related compounds (see table S7 for source and catalog numbers), for a total of 40 drugs.

RNA isolation, sequencing, and analyses

CAL-51 clonal cell lines were treated with 0.5 µM INCB054329 or DMSO control for 4 hours and total RNA collected using the Aurum Total RNA Mini Kit (Bio-Rad, 732-6820) according to manufacturer recommendations. All RNA samples were assessed for quality and quantified using a NanoDrop One Spectrophotometer (Thermo Fisher Scientific, ND-ONE-W). Samples were further analyzed using the Qubit RNA assay and

Agilent BioAnalyzer 2100. Library preparation and sequencing was performed at the Vanderbilt Technologies for Advanced Genomics (VANTAGE). Libraries were prepared using the Illumina TruSeq RNA Library Preparation Kit (poly-A capture, stranded) and sequenced on the Illumina HiSeq 3000 (20-30 million 75 base-pair (bp), paired-end reads per sample). Reads were trimmed to remove TruSeq adapter sequences using Flexbar v2.5 and aligned to hg19 with STAR v2.5.2a using default parameters and GENCODE v25lift37 annotations (326–328). Transcript assembly and abundance estimation was performed using Cufflinks v2.2.1 (329). Gene-level FPKM estimates were converted to TPM. DESeq2 v1.24.0 (330) was used to determine differential gene expression (FDR-adjusted, p <0.1) and heatmap was generated using the pheatmap package (331). The Gene Set Enrichment Analysis (GSEA, v3) (332) was used to identify enriched signatures in the MSigDB database (v6.1) (333).

Precision nuclear run-on sequencing (PRO-seq)

Four CAL-51 clonal cell lines were treated with 0.5 μM INCB054329 or DMSO control for 15 minutes and nuclei isolated by incubating cells with isotonic swelling buffer (ISB: 10 mM Tris-Cl pH7.4, 300 mM Sucrose, 3 mM CaCl₂, 2 mM MgCl₂, 1 mM PMSF) plus RNAse (Applied Biosystems, N8080119) and EDTA-free protease and phosphatase inhibitors (see 'immunoblotting and antibodies' section) for 5 minutes. Cells were scraped, spun down, and lysed in isotonic lysis buffer (ISB plus 0.4% Triton X-100 and 10% glycerol) for 5 minutes. Cells were further lysed via dounce-homogenization and stored at -80°C in glycerol storage buffer (50 mM Tris-Cl pH8.3, 5 mM MgCl₂, 0.1 mM EDTA, 40% glycerol) plus inhibitors at a concentration of 1.5x10⁷ million cells/100 μl.

All buffers were made with DEPC-treated, nuclease-free water. Biotin run-on was conducted by incubating nuclei with Sarkosyl nucleotide buffer (10 mM Tris-Cl pH8.0, 5 mM MgCl₂, 0.1 mM DTT, 300 mM KCl, 380 µM NTPs (ATP, GTP, and UTP; ThermoFisher Scientific), 500 µM biotin-11-CTP (PerkinElmer, NEL542001), 1% Sarkosyl, SUPERase In RNase Inhibitor (ThermoFisher Scientific, AM2694)) for 3 minutes at 30°C. RNA was isolated through TRIzol LS/chloroform extraction and ethanol (EtOH) precipitation. Base hydrolysis was performed by dissolving air-dried RNA pellet in DEPC-treated dH₂O, heating RNA to 65°C for 40 sec, and incubating samples with 0.2 N NaOH for 10 minutes on ice. After neutralization with an equal volume of 1M Tris-HCl pH6.8, nascent RNAcapture was performed by incubating samples with Dynabeads® MyOne[™] Streptavidin T1 magnetic beads (ThermoFisher Scientific, 65601) according to manufacturer recommendations for 20 minutes at RT. RNA-bound beads were subjected to a series of wash steps using high salt wash buffer (HS: 50 mM Tris pH7.4, 2 M NaCl, 0.1% Triton X-100), medium salt (MS: 10 mM Tris pH 7.4, 300mM NaCl, 0.1% Triton X-100), and low salt wash buffer (LS: 5 mM Tris pH7.4, 0.1% Triton X-100). RNA was extracted twice from beads with TRIzol/chloroform, mixed with 2 µl of 20 mg/ml glycogen, and EtOH precipitated.

To ligate adapters to the 3' end of RNA, pellets were resuspended in 5 μ l of 2 μ M Reverse 3' RNA adapter (5'-GAUCGUCGGACUGUAGAACUCUGAAC-3', Integrated DNA Technologies (IDT)) and mixed with ligation buffer (LB: T4 RNA ligase (NEB), 1X T4 RNA ligase buffer, 1mM ATP, SUPERase In) for 6 hours at 20 °C. Adapter-bound RNA was subjected to another round of streptavidin bead capture, gradient salt washes, and EtOH precipitation. To cap the 5' end, RNA was resuspended in 5 μ l DEPC-treated dH₂O

and incubated with CAP mix (CAP CLIP (CellScript, C-CC15011H), 1X CAP CLIP buffer, SUPERase In) at 37°C for 2 hours. Again, RNA was extracted via TRIzol/cholorform incubations, mixed with glycogen, and EtOH precipitated. To repair the 5' hydroxyl after capping, RNA pellets were resuspended in 5 µl DEPC dH₂O and mixed with PNK buffer (T4 PNK (NEB), 1X PNK buffer, 1 mM ATP, SUPERase In) at 37°C for 1 hour. For 5' adapter ligation, RNA pellets were resuspended in 2 µM Reverse 5' RNA adapter (5'-CCUUGGCACCCGAGAAUUCCA-3', IDT) and incubated with LB at 20°C for 6 hours.

After streptavidin-mediated RNA-capture and TRIzol/chloroform extraction, dried pellets were resuspended in 5 µM RNA PCR Primer RP1 (5'-AATGATACGGCGACCAC CGAGATCTACACGTTCAGAGTTCTACAGTCCGA-3', IDT) and incubated with reverse transcriptase (RT) mix (1.6 mM dNTP mix (NEB, N0446S), 1x first strand synthesis buffer, 25 mM DTT, SUPERase In) for 3 minutes at 48°C. Superscript III RTase (ThermoFisher Scientific, 18080093) was added to each sample, and RNA incubated in thermocycler (44°C for 20 minutes, 52°C for 45 minutes, 4°C hold). After performing test amplifications, optimal RNA dilutions were determined and subjected to full-scale amplifications by mixing RT product with PCR mix (Phusion polymerase (NEB), 1x HF buffer, 1M betaine, 250 µM dNTP mix, and 1.25 µM RP1) and one of eight RPI-indices (TruSeq barcodes, Illumina). RNA was amplified using thermocycling previously described (334), ran through 8% native polyacrylamide gels, and extracted using soaking buffer (1X TE buffer, 150 mM NaCl, 0.02% Tween-20) via two overnight incubations at 37°C. Samples were passed through Costar Spin-X centrifuge filters to remove gel debris, RNA phenol-chloroform extracted, EtOH precipitated, and resuspended in 10 µM DEPC-treated dH₂O for sequencing.

PRO-Seq quality control (QC) and sequencing were performed at VANTAGE. Nascent RNA quality and quantification was assessed using the Qubit RNA assay and Agilent BioAnalyzer 2100. Sequencing was performed using the Illumina NextSeq 5000 (30-45 million 75 bp, single-end reads per sample). Reads were trimmed to remove TruSeq adapter sequences using Flexbar v2.5, converted to their reverse complement using FASTX-Toolkit v0.0.13 "fastx_reverse_complement" command, and aligned to hg19 with BWA-MEM v0.7.13 using default parameters (*326, 335, 336*). Aligned reads with a quality score less than 30 were removed with SAMtools v1.3.1 (*337*). Nascent RNA sequencing analysis (NRSA) was used to quantify nascent RNAs, identify paused genes as well as active enhancers, and detect transcriptional changes between INCB054329and DMSO-treated cells (*338*).

In vivo patient-derived xenograft (PDX) experiments performed at VUMC

Mice were housed and treated in accordance with protocols approved by IACUC for animal research at Vanderbilt University. Female 6-8 week-old NOD *scid* gamma (NSG) or athymic nude mice (Jackson Laboratory) were anesthetized with isoflurane and subjected to subcutaneous engraftment of a 2 mm³ TNBC PDX [Jackson Laboratory (TM00096, TM00090, TM01273), Baylor University (BCM-2147), Xentech (HBCx1)] fragment into the lateral dorsal side of each mouse. Ten to fourteen days post-surgical implantation and daily monitoring, the mice were anaesthetized and wound clips removed. Once PDX tumors reached approximately 150-250 mm³, mice were randomized into single-agent and combination treatment groups and administered MEK inhibitor, trametinib (0.1mg/kg, once daily), and/or a BET inhibitor, INCB054329 or JQ1

(50mg/kg, twice daily), in 0.5% methylcellulose with 0.2% Tween-80 or 5% N,N-Dimethylacetamide, respectively, through orogastric gavage for 14 or 22 days. Tumor volume was calculated twice a week by caliper measurements (width² X length/2) and body weight measured once a week. Tumors were not allowed to reach the maximum size of 2,000 mm³. Tumors used for subsequent molecular analyses were snap-frozen and deposited in a liquid nitrogen storage tank.

In vivo PDX experiments performed at Champions Oncology

The PDX study analyzing BETi and MEKi treatment on CTG-1475 PDX tumor growth was performed at Champions Oncology and conducted according to the guidelines of Institutional Animal Care and Use Committee (IACUC) of Champions Oncology. Subcutaneous engraftments were performed on 5-8 week-old female immune compromised mice. Once tumors reach 150-300 mm³, mice were matched by tumor size, placed into treatment arms, and administered trametinib (0.1mg/kg, once daily) or INCB057643 (10mg/kg, once daily) in sterile water with 0.5% methylcellulose and 5% N,N-Dimethylacetamide through orogastric gavage, as single-agents or in combination for 30 days. Mouse weight and tumor size were measured twice a week and volume calculated with the formula, width² X length X 0.52.

PDX TMA construction and analyses

For molecular analyses on PDX tissue, samples were collected after initial (two days) and final (22 days) doses for the TM00096, HBCx1, and BCM-2147 models. Tissues were fixed in 10% neutral-buffered formalin (Thermo Fisher Scientific, 5701) at

RT overnight, transferred to 70% ethanol, and paraffin-embedded at TPSR at VUMC. Three 1 mm cores were punched from each FFPE block in regions selected by a pathologist to construct three TMAs. Four-micron sections from each TMA were used to perform IHC staining for Ki67 (Leica Biosystems) through services provided at TPSR. Whole slide imaging and quantification of immunostaining were performed at the Digital Histology Shared Resource (DHSR) at VUMC using the Leica SCN400 bright field microscope (40x objective lens; 0.25 µm/pixel) and Digital Image Hub slide-hosting software (Leica Microsystems). In parallel, TSA-IF for MYCN and MYC was applied to additional TMA sections, alongside positive controls, MYCN-amplified neuroblastoma patient tumor and cell line-derived xenograft SK-N-BE(2)C. Slides were scanned using the Aperio Versa 200 or Zeiss Axio Scan.Z1 fluorescence microscopes (20x objective lens). TMAs were de-arrayed into individual fluorescence channels (Leica, Review) and mean pixel intensities for each fluorophore quantified per nuclei for each treatment timepoint using the Cellprofiler software package (339). Results were displayed as violin plots using R package ggplot2.

CHAPTER III

CHARACTERIZATION OF MYCN EXPRESSION IN TRIPLE-NEGATIVE BREAST CANCER DISEASE ETIOLOGY AND PROGRESSION

Introduction

The development of targeted therapies for TNBC is challenging due to its molecular heterogeneity and lack of therapeutically targetable, high-frequency "driver" alterations (106). Aside from TP53, the majority of mutations found in TNBC are within the PI3K/mTOR or RAS/RAF/MEK signaling pathways. The most frequent oncogenic mutations in TNBC occur in 'hotspot' regions of the PIK3CA gene (E545 helical domain and H1047 kinase domain) (340), and the most frequently amplified oncogene is MYC (321, 341). MYC family members, MYC, MYCN, and MYCL (encoding proteins MYC, MYCN, and MYCL, respectively), are transcription factors that regulate the expression of genes involved in normal development, cell growth, proliferation, metabolism, and survival (161). Aberrant expression of MYC family members has been considered tumorigenic in a tissue-specific manner [e.g. MYCN in neuronal (271, 273) or neuroendocrine tumors (256, 342) and MYCL in the lung (161)]. However, recent reports have shown elevated MYCN expression in non-neuronal tissues, such as ovarian (343) and prostate cancer (344), as well as hematopoietic cells that give rise to acute lymphoblastic (345) and myeloid (251) leukemias. Further, there is increasing evidence that MYCN expression is deregulated in a subset of breast cancers with both unfavorable prognostic features and clinical outcomes (260, 261, 346). MYCN transcript has been

found in circulating breast tumor cell clusters within the bloodstream of breast cancer patients (347) and is associated with a stem-cell program found in tumor-initiating metastatic cells (261), implicating a role for *MYCN* in recurrence and the metastatic spread of breast cancer.

Through experiments described in this chapter, we determined the frequency and levels of MYCN expression in primary TNBC, the relative expression of MYCN versus MYC in tumor cell populations, and if MYCN expression levels change in response to neoadjuvant chemotherapy (NAC). Further, we provide evidence that MYCN-expressing cells have survival advantages after cellular stress and acquire resistance to anti-cancer agents. While this chapter focuses on the discovery and initial characterization of MYCN expression in TNBC, subsequent chapters will focus on therapeutic strategies to target MYCN-expressing TNBC and other breast cancer subtypes.

Results

A significant fraction of primary TNBC express MYCN

To evaluate *MYCN* expression in TNBC, we first identified TNBC tumors from primary, treatment-naïve cases in The Cancer Genome Atlas (TCGA) Breast Invasive Carcinoma (BRCA) dataset (Figure 3A) *(340)*. *MYCN* transcript was expressed in all tumors [transcript per million (TPM) >0] and elevated [>12 TPM, >1 standard deviation (SD) above the mean] in 10.2% (20/197) of cases (Figure 4A). Likewise, we detected elevated *MYCN* expression in a similar proportion of primary TNBC cases in two other datasets, TNBC587 (>0.65 median-centered log₂ normalized, n=65/587) *(97)* and Molecular Taxonomy of Breast Cancer International Consortium (METABRIC) (>7 log₂



Figure 3. Identification of TNBC breast cancers in TCGA, METABRIC, Siegel, and MET500 breast cancer datasets. (A-D) Histograms showing discrete RNA-Seq mRNA expression cutoffs for the estrogen receptor (ER), progesterone receptor (PR), and human epidermal growth factor receptor 2 (HER2) to identify TNBC tumors in TCGA (BRCA) (A), METABRIC (B), Siegel (320) (C), and MET500 (D) datasets. A tumor was categorized as TNBC if the ER, PR, and HER2 RNA levels were in the first peak of the bimodal distribution.

normalized, n=48/323) (*348*) (Figure 3B and Figure 5, A and B). To gain insight to the biological relevance of *MYCN* expression in TNBC, we compared *MYCN* transcript levels in primary, treatment-naïve TNBC (source: TCGA, BRCA) to expression levels in known MYCN-driven cancers (*349*) (Figure 4B). Cancers with *MYCN* gene amplification such as neuroblastoma (NB), glioblastoma multiforme (GBM), and acute myeloid leukemia (AML) originate from migrating neural crest cells, neural stem cells, or hematopoietic stem cells, respectively (*349*). *MYCN* is also amplified or overexpressed in at least 20% and 60% of adenocarcinoma (Adeno) and neuroendocrine (NE) castration-resistant prostate cancer (CRPC) cases, respectively (*256, 344*). While transcript levels in TNBC were not as high as NB (*318*), AML (source: TCGA, LAML), or GBM (source: TCGA, GBM), *MYCN* expression was similar to NE-CRPC and signifcantly higher (p<0.0001) than Adeno-CRPC (*259, 319*) (Figure 4B). Further, elevated *MYCN*-expressing TNBC cases identified in TCGA (Figure 4A) had higher *MYCN* expression levels than the top *MYCN*-expressing NE-CRPC tumors (Figure 4B).

The TNBC587 dataset, curated from 21 Affymetrix breast cancer datasets, was used to identify distinct TNBC transcriptional subtypes [basal-like (BL1 and BL2), mesenchymal (M), and luminal androgen receptor (LAR)] with unique gene expression and molecular ontologies (97, 98). We evaluated *MYCN* expression within the TNBC587 dataset to determine if the expression was associated with a particular TNBC subtype. *MYCN* transcript levels were significantly enriched in M-subtype tumors (one-way ANOVA, p=0.0225), which exhibit higher expression of genes involved in cell motility and epithelial-to-mesenchymal transition (EMT) (Figure 5C) (97). By applying the same methodology to identify TNBC subtypes in TCGA and METABRIC datasets, we found *MYCN* had the



Figure 4. MYCN RNA and MYCN protein expression in primary, treatment-naïve TNBC.

(A) *MYCN* transcript levels (TPM) from 197 primary, treatment-naïve TNBC [source: TCGA (BRCA)]. SD, standard deviation. μ , mean. (B) Violin plot showing *MYCN* expression in TNBC [source: TCGA (BRCA), n=197] compared to neuroblastoma (NB, n=161) (*318*), acute myeloid leukemia (AML) [source: TCGA (LAML), n=173], glioblastoma multiforme (GBM) [source: TCGA (GBM), n=156], and castration-resistant prostate cancer (CRPC), including neuroendocrine (NE, n=15) and adenocarcinoma (Adeno, n=123) (*259, 319*). Pairwise Wilcox t-test between TNBC and the other cancer types, ****p<0.0001. ns, not significant. (C) MYCN protein levels (H-scores) from 191 primary, treatment-naïve TNBC [source: Vanderbilt University Medical Center (VUMC) and US Biomax]. Int., intermediate. (D) Representative MYCN IHC images in TNBC devoid of MYCN protein expression (H-score=0), that contain intermediate levels of MYCN (H-score between >0 and ≤30), or that have high MYCN (H-score >30). Scale bar, 20 µM.



Figure 5. Distribution of *MYCN* expression across TNBC and within TNBC subtypes. (A) Median-centered log₂ normalized *MYCN* expression from 587 TNBC cases in the TNBC587 dataset (97, 98). SD, standard deviation. μ , mean. (B) *MYCN* expression from 323 primary TNBC cases identified in the METABRIC dataset (fig. S1B). (C-E) Distribution of normalized *MYCN* expression according to TNBC subtype in the TNBC587 (n=587) (C), METABRIC (n=323) (D), and TCGA (n=197) (E) datasets. See the TNBC587 publication (98), table S1, and table S2 for TNBC subtype correlations. Unpaired t-test between all TNBC subtype comparisons, *p<0.05, **p<0.01.

highest median expression in M-subtype tumors (Figure 5, D and E). *MYCN* expression was also elevated in BL1-subtype TNBC, the subtype characterized by elevated DNA damage response gene expression (97, 98). Similarly, MYCN-expressing NE-CRPC has an enrichment in DNA damage response pathway gene expression (350, 351).

Since the MYCN transcript in clinical specimens could have originated from the tumor or tumor-infiltrating immune or stromal cells, we performed MYCN immunohistochemistry (IHC) to identify the cellular distribution of MYCN protein in an independent cohort of 191 primary, treatment-naïve TNBC tumors, curated at VUMC and US Biomax. IHC demonstrated 45% of specimens contained nuclear MYCN within tumor cells, and similar to our RNA analyses, 11.5% of cases had elevated expression (H-score >30, >1 SD above the mean) (Figure 4, C and D, and Table 2). Of note, IHC specificity was confirmed with positive and negative controls from PDXs and CDXs, including SK-N-BE(2)C, a validated MYCN-amplified neuroblastoma CDX (Figure 6, A and B, and Table 3) (352). MYCN transcript levels highly correlated with IHC protein levels (H-score) across two PDX cohorts (cohort1: R²=0.968, cohort2: R²=0.822), further validating antibody specificity (Figure 6, C and D, and Table 3). Collectively, these data demonstrated the prevalence of MYCN protein in TNBC tumor cell nuclei and provided the rationale to further characterize MYCN-expressing cells in the context of disease etiology.

MYCN expression in metaplastic TNBC

Clinical correlates were evaluated to better understand TNBC tumor cell populations associated with MYCN expression. First, we evaluated the histological

Table 2. IHC of MYCN and MYC in primary, treatment-naïve; primary, NAC-treated; and recurrent TNBC cases

| | | | МҮС | | CN | N MYC | | | |
|------------------|--------------------|---------------|--------------------|-------------|---------------|------------|---------|------------|-----------|
| | | | | | | % Positive | | % Positive | |
| Specimen ID | Tissue Block | Tissue Source | Primary/Recurrence | Sample Site | Naïve/Treated | Tumor | H-Score | Tumor | H-Score |
| A9 | TMA101 | VUMC | Primary | Breast | Naïve | 80 | 155 | 5 | 5 |
| G7/G8 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 100 | 125 | NA | NA |
| E5/E6 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 100 | 115 | NA | NA |
| A8 | TMA101 | VUMC | Primary | Breast | Naïve | 60 | 110 | 30 | 35 |
| B10/J8 | TMA 11-4-09 | VUMC | Primary | Breast | Naïve | 80 | 80 | 40 | 45 |
| H5/H6 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 75 | 75 | NA | NA |
| A7 | TMA102 | VUMC | Primary | Breast | Naïve | 60 | 70 | 0 | 0 |
| E3/E4 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 62.5 | 65 | NA | NA |
| C5/C6 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 60 | 60 | NA | NA |
| B1 | TMA102 | VUMC | Primary | Breast | Naïve | 50 | 60 | 10 | 10 |
| D9/D10 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 50 | 50 | NA | NA |
| H1/H2 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 50 | 50 | NA | NA |
| D6/L4 | TMA 11-4-09 | VUMC | Primary | Breast | Naïve | 50 | 50 | 0 | 0 |
| A8 | TMA102 | VUMC | Primary | Breast | Naïve | 40 | 50 | 20 | 26 |
| A8/9 | TMA2 Mixed | VUMC | Primary | Breast | Naïve | 40 | 50 | 0 | 0 |
| L13/L14 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 47.5 | 47.5 | NA | NA |
| H9/H10 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 40 | 47.5 | NA | NA |
| L9/L10 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 42.5 | 45 | NA | NA |
| CI/C2 | TMA BRI901 | US Biomax | Primary | Breast | Naive | 45 | 45 | NA | NA |
| 1/10/J4 | TMA2 INBC | VUMC | Primary | Dreast | Naive | 40 | 43 | / | 9 |
| A //A0 F3/F4 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 42.5 | 42.5 | INA NA | INA NA |
| E5/E4 D5/I 3 | TMA 11 4 00 | VIMC | Primary | Breast | Naïve | 42.5 | 42.5 | 15 | 17 |
| B6 | TMA 102 | VINC | Drimony | Broast | Naïvo | 20 | 30 | 10 | 14 |
| R9 | TMA102 | VINC | Drimory | Breast | Naïve | 20 | 30 | 20 | 14 |
| 157 H5/H6 | TMA RR1001 | US Biomax | Primary | Breast | Naive | 25 | 27.5 | 20 NA | 57 NΔ |
| F3/F4 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 27.5 | 27.5 | NA | NΔ |
| L3/4 | TMA2 Mixed | VUMC | Primary | Breast | Naïve | 17 | 26 | 18 | 31 |
| K7/K8 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 25 | 25 | NA | NA |
| 15/16 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 22.5 | 22.5 | NA | NA |
| G5/G6 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 20 | 20 | NA | NA |
| C3/C4 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 17.5 | 17.5 | NA | NA |
| H9/H10 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 15 | 15 | NA | NA |
| E9/E10 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 15 | 15 | NA | NA |
| D1/2 | TMA2 Mixed | VUMC | Primary | Breast | Naïve | 5 | 15 | 12 | 20 |
| L1/L2 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 12.5 | 12.5 | NA | NA |
| D7/D8 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 12.5 | 12.5 | NA | NA |
| A2/3 | TMA101 | VUMC | Primary | Breast | Naïve | 10 | 12 | 10 | 15 |
| B3/B4 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 7.5 | 10 | NA | NA |
| J9/J10 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 10 | 10 | NA | NA |
| L3/L4 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 10 | 10 | NA | NA |
| K11/K12 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 10 | 10 | NA | NA |
| K9/K10 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 10 | 10 | NA | NA |
| J //J8 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 10 | 10 | NA | NA |
| C1/C2 | TMA BK1201a | US Biomax | Primary | Breast | Naive | 10 | 10 | NA 40 | NA 66 |
| C7 | TMA101 | VUMC | Primary | Breast | Naive | 10 | 10 | 40 | 00 |
| C3 F3/M1 | TMA 11 4 00 | VUMC | Primary | Breast | Naive | 10 | 10 | 15 | 29 |
| D10/I 8 | TMA 11-4-09 | VIMC | Primary | Breast | Naïva | 10 | 10 | 5 | 5 |
| C6 | TMA 101 | VINC | Drimory | Bracet | Naïve | 10 | 10 | 10 | 21 |
| A18 | Surgical Resection | VUMC | Primary | Breast | Naïve | 10 | 10 | 20 | 40 |
| C5/6 | TMA2 Mixed | VUMC | Primary | Breast | Naïve | 10 | 10 | 0 | 0 |
| E9/K3 | TMA2 TNBC | VUMC | Primary | Breast | Naïve | 10 | 10 | 0 | 0 |
| H3/4 | TMA2 Mixed | VUMC | Primarv | Breast | Naïve | 3 | 9 | 85 | 125 |
| J5/J6 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 7.5 | 7.5 | NA | NA |
| H3/H4 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 7.5 | 7.5 | NA | NA |
| J1/J2 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 7.5 | 7.5 | NA | NA |
| F3/F4 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 7.5 | 7.5 | NA | NA |
| D1/D2 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 7.5 | 7.5 | NA | NA |
| D13/D14 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 7.5 | 7.5 | NA | NA |
| D9/D10 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 7.5 | 7.5 | NA | NA |
| A6 | TMA101 | VUMC | Primary | Breast | Naïve | 6 | 6 | 5 | 5 |
| H3/H4 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 5 | 5 | NA | NA |
| H11/H12 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 5 | 5 | NA | NA |
| L11/L12 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 5 | 5 | NA | NA |
| K1/K2 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 5 | 5 | NA | NA |
| D15/D16 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 5 | 5 | NA | NA |
| E11/E12 E7/E8 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 5 | 5 | NA | NA |
| E//E8 | TMA BR1901 | US Biomax | Primary Dei | Breast | Naive | 5 | 5 | INA NA | INA NA |
| A//Að D6 | TMA DK1201a | US BIOMAX | Primary | Dreast | Inalve N | 5 | 5 | INA o | 11 |
| D0 D7 | TMA101 | VUMC | Primary | Breast | Naive | 5 | 5 | 8 | 11 |
| D/ | 1 MA102 | VUMC | Primary | Breast | Inaive | 5 | э | U | U |

| A5 | TMA102 | VUMC | Primary | Breast | Naïve | 5 | 5 | 35 | 65 |
|----------------|--------------------|-------------|----------|---------|--------|-----|-----|------------|-------------|
| R8 | TMA102 | VUMC | Primory | Breast | Naïve | 5 | 5 | 55 | 130 |
| D0 | TMA2 Mound | VUNC | Deimony | Dicast | Naive | 5 | 5 | 20 | 50 |
| G5/0 | TMA2 Mixed | VUMC | Primary | Breast | Naive | 5 | 3 | 30 | 30 |
| F1/2 | I MA2 Mixed | VUMC | Primary | Breast | Naive | 5 | 5 | 60 | 95 |
| F6/K10 | TMA2 TNBC | VUMC | Primary | Breast | Naïve | 5 | 5 | 8 | 15 |
| C9/I3 | TMA2 TNBC | VUMC | Primary | Breast | Naïve | 5 | 5 | 80 | 150 |
| J1/J2 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 4 | 4 | NA | NA |
| A9/G6 | TMA2 TNBC | VUMC | Primary | Breast | Naïve | 3 | 3 | 15 | 15 |
| J5/J6 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 2.5 | 2.5 | NA | NA |
| E7/E8 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 2.5 | 2.5 | NA | NA |
| A7 | TMA101 | VUMC | Primary | Breast | Naïve | 2 | 2 | 25 | 35 |
| R5 | TMA101 | VUMC | Primary | Breast | Naïve | 2 | 2 | 30 | 50 |
| D5 | TMA 101 | VUNC | Deimony | Dreast | Nave | 2 | 2 | 0 | 0 |
| | TMAIOI | VUMC | Primary | Breast | Inalve | 2 | 2 | 0 | 0 |
| C4 | TMA101 | VUMC | Primary | Breast | Naïve | 2 | 2 | 0 | 0 |
| F1/M9 | TMA 11-4-09 | VUMC | Primary | Breast | Naïve | 0 | 0 | 0 | 0 |
| A5 | TMA101 | VUMC | Primary | Breast | Naïve | 0 | 0 | 5 | 12 |
| C2 | TMA101 | VUMC | Primary | Breast | Naïve | 0 | 0 | 15 | 20 |
| B8 | TMA101 | VUMC | Primary | Breast | Naïve | 0 | 0 | 30 | 46 |
| F9/F10 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| 19/110 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NΔ |
| D/110 D7 | TMA 101 | VUMC | Drimory | Breast | Naïvo | 0 | 0 | 0 | 0 |
| D7 | TMA 11 4 00 | VUNC | Filliary | Dicast | Naive | 0 | 0 | 0 | 0 |
| E10/M8 | TMA 11-4-09 | VUMC | Primary | Breast | Naive | 0 | 0 | 5 | 5 |
| A8/16 | TMA 11-4-09 | VUMC | Primary | Breast | Naïve | 0 | 0 | 0 | 0 |
| E2/N10 | TMA 11-4-09 | VUMC | Primary | Breast | Naïve | 0 | 0 | 40 | 53 |
| E8/M6 | TMA 11-4-09 | VUMC | Primary | Breast | Naïve | 0 | 0 | 5 | 5 |
| B5/J3 | TMA 11-4-09 | VUMC | Primary | Breast | Naïve | 0 | 0 | 80 | 220 |
| A1/H9 | TMA 11-4-09 | VUMC | Primary | Breast | Naïve | 0 | 0 | 5 | 5 |
| I9/I10 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| J11/J12 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| C7/C8 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| D5/D6 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| C9/C10 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| 13/14 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NΔ | NΔ |
| J3/J4 13/I4 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| 1.0/14 | TMA DR1201a | US Diaman | Deimony | Dicast | Naive | 0 | 0 | NA | NA |
| A9/A10 | TMA BRI201a | US Biomax | Primary | Breast | Naive | 0 | 0 | INA | INA |
| 1//18 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 0 | 0 | NA | NA |
| E1/E2 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| 15/16 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| D1/D2 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| G3/G4 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| B1/B2 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| D3/D4 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| K15/K16 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| J9/J10 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| A9/A10 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| 13/14 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| A 12/A 14 | TMA PD1001 | US Biomax | Drimory | Breast | Naïvo | 0 | 0 | NA | NA |
| A13/A14 | TMA DR1901 | US Biomax | Primary | Dreast | Naive | 0 | 0 | IN/A NA | INA NA |
| C15/C14 | TMA DR1901 | US Biomax | Primary | Breast | Naive | 0 | 0 | INA | INA |
| 111/112 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 0 | 0 | NA | NA |
| L5/L6 | TMA BR1901 | US Biomax | Primary | Breast | Naive | 0 | 0 | NA | INA |
| K3/K4 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| J13/J14 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| I1/I2 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| K5/K6 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| B3/B4 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| L7/L8 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| B4 | TMA101 | VUMC | Primary | Breast | Naïve | 0 | 0 | 20 | 25 |
| B9 | TMA101 | VUMC | Primary | Breast | Naïve | 0 | 0 | 30 | 35 |
| B10 | TMA101 | VUMC | Primary | Breast | Naïve | 0 | 0 | 40 | 65 |
| D10 D1 | TMA 101 | VUNC | Deimony | Dreast | Nave | 0 | 0 | -10 | 0.5 |
| ы С7 | | VUMC | Primary | Breast | Naive | 0 | 0 | 25 | |
| 65 | Surgical Resection | VUMC | Primary | Breast | Naive | 0 | 0 | 35 | 45 |
| E//05 | 1 MA 11-4-09 | VUMC | Primary | Breast | Naive | 0 | 0 | 6 | 8 |
| F1/F2 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| I1/I2 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| H13/H14 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| E15/E16 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| C15/C16 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| C11/C12 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| 17/18 | TMA BR1901 | US Biomay | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| A5/A6 | TMA BR1901 | US Biomay | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| K13/K14 | TMA BR1901 | US Biomay | Primary | Breast | Naïve | 0 | 0 | NΔ | NΔ |
| 115/114 | TMA DD1001 | US Piorer | Drimony | Broast | Now | 0 | 0 | NA NA | NA |
| F12/F14 | TMA DR1901 | US BIOIIIAX | Fiillary | Di cast | Naive | 0 | 0 | INA NA | IN/A NIA |
| E15/E14 | TWIA BK1901 | US Blomax | Primary | Breast | Ivalve | 0 | 0 | INA | INA |
| A10 | 1 MA101 | VUMC | Primary | Breast | Naïve | 0 | 0 | 5 | 9 |
| A1 | TMA101 | VUMC | Primary | Breast | Naïve | 0 | 0 | 5 | 9 |
| B9/J7 | TMA 11-4-09 | VUMC | Primary | Breast | Naïve | 0 | 0 | 5 | 5 |

| B1/I10 | TMA 11-4-09 | VUMC | Primary | Breast | Naïve | 0 | 0 | 45 | 70 |
|--------------------|--------------------|-----------|------------|--------|-------|----------|-----|-----------|----------|
| G11/G12 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| G15/G16 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| A1/A2 | TMA BR1001 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| A1/A2 | TMA DR1901 | US Diomax | Duinnany | Dreast | Naive | 0 | 0 | NA | NA |
| A11/A12 | TMA BK1901 | US Biomax | Primary | Breast | Naive | 0 | 0 | INA | INA |
| 111/112 | TMA BR1201a | US Biomax | Primary | Breast | Naive | 0 | 0 | NA | INA |
| H7/H8 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| G9/G10 | TMA BR1201a | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| H11/H12 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| F5/F6 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| B15/B16 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| C5/C6 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NA | NA |
| 13/14 | TMA BR1901 | US Biomax | Primary | Breast | Naïve | 0 | 0 | NΔ | NΔ |
| JJ/J4 A 15/A 16 | TMA DR1901 | US Diomax | Duimany | Dreast | Naive | 0 | 0 | NA | NA NA |
| A15/A10 | TMA BK1901 | US Biomax | Primary | Breast | Naive | 0 | 0 | INA 10 | INA |
| F1/M9 | 1 MA 11-4-09 | VUMC | Primary | Breast | Naive | 0 | 0 | 10 | 11 |
| E1/L9 | TMA 11-4-09 | VUMC | Primary | Breast | Naïve | 0 | 0 | 15 | 17 |
| A10 | TMA102 | VUMC | Primary | Breast | Naïve | 0 | 0 | 0 | 0 |
| A2 | TMA102 | VUMC | Primary | Breast | Naïve | 0 | 0 | 10 | 13 |
| B2 | TMA102 | VUMC | Primary | Breast | Naïve | 0 | 0 | 0 | 0 |
| B3 | TMA102 | VUMC | Primary | Breast | Naïve | 0 | 0 | 0 | 0 |
| D.5 | TMA102 | VUNC | n innar y | Dicast | Naive | 0 | 0 | 0 | 0 |
| B5 | 1 MA102 | VUMC | Primary | Breast | Naive | 0 | 0 | 0 | 0 |
| A9 | TMA102 | VUMC | Primary | Breast | Naïve | 0 | 0 | 5 | 5 |
| A1 | TMA102 | VUMC | Primary | Breast | Naïve | 0 | 0 | 8 | 8 |
| B4 | TMA102 | VUMC | Primary | Breast | Naïve | 0 | 0 | 10 | 19 |
| A3/A4 | TMA102 | VUMC | Primary | Breast | Naïve | 0 | 0 | 20 | 33 |
| F2/4 | TMA2 Mixed | VUMC | Drimory | Breast | Naïvo | 0 | 0 | 20 | 0 |
| F 3/4 | TMA2 MIXed | VUNC | Printary | Dicast | Naive | 0 | 0 | 0 | 0 |
| G3/4 | TMA2 Mixed | VUMC | Primary | Breast | Naive | 0 | 0 | 0 | 0 |
| H7/8 | TMA2 Mixed | VUMC | Primary | Breast | Naïve | 0 | 0 | 5 | 5 |
| 15/6 | TMA2 Mixed | VUMC | Primary | Breast | Naïve | 0 | 0 | 5 | 5 |
| G7/8 | TMA2 Mixed | VUMC | Primary | Breast | Naïve | 0 | 0 | 6 | 8 |
| B1/2 | TMA2 Mixed | VUMC | Primary | Breast | Naïve | 0 | 0 | 11 | 12 |
| C7/8 | TMA2 Mixed | VUMC | Primary | Breast | Naïve | 0 | 0 | 12 | 14 |
| 17/8 | TMA2 Mixed | VUMC | Primary | Breast | Naïve | 0 | 0 | 10 | 19 |
| D7/8 | TMA2 Mixed | VUMC | Primary | Breast | Naïve | Ő | 0 | 25 | 30 |
| D7/0 H5/6 | TMA2 Mixed | VUMC | Drimory | Breast | Naïvo | 0 | 0 | 15 | 20 |
| H5/0 | TMA2 Mixed | VUMC | Primary | Breast | Naive | 0 | 0 | 15 | 30 |
| K1/2 | I MA2 Mixed | VUMC | Primary | Breast | Naive | 0 | 0 | 35 | 35 |
| D9/10 | TMA2 Mixed | VUMC | Primary | Breast | Naïve | 0 | 0 | 30 | 62 |
| F5/6 | TMA2 Mixed | VUMC | Primary | Breast | Naïve | 0 | 0 | 60 | 115 |
| C1/H5 | TMA2 TNBC | VUMC | Primary | Breast | Naïve | 0 | 0 | 0 | 0 |
| F4/K8 | TMA2 TNBC | VUMC | Primary | Breast | Naïve | 0 | 0 | 2 | 2 |
| A4/G1 | TMA2 TNBC | VUMC | Primary | Breast | Naïve | 0 | 0 | 10 | 10 |
| D5/I9 | TMA2 TNBC | VUMC | Primary | Breast | Naïve | 0 | 0 | 10 | 12 |
| D6/I10 | TMA2 TNBC | VUMC | Primary | Breast | Naïve | 0 | 0 | 40 | 45 |
| D0/110 D7/8/0 | TMA D2 | VUNC | Duimany | Dreast | NAC | 00 | 180 | 40 | 4.5 |
| D//8/9 | TMA P5 | VUMC | Primary | Breast | NAC | 90 | 180 | 0 | 0 |
| C9 | TMA101 | VUMC | Primary | Breast | NAC | 75 | 120 | 15 | 21 |
| D7/8/9 | TMA P2 | VUMC | Primary | Breast | NAC | 80 | 110 | 5 | 5 |
| J1/2/3 | TMA P2 | VUMC | Primary | Breast | NAC | 80 | 100 | 0 | 0 |
| E7/8/9 | TMA P2 | VUMC | Primary | Breast | NAC | 90 | 100 | 50 | 95 |
| E1/2/3 | TMA P2 | VUMC | Primary | Breast | NAC | 60 | 90 | 0 | 0 |
| 14/5/6 | TMA P1 | VUMC | Primary | Breast | NAC | 70 | 80 | 30 | 35 |
| F4/5/6 | TMA P3 | VUMC | Primary | Breast | NAC | 45 | 65 | 60 | 120 |
| D7/8/0 | TMA D1 | VUMC | Drimory | Breast | NAC | 4J 60 | 60 | 20 | 25 |
| D7/0/9 | | VUNC | Printary | Dicast | NAC | 54 | 60 | 20 | 23 |
| G7/8/9 | I MA P3 | VUMC | Primary | Breast | NAC | 54 | 60 | 25 | 30 |
| A1 | Surgical Resection | VUMC | Primary | Breast | NAC | 40 | 60 | 21 | 42 |
| A5 | Surgical Resection | VUMC | Primary | Breast | NAC | 40 | 55 | 80 | 155 |
| J4/5/6 | TMA P2 | VUMC | Primary | Breast | NAC | 52 | 54 | 5 | 5 |
| C7/8/9 | TMA P2 | VUMC | Primary | Breast | NAC | 40 | 40 | 20 | 25 |
| G4/5/6 | TMA P2 | VUMC | Primary | Breast | NAC | 40 | 40 | 25 | 28 |
| A7 | Surgical Resection | VUMC | Primary | Breast | NAC | 30 | 40 | 10 | 15 |
| A17 | Surgical Resection | VUMC | Primary | Breast | NAC | 30 | 40 | 40 | 80 |
| A17 | TMA 101 | VUNC | Daimany | Dreast | NAC | 15 | 40 | -10 | 45 |
| C5 | I MAIUI | VUMC | Primary | Breast | NAC | 15 | 40 | 25 | 45 |
| Go | Surgical Resection | VUMC | Primary | Breast | NAC | 30 | 40 | /0 | 140 |
| E4/5/6 | TMA P1 | VUMC | Primary | Breast | NAC | 30 | 30 | 25 | 30 |
| J4/5/6 | TMA P1 | VUMC | Primary | Breast | NAC | 30 | 30 | 0 | 0 |
| H4/5/6 | TMA P1 | VUMC | Primary | Breast | NAC | 30 | 30 | 25 | 25 |
| F4/5/6 | TMA P1 | VUMC | Primary | Breast | NAC | 30 | 30 | 35 | 65 |
| G1/2/3 | TMA P1 | VUMC | Primary | Breast | NAC | 30 | 30 | 40 | 40 |
| F4/5/6 | TMA D2 | VUMC | Primory | Breast | NAC | 30 | 30 | 20 | 20 |
| 14/5/0 | | VINC | Duiter and | Dicast | NAC | 20 | 20 | 20 | 20 |
| 14/5/0 | TMA P2 | VUMC | Primary | Breast | NAC | 50 | 50 | 0 | 0 |
| F"//8/9 | I MA P2 | VUMC | Primary | Breast | NAC | 25 | 25 | 10 | 15 |
| A1/2/3 | TMA P3 | VUMC | Primary | Breast | NAC | 25 | 25 | 5 | 5 |
| H4/5/6 | TMA P3 | VUMC | Primary | Breast | NAC | 20 | 20 | 13 | 16 |
| A4/5/6 | TMA P2 | VUMC | Primary | Breast | NAC | 20 | 20 | 0 | 0 |
| G7/8/9 | TMA P1 | VUMC | Primary | Breast | NAC | 20 | 20 | 0 | 0 |
| H1/2/3 | TMA P1 | VUMC | Primary | Breast | NAC | 20 | 20 | 0 | 0 |
| 17/8/9 | TMA P1 | VUMC | Primary | Breast | NAC | 20 | 20 | 5 | 5 |
| 1//0/2 | I IVIA F I | V UNIC | r rinnar y | Dicast | INAC | 20 | 20 | 5 | 5 |

| K4/5/6 | TMA P1 | VUMC | Primary | Breast | NAC | 20 | 20 | 0 | 0 |
|------------------|--------------------|------|----------|--------|-----|----|--------|----|-----------|
| D4/5/6 | TMA P1 | VUMC | Primary | Breast | NAC | 20 | 20 | 0 | 0 |
| L 4/5/6 | TMA D1 | VUMC | Drimory | Breast | NAC | 20 | 20 | 0 | 0 |
| 14/5/0 | | VUNC | Filmary | Breast | NAC | 20 | 20 | 0 | 0 |
| J4/5/6 | I MA P3 | VUMC | Primary | Breast | NAC | 20 | 20 | 3 | 3 |
| GI | Surgical Resection | VUMC | Primary | Breast | NAC | 10 | 20 | 30 | 58 |
| J7/8/9 | TMA P2 | VUMC | Primary | Breast | NAC | 15 | 15 | 4 | 5 |
| F7/8/9 | TMA P3 | VUMC | Primary | Breast | NAC | 15 | 15 | 5 | 5 |
| H1/2/3 | TMA P3 | VUMC | Primary | Breast | NAC | 15 | 15 | 0 | 0 |
| A4/5/6 | TMA P3 | VUMC | Primary | Breast | NAC | 15 | 15 | 10 | 10 |
| H1/2/3 | TMA P2 | VUMC | Primary | Breast | NAC | 15 | 15 | 20 | 20 |
| D1/2/3 | TMA P3 | VUMC | Primary | Breast | NAC | 15 | 15 | 0 | 0 |
| F1/2/3 | TMA P3 | VUMC | Primary | Breast | NAC | 15 | 15 | 10 | 10 |
| T1/2/3 | TMA P3 | VUMC | Drimary | Breast | NAC | 10 | 12 | 8 | 8 |
| J1/2/5 | TMA D2 | VUNC | Drimony | Draast | NAC | 10 | 10 | 50 | 00 |
| D7/8/9 | TMA P3 | VUNC | Primary | Breast | NAC | 10 | 10 | 50 | 90 |
| C1/2/5 | I MA PI | VUMC | Primary | Breast | NAC | 10 | 10 | 5 | 5 |
| C4/5/6 | TMA P1 | VUMC | Primary | Breast | NAC | 10 | 10 | 5 | 5 |
| A7/8/9 | TMA P1 | VUMC | Primary | Breast | NAC | 10 | 10 | 0 | 0 |
| J1/2/3 | TMA P1 | VUMC | Primary | Breast | NAC | 10 | 10 | 25 | 36 |
| J7/8/9 | TMA P1 | VUMC | Primary | Breast | NAC | 10 | 10 | 0 | 0 |
| 17/8/9 | TMA P2 | VUMC | Primary | Breast | NAC | 10 | 10 | 3 | 6 |
| A7/8/9 | TMA P2 | VUMC | Primary | Breast | NAC | 10 | 10 | 0 | 0 |
| C-7/8/9 | TMA P2 | VUMC | Drimary | Breast | NAC | 10 | 10 | 5 | 8 |
| G //0/> | | VUNC | Fillia y | Drast | NAC | 10 | 10 | 5 | 0 5 |
| H //8/9 | TMA P2 | VUMC | Primary | Breast | NAC | 10 | 10 | 5 | 5 |
| B4/5/6 | TMA P3 | VUMC | Primary | Breast | NAC | 10 | 10 | 20 | 20 |
| C4/5/6 | TMA P3 | VUMC | Primary | Breast | NAC | 10 | 10 | 20 | 25 |
| 14/5/6 | TMA P3 | VUMC | Primary | Breast | NAC | 5 | 10 | 5 | 6 |
| D4/5/6 | TMA P3 | VUMC | Primary | Breast | NAC | 10 | 10 | 50 | 85 |
| B7/8/9 | TMA P2 | VUMC | Primary | Breast | NAC | 10 | 10 | 10 | 13 |
| 25 | TMA9936 | VUMC | Primary | Breast | NAC | 10 | 10 | 0 | 0 |
| D6 | TMA101 | VUMC | Primary | Breast | NAC | 8 | 8 | 15 | 17 |
| C10 | TMA 101 | VIMC | Drimary | Dranst | NAC | 5 | 5 | 8 | 8 |
| | TMATOT TMA D1 | VUNC | Primary | Breast | NAC | 5 | 5 | 0 | 0 |
| F1/2/3 | TMA PI | VUMC | Primary | Breast | NAC | 5 | 5 | 5 | 5 |
| E4/5/6 | TMA P2 | VUMC | Primary | Breast | NAC | 5 | 5 | 0 | 0 |
| I1/2/3 | TMA P2 | VUMC | Primary | Breast | NAC | 5 | 5 | 7 | 9 |
| C8 | TMA101 | VUMC | Primary | Breast | NAC | 5 | 5 | 18 | 30 |
| D7 | TMA101 | VUMC | Primary | Breast | NAC | 2 | 4 | 5 | 5 |
| F2 | TMA101 | VUMC | Primary | Breast | NAC | 4 | 4 | 35 | 63 |
| D2 | TMA 101 | VINC | Drimony | Draast | NAC | 2 | 7 | 12 | 14 |
| D5 | TMA101 | VUNC | Primary | Breast | NAC | 2 | 4 | 12 | 14 |
| C2 | TMA102 | VUMC | Primary | Breast | NAC | 3 | 3 | 40 | 70 |
| E1 | TMA101 | VUMC | Primary | Breast | NAC | 3 | 3 | 18 | 32 |
| B10 | TMA102 | VUMC | Primary | Breast | NAC | 2 | 2 | 13 | 16 |
| A4 | TMA101 | VUMC | Primary | Breast | NAC | 0 | 0 | 0 | 0 |
| A4/5/6 | TMA P1 | VUMC | Primary | Breast | NAC | 0 | 0 | 0 | 0 |
| K1/2/3 | TMA P1 | VUMC | Primary | Breast | NAC | 0 | 0 | 20 | 25 |
| 32 | TMA9936 | VUMC | Drimary | Breast | NAC | 0 | ů ů | 5 | 9 |
| 34 A 2/T1 | TMA 11 4 00 | VINC | Drimary | Drast | NAC | 0 | 0 | 0 | 0 |
| A3/11 D 4/7 2 | TIVIA 11-4-09 | VUNC | Prina y | Dreast | NAC | 0 | 0 | 0 | 0 |
| D4/L2 | TMA 11-4-09 | VUMC | Primary | Breast | NAC | 0 | 0 | 3 | 3 |
| A1/2/3 | TMA P2 | VUMC | Primary | Breast | NAC | 0 | 0 | 0 | 0 |
| E4/5/6 | TMA P2 | VUMC | Primary | Breast | NAC | 0 | 0 | 5 | 5 |
| E7/8/9 | TMA P2 | VUMC | Primary | Breast | NAC | 0 | 0 | 0 | 0 |
| H4/5/6 | TMA P2 | VUMC | Primary | Breast | NAC | 0 | 0 | 10 | 12 |
| B1/2/3 | TMA P3 | VUMC | Primary | Breast | NAC | 0 | 0 | 5 | 5 |
| B2 | TMA101 | VUMC | Primary | Breast | NAC | 0 | 0 | 85 | 115 |
| 34 | TMA9936 | VUMC | Primary | Breast | NAC | 0 | 0 | 0 | 0 |
| I 1/2/3 | TMA P1 | VUMC | Primary | Breast | NAC | 0 | 0 | 0 | 0 |
| 17/2/5 17/2/0 | TMA D1 | VUMC | Drimony | Broast | NAC | 0 | 0 | 0 | 0 |
| R//0/7 | | VUNC | Filmary | Breast | NAC | 0 | 0 | 0 | 0 |
| B4/5/0 | IMA PI | VUMC | Primary | Breast | NAC | 0 | 0 | 0 | 0 |
| B1/2/3 | TMA PI | VUMC | Primary | Breast | NAC | 0 | 0 | 0 | no tissue |
| E1/2/3 | TMA P1 | VUMC | Primary | Breast | NAC | 0 | 0 | 0 | 0 |
| F7/8/9 | TMA P1 | VUMC | Primary | Breast | NAC | 0 | 0 | 15 | 15 |
| G4/5/6 | TMA P1 | VUMC | Primary | Breast | NAC | 0 | 0 | 0 | 0 |
| I1/2/3 | TMA P1 | VUMC | Primary | Breast | NAC | 0 | 0 | 0 | 0 |
| D1/2/3 | TMA P2 | VUMC | Primary | Breast | NAC | 0 | 0 | 10 | 10 |
| G1/2/3 | TMA P2 | VUMC | Primary | Breast | NAC | 0 | 0 | 30 | 35 |
| A 7/8/0 | TMA D3 | VUMC | Drimory | Breast | NAC | 0 | 0 | 8 | 8 |
| A//0/3 | TMA F3 | VUNC | Filmary | Breast | NAC | 0 | 0 | 0 | 0 |
| K7/8/9 | TMA P3 | VUMC | Primary | Breast | NAC | 0 | 0 | 0 | 0 |
| G4/5/6 | TMA P3 | VUMC | Primary | Breast | NAC | 0 | 0 | 5 | 5 |
| E4/5/6 | TMA P3 | VUMC | Primary | Breast | NAC | 0 | 0 | 60 | 90 |
| H7/8/9 | TMA P3 | VUMC | Primary | Breast | NAC | 0 | 0 | 0 | 0 |
| I1/2/3 | TMA P3 | VUMC | Primary | Breast | NAC | 0 | 0 | 13 | 18 |
| G1/2/3 | TMA P3 | VUMC | Primary | Breast | NAC | 0 | 0 | 5 | 5 |
| 17/8/9 | TMA P3 | VUMC | Primary | Breast | NAC | 0 | 0 | 5 | 7 |
| C7/8/9 | TMA P1 | VUMC | Primary | Breast | NAC | 0 | 0 | 0 | 0 |
| E1/2/3 | TMA P2 | VUMC | Drimory | Breast | NAC | 0 | 0 0 | 5 | 5 |
| E1/2/3 | Final Desetion | VUNC | Prima y | Breast | NAC | 0 | 0 | 21 | 42 |
| AIS | Surgical Resection | VUNC | Primary | Breast | NAC | 0 | 0 | 21 | 42 |
| C1 | TMA102 | VUMC | Primary | Breast | NAC | 0 | 0 | 5 | 8 |

| 40 | TMA9936 | VUMC | Primary | Breast | NAC | 0 | 0 | 10 | 13 |
|--------|--------------------|------|--------------------------------|------------|-------|----|-----|----|-----|
| G4 | Surgical Resection | VUMC | Primary | Breast | NAC | 0 | 0 | 60 | 110 |
| D2 | TMA101 | VUMC | Primary | Breast | NAC | 0 | 0 | 35 | 55 |
| G7 | Surgical Resection | VUMC | Primary | Breast | NAC | 0 | 0 | 80 | 165 |
| D4 | TMA101 | VUMC | Primary | Breast | NAC | 0 | 0 | 0 | 0 |
| D5 | TMA101 | VUMC | Primary | Breast | NAC | 0 | 0 | 10 | 10 |
| G2 | Surgical Resection | VUMC | Recurrence, Distant Metastasis | Lung | Naïve | 80 | 180 | 50 | 135 |
| A4 | Surgical Resection | VUMC | Recurrence, Distant Metastasis | Lung | NA | 80 | 130 | 0 | 0 |
| A2 | Surgical Resection | VUMC | Recurrence, Local | Chest Wall | NA | 65 | 120 | 10 | 12 |
| A9 | Surgical Resection | VUMC | Recurrence, Local | Skin | NA | 50 | 95 | 0 | 0 |
| A8/9 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | NAC | 50 | 70 | 75 | 150 |
| A12 | Surgical Resection | VUMC | Recurrence, Local | Skin | NA | 40 | 60 | 25 | 50 |
| G8 | Surgical Resection | VUMC | Recurrence, Distant Metastasis | Lung | NAC | 35 | 45 | 70 | 140 |
| G3 | Surgical Resection | VUMC | Recurrence, Distant Metastasis | Lung | Naïve | 30 | 40 | 60 | 120 |
| F1 | Surgical Resection | VUMC | Recurrence, Distant Metastasis | Brain | NAC | 30 | 40 | 70 | 150 |
| D11/12 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | Naïve | 20 | 35 | 30 | 60 |
| A10/11 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | Naïve | 20 | 35 | 85 | 150 |
| A10 | Surgical Resection | VUMC | Recurrence, Local | Chest Wall | NA | 20 | 30 | 20 | 35 |
| A19 | Surgical Resection | VUMC | Recurrence, Local | Chest Wall | NA | 20 | 20 | 70 | 125 |
| C1/2 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | Naïve | 10 | 10 | 15 | 30 |
| C8/9 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | Naïve | 10 | 10 | 10 | 17 |
| A11 | Surgical Resection | VUMC | Recurrence, Local | Skin | NA | 10 | 10 | 40 | 80 |
| A6 | Surgical Resection | VUMC | Recurrence, Local | Skin | NA | 10 | 10 | 90 | 200 |
| C3/4 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | Naïve | 7 | 9 | 15 | 30 |
| C12/D1 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | Naïve | 5 | 8 | 30 | 59 |
| C5/6 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | Naïve | 2 | 6 | 10 | 12 |
| B2/3 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | Naïve | 5 | 5 | 60 | 105 |
| F3 | Surgical Resection | VUMC | Recurrence, Distant Metastasis | Brain | Naïve | 0 | 0 | 35 | 75 |
| A3/4 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | Naïve | 0 | 0 | 25 | 47 |
| B6/8 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | Naïve | 0 | 0 | 70 | 130 |
| C10/11 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | Naïve | 0 | 0 | 75 | 140 |
| A5/6 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | Naïve | 0 | 0 | 80 | 150 |
| F2 | Surgical Resection | VUMC | Recurrence, Distant Metastasis | Brain | NAC | 0 | 0 | 30 | 50 |
| D2/3 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | NAC | 0 | 0 | 55 | 100 |
| E1/2 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | NAC | 0 | 0 | 15 | 22 |
| B11/12 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | NAC | 0 | 0 | 40 | 60 |
| F5 | Surgical Resection | VUMC | Recurrence, Distant Metastasis | Brain | NA | 0 | 0 | 30 | 60 |
| A16 | Surgical Resection | VUMC | Recurrence, Distant Metastasis | Lung | NA | 0 | 0 | 50 | 110 |
| A1/2 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | NA | 0 | 0 | 12 | 19 |
| B4/5 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | NA | 0 | 0 | 15 | 20 |
| B9/10 | TMA111 | VUMC | Recurrence, Distant Metastasis | Brain | NA | 0 | 0 | 60 | 125 |
| A8 | Surgical Resection | VUMC | Recurrence, Local | Skin | NA | 0 | 0 | 5 | 10 |
| A15 | Surgical Resection | VUMC | Recurrence, Local | Chest Wall | NA | 0 | 0 | 60 | 120 |
| A14 | Surgical Resection | VUMC | Recurrence, Local | Chest Wall | NA | 0 | 0 | 90 | 180 |

TMA, tissue microarray VUMC, Vanderbilt University Medical Center NAC, neoadjuvant chemotherapy

 Table 3. MYCN and MYC expression and TNBC subtype correlations in breast cancer PDX models

| Δ | | | | | | | | |
|------------|----------|--------------|---------|-----------|---------------------------|----------|----------|----------|
| ~ | MYC-fami | ily isoforms | TNBC | subtype | TNBC subtype correlations | | | |
| PDX models | MYCN | МҮС | Primary | Secondary | BL1 | BL2 | Μ | LAR |
| TM00089 | 0.42 | 94.48 | BL2 | UNS | -0.21973 | 0.33605 | -0.31315 | -0.08316 |
| TM00090 | 1.22 | 43.10 | LAR | UNS | -0.31497 | 0.03350 | -0.01061 | 0.05965 |
| TM00096 | 23.94 | 47.12 | М | UNS | -0.03825 | -0.28093 | 0.33473 | 0.03649 |
| TM01117 | 0.20 | 83.44 | UNS | UNS | -0.18411 | 0.00289 | -0.48297 | -0.12211 |
| TM01273 | 2.17 | 60.64 | М | BL1 | 0.09660 | -0.02203 | 0.43481 | -0.17311 |
| TM01278 | 4.18 | 53.10 | BL2 | LAR | -0.26615 | 0.49817 | -0.08718 | 0.19395 |

В

| D | MYC-family isoforms | | | | | |
|------------|---------------------|------|--|--|--|--|
| PDX models | MYCN | МҮС | | | | |
| CTG-1475 | 5.35 | 0.86 | | | | |
| CTG-0018 | 3.32 | 2.69 | | | | |
| CTG-1325 | 2.89 | 6.48 | | | | |
| CTG-1408 | 2.83 | 6.63 | | | | |
| CTG-0869 | 2.52 | 7.55 | | | | |
| CTG-1340 | 2.53 | 6.48 | | | | |
| CTG-1684 | 2.85 | 4.38 | | | | |
| CTG-1151 | 2.09 | 4.99 | | | | |
| CTG-0017 | 1.85 | 6.17 | | | | |
| CTG-1019 | 2.82 | 6.52 | | | | |



Figure 6. IHC detection of MYCN in cell line- and patient-derived xenograft tissue. (A-B) IHC of MYCN using cell line-derived xenografts (CDXs) positive for MYCN (SK-N-BE(2)C) (A) and patient-derived xenografts (PDXs) positive for MYCN (TM00096) (B). Scale bar, 50 μ M. (C-D) Analysis comparing gene expression to protein expression (H-score) for MYCN across PDXs from Jackson Laboratory (n=6) (C) and Champions Oncology (n=10) (D).

characteristics of tumors in the primary, treatment-naïve TNBC cohort. Although the majority of MYCN-expressing tumors were invasive carcinomas, non-special type (NST), a relatively rare form of breast cancer called metaplastic breast cancer (MBC) was enriched for tumors that were considered 'MYCN High' (11%, 2/18), including the top MYCN-expressing case (H-score = 155, Figure 7A). MBCs represent 0.5-5.0% of all invasive breast cancers, typically (>90%) display a triple-negative phenotype, and do not respond well to chemotherapy (*37*). To explore MYCN expression in MBC further, we analyzed *MYCN* expression in a recently published study investigating gene expression and genetic alterations across a relatively large cohort of metaplastic TNBC tumors (n=17) (*353*). Whereas 11.5% of all TNBC cases from TCGA (BRCA) demonstrated elevated *MYCN* expression (>12 TPM; Figure 4C), 35.3% (6/17) of metaplastic TNBC expressed *MYCN* at similar levels (Figure 7B), indicating an enrichment for elevated *MYCN* expression in metaplastic TNBC.

To expand on these analyses and evaluate MYCN protein expression within the metaplastic disease, we performed MYCN IHC on 15 metaplastic TNBC cases with different histological (spindle cell, mesenchymal, features squamous, and adenosquamous) curated at VUMC (Figure 7C). Similar to differences in MYCN gene expression between all TNBC and metaplastic TNBC, a greater percentage of metaplastic TNBC contained elevated MYCN expression [H-score >30, 73% (11/15)] (Figure 7, C and D). MYCN expression was observed across all metaplastic tissue types, with tumors of spindle cell morphology being among the top expressers (Figure 7, C and D). Of note, the majority of neoadjuvant chemotherapy (NAC)-treated metaplastic TNBC contained MYCN expression, suggesting either MYCN expression was induced or pre-existing



Figure 7. *MYCN* RNA and MYCN protein expression in metaplastic triple-negative and HER2+ breast cancer. (A) MYCN H-scores for primary, treatment-naïve TNBC colored according to histological subtype [invasive carcinoma, NST (black), invasive papillary carcinoma (orange), metaplastic carcinoma (red), and carcinoma with neuroendocrine features (blue)]. Quadrants in graph contain the percentage of cases that are MYCN high (H-score >30), intermediate (Int; Hscore <30, >0), or null (H-score=0), with percentages also calculated per histological subtype on right. NST, non-special type. (B) *MYCN* expression (TPM) in metaplastic TNBC (n=17) (353) (C) Histological subtypes with metadata and associated MYCN H-scores for 15 metaplastic breast cancer cases curated at VUMC. NA, not available. NAC, neoadjuvant chemotherapy. (D) Representative H&E and MYCN IHC images for the top two metaplastic TNBC cases. Scale bar, 50μ M.

MYCN-expressing tumor cells persisted in TNBC cell populations (Figure 7, C and D). These data further characterize a subtype of breast cancer currently associated with a poor prognosis and highlights the presence of MYCN-expressing cells after chemotherapy.

Increased fraction of MYCN-expressing cells in residual TNBC after neoadjuvant chemotherapy

Due to the lack of therapeutic targets in TNBC, patients are primarily treated with combination chemotherapy and less than 30% of patients achieve a pathological complete response (pCR) after NAC (354, 355). Patients with residual disease after NAC exhibit poor overall survival due to an enrichment of chemotherapy-resistant tumor cells and a lack of subsequent therapeutic options (322, 356). To evaluate MYCN expression in residual tumor cells after NAC, we performed IHC for MYCN on a primary TNBC cohort (n=115) comprised of residual disease surgically resected after NAC (321) (Figure 8A and Table 4A). MYCN expression was significantly (p=0.001) higher in the post-NACtreated TNBC cohort (Figure 8B and Table 4B) compared to cases in the treatment-naïve TNBC cohort (Figure 4C), with 65% vs. 45% of cases having an H-score greater than zero (Figure 8, A and B, and Table 4B). The majority (90%) of patients in the NAC-treated TNBC cohort had stage III disease at the time of diagnosis, while the treatment-naïve cohort consisted primarily of patients with stage I (11%) and stage II (70%) disease (Table 4A). To remove a potential bias due to differences in clinical stage between cohorts, we restricted the comparison of MYCN expression to tumors from patients with stage III disease from each cohort; MYCN expression (H-score >0) remained significantly



Figure 8. Increased percentage of MYCN-expressing cells in residual disease after neoadjuvant chemotherapy. (A) MYCN H-scores in residual disease from 115 primary, NAC-treated TNBC (source: VUMC). Int., intermediate, H-score >0 to \leq 30. High, H-score >30.

(B) Box plot showing MYCN H-scores in primary, treatment-naïve TNBC cases (n=191, see Fig. 1B) compared to residual disease from primary, NAC-treated TNBC cases (n=115, see Fig. 2A). Mann Whitney t-test, ***p= 0.0001. (C) MYCN protein levels (H-scores) in patient-matched TNBC cases pre- and post-NAC (see table S5C for treatments and patient characteristics). (D) *MYCN* expression from single-nuclei RNA sequencing (SNRS) of dissociated primary, treatment-naïve TNBC before (Pre, pre-treatment), after two cycles (Mid, mid-treatment) of docetaxel and epirubicin, or after the mid-treatment regimen plus four additional cycles (Post, post-treatment) of docetaxel and epirubicin in combination with bevacizumab (322). Percentages above represent quantification of *MYCN*-expressing cells per sample. Fisher exact test, *p<0.05, ***p<0.001. (E) t-SNE plots of SNRS from patient-matched TNBC tumor samples described in part D. Top: Patient treatment groups [pre-treatment cells (black), mid NAC-treated cells (orange), post NAC-treated cells (red)]. Middle: Distribution of *MYCN*-expressing cells [*MYCN*-negative (TPM=0, grey), *MYCN*-positive (TPM>0, red)]. Bottom: Heatmap of *EPCAM* expression. (F) Violin plots of *EPCAM*, *KRT19*, and *KRT5* expression in *MYCN*-expressing and non-expressing cells.

(p=0.014) higher in the residual disease of patients after NAC treatment (65%, 54/83) compared to cases from patients who were treatment-naïve (40%, 10/25) (Table 4B). Since the primary treatment-naïve and NAC-treated TNBC cohorts were independently assembled, we examined MYCN expression levels in patient-matched TNBC before and after NAC treatment (n=6) (Figure 8C). Compared to MYCN levels before treatment, MYCN protein expression was elevated or within a similar range post-NAC, demonstrating MYCN-expressing cells remained after treatment (Figure 8C and Table 4C).

To further investigate the distribution of MYCN expression across individual cells during NAC treatment, we analyzed previously published high-throughput single-nucleus RNA- sequencing (SNRS) data from patient-matched TNBC before and after NAC treatment (*322*). Tumor core biopsies were taken prior to treatment (pre-treatment) and again after two cycles (mid-treatment) of NAC treatment [epirubicin (anthracycline) and docetaxel (taxane)], or after the mid-treatment regimen plus an additional four cycles (post- treatment) of NAC treatment [epirubicin and docetaxel in combination with

Table 4. Primary, treatment-naïve and NAC-treated TNBC patient characteristics

| A | Treatment-naïve | NAC-treated |
|-------------------------------|-----------------|-------------|
| Total number of cases | 191 | 115 |
| Age (n) | 140 | 106 |
| Mean age (range) | 53 (30-87) | 48 (24-78) |
| Stage (n) | 140 | 92 |
| IA,B | 16 (11.4%) | 1 (1.1%) |
| IIA,B | 98 (70.0%) | 8 (8.7%) |
| IIIA,B,C | 26 (18.6%) | 83 (90.2%) |
| Neoadjuvant anthracycline (n) | | 112 |
| Yes | | 95 (84.8%) |
| No | | 17 (15.2%) |
| Neoadjuvant taxane (n) | | 112 |
| Yes | | 65 (58.0%) |
| No | | 47 (42.0%) |

В

| Primary TNBC | | MYCN-expressing cases (H-score>0) | Total cases | Percent total | p-value | |
|--------------|-----------------|--------------------------------------|-------------|---------------|---------|--|
| | Treatment-naïve | 86 | 191 | 45 | 0.001 | |
| All Stages | NAC-treated | 74 | 115 | 64 | 0.001 | |
| Stage III | Treatment-naïve | 10 | 25 | 40 | 0.014 | |
| Stage III | NAC-treated | 54 | 83 | 65 | 0.014 | |

С

| Matched-pair | Age | Stage | Treatment (cycles) |
|--------------|-----|-------|--|
| Pt 1 | 46 | IIIB | Anthracycline/cyclophosphamide (4), paclitaxel (2) |
| Pt 2 | 37 | IIIA | Fluorouracil/anthracycline/cytoxan (5), paclitaxel (2) |
| Pt 3 | 38 | IIIB | Anthracycline/cyclophosphamide (4), paclitaxel (2) |
| Pt 4 | 30 | IIIB | Anthracycline (unknown) |
| Pt 5 | 46 | IIIB | Anthracycline/cyclophosphamide (unknown) |
| Pt 6 | 33 | IIIC | Anthracycline/cyclophosphamide (4) |

bevacizumab (angiogenesis inhibitor)] (322). Approximately 400 single nuclei from each patient-matched sample were isolated for RNA-sequencing (322, 357). The percentage of MYCN-positive cells identified from single-nuclei MYCN transcripts increased from pretreatment samples to residual disease collected mid- or post-NAC treatment for each patient [Patient 1: 0% to 2.2% (p=0.0353); Patient 2: 0.3% to 4.5% (p=0.0003); Patient 3: 0% to 3.8%; Patient 4: 5.1% to 7.5%] (Figure 8D). Dimensionality reduction using t-SNE was performed on single-nuclei expression from pretreatment and NAC-persistent samples to identify expressing MYCN cell types (Figure 8E). Cells from each patient clustered independently from each other and there was a separation between pretreatment and NAC-persistent cells (Figure 8E). MYCN-expressing and nonexpressing cells resided within the same cluster, which contained elevated levels of the epithelial cell marker, EPCAM, indicating the majority of cells sequenced were of tumor cell origin (Figure 8E). By analyzing markers of epithelial (KRT8, KRT18, KRT19, KRT5, KRT14, and EPCAM), immune (CD4, CD8A, CD8B, CD19, and MS4A1), fibroblast (PTPRC, ACTA1, CAV1, and FAP), endothelial (PECAM1 and CD34), and neuroendocrine (CHGA, SYP, ENO2, and NCAM1) cells (322), we found MYCNexpressing cells were predominantly epithelial and had a similar distribution of epithelial cell marker expression to non-MYCN-expressing cells (Figure 8F and Figure 9). Together, MYCN-expression was increased in bulk tumor (IHC) and individual tumor cells (SNRS) post-NAC, further suggesting either pre-existing MYCN-expressing tumor cells persisted in TNBC cell populations or MYCN expression was induced after chemotherapy.



Figure 9. Features of *MYCN***-expressing and non-expressing cells from TNBC tumors before and after NAC treatment.** Average expression and distribution of immune (CD4, CD8A, CD8B, CD19, and MS4A1), fibroblast (PTPRC, ACTA1, CAV1, and FAP), epithelial (KRT8, KRT18, KRT19, KRT5, KRT14, and EPCAM), endothelial (PECAM1 and CD34), and neuroendocrine (CHGA, SYP, ENO2, and NCAM1) cell markers across pretreated and NAC-treated TNBC samples (*322*) grouped according to if the cells do (TPM>0, Positive) or do not (TPM=0, Negative) express *MYCN*. The relative sphere size and color represent percentage of cells that express a given cell marker and their relative expression levels, respectively.

Primary and metastatic TNBC display heterogeneous MYCN and MYC protein expression

Despite better initial responses to NAC in TNBC compared to the other breast cancer subtypes, patients with TNBC experience higher rates of relapse and worse overall survival in the metastatic setting (354). Given that nearly all women with metastatic TNBC ultimately die of their disease (36), we evaluated MYCN expression in the context of disease recurrence. We analyzed the TNBC cases from a recent study (37) evaluating transcriptional changes between primary and metastatic breast cancer (Figure 10). MYCN levels were elevated or similarly expressed in nearly all metastatic specimens compared to matched primary TNBC, and MYCN was expressed at all metastatic sites evaluated [adrenal gland, lymph node, liver, lung, chest (chest wall, rib, pleura, mediastinum), neural (brain, spine), kidney, skin] (Figure 10). Similarly, we performed MYCN IHC on 10 locally recurrent (five chest wall and five skin) and 28 metastatic (five lung and 23 brain) surgically resected TNBC tumors and detected MYCN protein expression (H-score >0) in 55% (21/38) of the recurrent TNBC tumors analyzed [lung: 80% (4/5); skin: 80% (4/5); chest wall: 60% (3/5); brain: 43% (10/23)] (Figure 11A and Table 2).

Since *MYCN*-expressing TNBC cell populations are thought to seed metastatic lesions, then expand and differentiate into high *MYC*-expressing proliferative tumors *(261)*, we investigated the relationship between MYC-family isoforms (MYCN and MYC) in both primary and recurrent TNBC. We performed MYC IHC on tissue representing each of our TNBC patient cohorts [primary, treatment-naïve TNBC (Figure 4C); primary, NAC-treated TNBC (Figure 8A); and recurrent TNBC (Figure 11A)] previously analyzed for MYCN. Thirty-four percent (29/86) of primary, treatment-naïve TNBC; 49% (56/114) of



Figure 10. *MYCN* expression in primary TNBC and patient-matched metastases. *MYCN* expression (RSEM) in primary TNBC (pink) and patient-mached metastatases [adrenal gland (black), lymph node (dark blue), liver (purple), lung/chest (green), neural (orange), kidney (light blue), and skin (beige)] *(320)*. Chest = chest wall, rib, pleura, mediastinum. Neural = brain, spine.
primary, NAC-treated TNBC; and 50% (19/38) of recurrent TNBC expressed both MYCfamily isoforms (Figure 11B). *MYCN* and *MYC* can be expressed both spatially and temporally in a mutually exclusive manner during normal tissue development (*358*); thus, we assessed the distribution of these proteins in individual cells within a given tumor section using dual MYC-family isoform tyrosine signal-amplified immunofluorescence (TSA-IF). We found that both MYCN and MYC were heterogeneously expressed in tumor cells throughout the sections, and the majority of cell nuclei robustly expressed only one MYC family member (Figure 11C). These data demonstrate the cell-to-cell heterogeneity of MYC-family isoform expression in TNBC and the dynamic distribution of expression of these oncogenes at both primary and metastatic sites.

Preclinical models of MYCN-expressing TNBC

To identify MYCN-expressing TNBC cell line models for preclinical evaluation, we assessed *MYCN* expression across TNBC cell lines in the Cancer Cell Line Encyclopedia (CCLE) (*359*). CAL-51 and MDA-MB-468, M- and BL1-subtype TNBC cell lines respectively (*97*), both established from pleural effusions (*360, 361*), displayed the highest levels of *MYCN* transcript (Figure 12A). These results are consistent with our findings that M- and BL1-subtype TNBC tumors had higher *MYCN* expression (Figure 5, C-E), and MYCN was elevated in TNBC lung and chest metastases (Figure 10 and Figure 11A). Given that TNBC clinical specimens displayed heterogenous MYCN and MYC expression (Figure 11C), we evaluated if this heterogeneity existed within TNBC cell line models. We adapted our TSA-IF staining procedure used on FFPE tumor sections to cells fixed *in situ* after growth as adherent cultures and analyzed cellular MYCN and MYC



Nuclei: Blue MYCN: Magenta

MYC: Green

Figure 11. Intratumoral heterogeneity of MYCN and MYC expression in TNBC. (A) MYCN H-scores from 38 recurrent TNBC cases with quantification of percent positive cases (H-score >0) for each site of recurrence, labeled by color [lung (magenta), skin (blue), chest wall (orange), brain (black)]. (B) MYCN and MYC H-scores for each of the 88 primary, treatment-naïve; 114 primary, NAC-treated; and 38 recurrent TNBC cases. Stacked bar graph represents quantification of TNBC cases positive (H-score >0) for each MYC-family isoform [alone (MYCN Only, MYC Only); both isoforms (MYCN and MYC); or neither isoform (None)]. (C) Representative hematoxylin and eosin (H&E), IHC, and TSA-IF stains of MYCN and MYC in primary and recurrent TNBC. The dotted line separating a *MYCN*-amplified neuroblastoma positive control from TNBC cases represents the same exposure times for all samples but a diminished brightness adjustment for MYCN in the NB control due to over-expression of MYCN. Tumor images do not represent serial sections. Scale bar, 50 μ M (top four rows), 20 μ M (bottom row).

expression within the CAL-51 and MDA-MB-468 cell populations. Individual cells in either cell line culture robustly expressed either nuclear MYCN or MYC (Figure 13A), consistent with observed MYC-family isoform heterogeneity in clinical specimens (Figure 11C). To further evaluate the biological characteristics of MYCN-expressing tumor-derived cells, we isolated single cells from the CAL-51 parental cell line and generated clonally-derived cell lines. Individual clones displayed varying levels of MYCN and MYC protein expression, with 6% (2/33) of cells exhibiting elevated MYCN expression (Figure 13B). MYCN and MYC protein levels were consistent with *MYCN* and *MYC* transcript levels in six of the clonal cell lines evaluated (Cln3, Cln5, Cln8, Cln15, Cln37, Cln39; Figure 12, B and C), and individual MYC-family isoform RNA and protein levels were expressed at higher levels in the clonal lines as compared to the CAL-51 cell population (Figure 12, B and C). Thus, the CAL-51 cell line is composed of a heterogeneous population of cells with varying levels of MYC-family isoform expression.

CAL-51 cells harbor an activating *PIK3CA* mutation (E542K) and their growth is dependent on PI3K pathway signaling *(362)*. Given the frequent evolution of tumor cell drug-resistance in response to PI3K-targeted cancer therapies *(363)*, we hypothesized that MYCN-expressing cells in the CAL-51 population (Figure 13, A and B) would have a growth advantage under selective pressure with PI3K inhibitor (PI3Ki) treatment. To test this hypothesis, we treated CAL-51 with increasing concentrations of the PI3Ki, taselisib (GDC-0032), over time to generate PI3Ki-resistant cells (CAL-51^{PI3KiR}). After six months, single cells from CAL-51^{PI3KiR} were isolated to generate clonally-derived PI3Ki-resistant cell lines. To determine if the individual CAL-51^{PI3KiR} clonal cell lines displayed durable resistance to PI3Ki, we treated CAL-51^{PI3KiR} cells with taselisib or another PI3Ki, pictilisib

| Α | | | В | | |
|-----------------|--------------------|-----------------------|---------------------|-----------------------|---------------------|
| | MYC-family isoform | ns (RNA-seq Z-scores) | [| MYC-fan | nily isoforms (TPM) |
| TNBC cell lines | MYCN | МҮС | CAL-51 cell lines | MYCN | МҮС |
| CAL-51 | 1.63 | 3.20 | Parental population | 18.82 | 17.60 |
| MDA-MB-468 | 1.42 | 5.25 | Clone 3 | 0.00 | 75.23 |
| DU4475 | 1.06 | 3.87 | Clone 5 | 0.03 | 87.66 |
| HCC-1187 | 0.78 | 5.58 | Clone 15 | 92.88 | 13.38 |
| MDA-MB-453 | 0.38 | 4.74 | Clone 8 | 93.11 | 2.34 |
| HCC-1143 | 0.18 | 6.49 | Clone 37 | 109.06 | 1.11 |
| MDA-MB-436 | -1.35 | 5.73 | Clone 39 | 127.49 | 0.95 |
| HDQP1 | -3.45 | 4.36 | | | |
| MDA-MB-157 | -3.72 | 4.09 | Ę | | |
| MDA-MB-231 | -3.89 | 5.03 | j Ę, | AL-51 | |
| HCC-1937 | -4.39 | 4.71 | | L coll linos | |
| CAL-851 | -4.97 | 4.81 | | centines | D ថ្ន |
| HCC-38 | -5.03 | 4.22 | e B | | |
| BT-20 | -5.26 | 4.54 | <u> </u> | 37 39 39 | W |
| CAL-148 | -5.48 | 6.05 | ne 5 | ne ne | A5 -1 |
| HCC-1806 | -5.61 | 4.81 | Po Po . | | AI AI |
| HCC-1599 | -5.86 | 7.83 | 000 | 0000 | 0 U Z |
| HCC-70 | -6.54 | 4.66 | MYCN - | | |
| HCC-1395 | -6.94 | 5.36 | MICH | 10 m p | MICH |
| BT-549 | -7.64 | 5.27 | MYC | | MYC 🔵 🗕 🔵 |
| HS-578-T | -8.25 | 4.91 | | | |
| CAL-120 | -13.00 | 6.38 | β-Actin | and the second second | β-Actin |

Figure 12. MYCN and MYC expression in TNBC cell populations and CAL-51 clonallyderived cell lines. (A) MYCN and MYC expression (RNA-Seq) in 22 TNBC cell lines from the Cancer Cell Line Encyclopedia (CCLE). (B) RNA (TPM) and (C) immunoblot analyses for MYCN and MYC in the CAL-51 parental cell population and the indicated six CAL-51 clonallyderived cell lines. (D) Immunoblot analysis for MYCN, MYC, and β -Actin in the indicated TNBC cell lines. (GDC-0941), after the lines were cultured for two weeks in the absence of drug (a "drug holiday"). Five of the seven CAL-51^{PI3KiR} clonal cell lines maintained resistance to PI3K inhibition, whereas two of the lines had reverted to a PI3Ki-sensitive state (Figure 13C). CAL-51^{PI3KiR} clonal cell lines were evaluated for MYC-family isoform expression and those lines that had acquired durable resistance to PI3Ki also displayed higher MYCN protein expression (compare Figure 13C to 13D). In contrast to 6% (2/33) of the parental clonal cell lines, the majority (86%, 12/14) of CAL-51^{PI3KiR} clonal cell lines expressed MYCN (Figure 13D), suggesting that MYCN expression conferred a selective growth advantage to CAL-51 cells under the continuous selective pressure of PI3Ki treatment.

To evaluate MYC-family isoform expression within CAL-51 and MDA-MB-468 *in vivo*, both parental lines were grown in mice as CDXs. While MYC expression was not detected in the CAL-51 CDX, MYCN-expressing cells were present in both CAL-51 and MDA-MB-468 CDXs (Figure 14A). Similarly, after growth for 14 days in non-adherent forced suspension cultures, MYC expression was lost in CAL-51 and MYCN levels increased in both CAL-51 and MDA-MB-468 (Figure 14B). Given that MYCN-expressing circulating tumor cell clusters have been found in the bloodstream of patients with breast cancer (*347*), we explored the relationship between differential MYC-family isoform expression and tumor cell growth in non-adherent cultures at the clonal level. To do this, we compared the proliferation of two CAL-51 MYCN^{Low} (MYC-expressing) and MYCN^{High} cell lines in adherent and non-adherent (forced suspension) culture settings. While all four lines grew robustly as adherent cultures (doubling rate: 14-21 hours), only the MYCN^{High} cell lines retained the ability to proliferate when grown in forced suspension (Figure 14C). Further, all MYCN^{High} cell lines (n=10) tested grew and remained metabolically active over



Figure 13. Evaluation of MYCN and MYC expression in TNBC cell lines models. (A) Representative TSA-IF stains of MYCN and MYC in the CAL-51 and MDA-MB-468 TNBC cell lines. Colors represent cell nuclei (blue), MYCN (magenta), and MYC (green). Scale bar, 50 μM for overlay fluorescence images at 20X magnification (left panel per cell line), 20 μM for individual fluorescence images at 40X magnification (right panels per cell line). (B) Immunoblot analysis of MYCN, MYC, and β-Actin in the indicated 33 clonally-derived cell lines established from CAL-51. NB control, MYCN-amplified SK-N-BE(2)C cell lysate. (C) Viability of PI3Kiresistant (PI3KiR) CAL-51 clonally-derived cell lines after treatment with a dose-escalation of GDC-0032 or GDC-0941 for 72 hours. Black- and red-colored dose-response curves represent the indicated MYCN^{Low} and MYCN^{High} clonally-derived cell lines, respectively. Data shown represent the means ± standard error mean (SEM). (D) Immunoblot analysis of MYCN, MYC, and β-Actin in the 14 indicated CAL-51^{PI3KiR} clonally-derived cell lines.



Figure 14. Evaluation of MYCN-expressing TNBC cell line growth properties. (**A**) Representative TSA-IF stains of MYCN and MYC in the CAL-51 and MDA-MB-468 TNBC cell line-derived xenografts. Colors represent cell nuclei (blue), MYCN (magenta), and MYC (green). Scale bar, 20 μM. (**B**) Immunoblot analysis of MYCN, MYC, and β-Actin in the indicated TNBC cell lines after one and eight in forced suspension (FS) compared to adherent cultures. (**C**) Proliferation assays of the two indicated MYCN^{Low} and MYCN^{High} cell lines in adherent and forced-suspension cultures over a five-day time course. Data shown represent the means ± SEM. NG, no growth. †Doubling rate was calculated between day one and five for adherent cells and between day three and five for forced-suspension cells due to a latency in initial proliferation in suspension. (**D**) Brightfield images of the two indicated MYCN^{Low} and MYCN^{High} cell lines after eight days in forced-suspension culture. (**E**) Metabolic activity as measured by alamarBlue for the indicated 10 MYCN^{Low} and MYCN^{High} cell lines after eight days in forced-suspension culture. SD of three biological replicates. Generalized linear mixed model for continuous outcome was used to determine statistical differences between MYCN^{Low} and MYCN^{High} cell line groups, p<0.0001.

eight days in forced suspension compared to little, if any, growth of the MYCN^{Low} (MYCexpressing) cell lines (n=10, p<0.0001) (Figure 14, D and E). These data demonstrate that unlike MYC-expressing cells, MYCN-expressing cells from either CAL-51 or MDA-MB-468 possessed the ability to grow and proliferate in adherent as well as non-adherent culture systems and provide the rationale to evaluate MYCN in the context of patient survival.

Disease/progression-free and overall survival for MYCN^{High} and MYCN^{Low} TNBC

Given that MYCN-expressing cells from our TNBC cell line models grew in nonadherent culture conditions (Figure 14) and MYCN expression was detected in both primary and metastatic TNBC (Figure 10), we evaluated MYCN expression in relation to patient survival. We used the TNBC587 dataset to analyze disease/progression-free and overall survival of patients with TNBC that had either positive (MYCN High) or negative (MYCN low) median-centered log₂ normalized MYCN expression. Consistent with previous studies that correlate MYCN expression in breast cancer with unfavorable prognostic features and clinical outcomes (260, 261, 346), a greater percentage of patients (60% versus 50%) relapsed if their tumor contained elevated MYCN expression. They also experienced a shorter time to progression (TTP) compared to patients with MYCN low TNBC (p=0.0355, Figure 15A); and, although the 15-year overall survival between cohorts was similar, patients with MYCN high tumors succumbed to their disease quicker than patients with MYCN low tumors (Figure 15A). Similar results were observed by evaluating patient disease/progression-free and overall survival from our primary and metastatic TNBC cohorts, on which we performed IHC (Figure 15, B and C).



C Protein: IHC, Recurrent TNBC cases



Figure 15. Disease/progression-free and overall survival for MYCN^{High} and MYCN^{Low} TNBC. (A) Left panel: Disease/progression-free survival and Right panel: Overall survival for patients with MYCN^{High} versus MYCN^{Low} TNBC in the TNBC587 dataset. MYCN High, median centered log₂ normalized expression >0; MYCN Low, median centered log₂ normalized expression <0. (B) Left panel: Disease/progression-free survival and Right panel: Overall survival for patients in the primary, treatment-naïve and NAC-treated TNBC IHC cohorts. MYCN High, H-score>30 (>1 SD above the mean); MYCN Low, H-score<30. (C) Overall survival for metastatic cases in the recurrent TNBC IHC cohort: MYCN High, H-score>30; MYCN Low, H-score<30.

Although additional cases were needed to reach significance, patients with elevated MYCN-expressing tumors (H-score >30) tended to experience a worse overall survival compared to patients with low (H-score <30) MYCN-expressing tumors (p=0.0662-0.0741; Figure 15, B and C).

Discussion

The lack of therapeutically targetable, high-frequency "driver" alterations across TNBC creates a challenge for developing strategies to treat patients with TNBC. Herein, we evaluate the occurrence of MYCN expression, a transcription factor recently associated with increased stemness, EMT, survival, and dormancy phenotypes in TNBC cells (261). Through the use of IHC, we assessed MYCN protein expression in several TNBC patient cohorts, comprised of both primary tumors and metastatic disease, and report that a significant fraction (45-64%) of tumors heterogeneously express MYCN, including enrichment in a rare histological TNBC subtype (MBC) that is associated with chemotherapy recalcitrance (38). Further, MYCN-expressing cells are present in residual disease after NAC treatment, as shown through both single-nuclei RNA-Seq and protein detection. MYCN expression was also expressed at a higher percentage in a TNBC cell line after acquired resistance to PI3Ki. These data suggest that induction or maintenance of MYCN expression confers a survival advantage for cells treated with compounds that target microtubule structure (taxanes), induce DNA damage (anthracyclines), or cause metabolic stress (PI3Ki). NE prostate cancer, a tumor type considered to be driven by MYCN expression (364), is associated with castration- and androgen inhibitor-resistance and a poor prognosis (364, 365). Unlike MYCN-amplified NB, AML, and GBM, which are

tumors that have retained a same-cell lineage, NE prostate cancers are thought to have differentiated from castration-resistant adenocarcinoma prostate cancer through MYCN-mediated mechanisms and lineage switching *(344, 366)*. Herein, we found *MYCN* transcript levels in primary, treatment-naïve TNBC to be comparable to *MYCN* expression in NE-CRPC, suggesting MYCN-expressing TNBC could represent a similar altered differentiation state, which could be investigated further.

In addition to TNBC tumors lacking therapeutic targets, the development of effective drug-treatment strategies for TNBC patients has also been hindered by the presence of highly heterogeneous intratumoral cell populations with different biological properties within an individual patient's tumor. Through the use of dual MYC-isoform TSA-IF, we report, for the first time, that MYCN and its family member MYC are heterogeneously expressed in separate cell nuclei within a given tumor in at least 40% of primary and metastatic TNBC tumors. By isolating and expanding single cells from heterogeneous TNBC tumor-derived cell line populations, we were able to generate novel MYCN- and MYC-expressing cell cultures with a similar genetic background, thus allowing us to assign the biological relevance of MYCN versus MYC expression. Unlike MYC-expressing clonal cells from the CAL-51 cell line, MYCN-expressing cells were able to grow and proliferate in nonadherent forced-suspension cultures. MYCN expression was also elevated in MDA-MB-468 after 14 days in forced suspension, and like CAL-51, MYCN-expressing cells were retained within the cell population when grown in mice as CDXs. These data are consistent with RNA-Seq data from primary TNBC and patientmatched metastatic lesions that demonstrate MYCN expression was present in primary tumors and retained after metastasis. Not only are MYCN-expressing cells within TNBC

tumors found in the metastatic setting, but like NE-CRPC, elevated MYCN expression correlated with a shorter TTP and overall worse prognosis.

Conclusions

In summary, we have identified MYCN-expressing TNBC cell populations within a significant fraction of tumors that can survive various forms of drug-induced cellular stress and have survival advantages in vitro under selective antiproliferative treatments. In part, accomplished through this discovery was the development of a novel immunofluorescence staining method that can simultaneously assess MYCN and MYC protein expression within individual TNBC tumor specimens. We found both isoforms were co-expressed in nearly half of primary and metastatic TNBC tumors and were retained in TNBC-derived cell lines. Single-cell isolation and clonal expansion allowed us to create highly relevant MYCN- and MYC-expressing TNBC cell line models to assess related phenotypes and determine associated drug sensitivity, which is the focus of the next chapter.

CHAPTER IV

TARGETING MYCN-EXPRESSING TRIPLE-NEGATIVE BREAST CANCER WITH BET AND MEK INHIBITORS

Introduction

The heterogeneity of MYC-family isoform expression in the CAL-51 and MDA-MB-468 cell lines is consistent with the heterogeneity observed in TNBC clinical specimens and supports the use of these two cell lines as preclinical tools to investigate differential drug sensitivity of MYCN-expressing cells. This chapter investigates the biological relevance of MYCN versus MYC expression in TNBC cells and if MYCN expression represented a biomarker of response to compounds currently or previously under clinical development [including the NCI FDA-Approved Oncology Drug (AOD) library]. Since the MYC-family are basic helix-loop-helix (bHLH) transcription factors lacking catalytic domains, strategies to inhibit their activity have been limited to indirect targeting of proteins that regulate MYC-family isoform stability or expression; these include the bromodomain (BRD)-containing family of transcriptional regulators, PIM1, MEK1/2, and Aurora kinase A (*271*, 367–370).

Herein, we performed primary and validation high-throughput drug screens using the AOD library, alongside compounds selected to target the MYC family members. Top "hits" from the drug screen were examined further as single-agents and in combination, *in vitro* and in mice harboring TNBC patient-derived xenografts (PDXs) with differing levels of MYCN. We discovered that combined bromodomain and extra-terminal motif

(BET) and MEK inhibition synergistically inhibited the growth of MYCN-expressing PDX TNBC tumors. Our results provide a preclinical rationale for further development of BET and MEK inhibitors in combination for advanced or recurrent TNBC, with the evaluation of MYCN as a relevant biomarker for patient selection.

Results

MYCN-expressing TNBC cells have increased sensitivity to BETi

To gain insight to potential strategies for targeting MYCN-expressing TNBC, we performed a high-throughput drug sensitivity screen on two CAL-51 MYCN^{Low} and two MYCN^{High} clonally-derived cell lines (described in the previous chapter) for sensitivity to a library of 158 compounds, comprised of the 114 compounds in the NCI FDA-Approved AOD library and 44 additional compounds of interest. Analysis of half-maximal inhibitory concentrations (IC₅₀) demonstrated similar drug sensitivities between each clonal cell line set [MYCN^{Low} (R²=0.9476) and MYCN^{High} (R²=0.9439)], with MYCN^{High} cell lines having greater sensitivity to compounds that target the BRD family, Aurora kinase A, and MAPK pathway proteins (Figure 16A, Table 5). We performed a secondary screen on MYCN^{Low} (n=5) and MYCN^{High} (n=5) cell lines with inhibitors that demonstrated a >2-fold increase or decrease in IC₅₀, plus additional related compounds of interest. Again, MYCN^{High} cell lines displayed greater sensitivity to compounds previously shown to regulate MYCisoform expression or activity (Figure 17A, Table 6), including compounds targeting the BRD family of transcriptional regulators (JQ1, INCB054329, and OTX-015) (270, 371, 372).



Figure 16. Drug sensitivity of CAL-51 MYCN^{High} and MYCN^{Low} cells. (A) Left: IC₅₀ correlations for MYCN^{Low} and MYCN^{High} cell lines based on treatment with 158 compounds (NCI-AOD library supplemented with an additional 44 compounds of interest) for 72 hours. Right: Fold change in IC₅₀ between MYCN^{Low} and MYCN^{High} cell lines after treatments described in the left panel. Font colors indicate the class to which compounds are associated [PI3K (red), BCL2 (gray), PIM (orange), Aurora kinase A (brown), BRD family (blue), MCL1 (purple), MAPK pathway (green)]. Horizonal red dotted lines represent a separation of compounds that had a greater or less than two-fold IC₅₀ between MYCN^{Low} and MYCN^{High} cell lines. (B) Left: Representative crystal violet-stained colony formation assay (CFA) after treatment with INCB054329 (0.5 and 1.0 μ M) for six days. Right: Quantification of CFAs for the indicated two MYCN^{Low} and MYCN^{High} cell lines analyzed in Part A. Data shown represent the means ± SD.

Table 5. Results from a primary drug screen on CAL-51 MYCN^{High} and MYCN^{Low} Cell lines

| | | | | Average IC ₅₀ | | Standard deviation (uM) | | Fold change | | Diffe | rence |
|--|--|--------------------------------|--------------|--------------------------|--------|----------------------------|---------------------|------------------------|----------|------------------------|-----------------------|
| a 1 | | | Catalog | (μ | livi) | ueviati | οn (μινι) | MYCN ^{High} / | MYCNLow/ | MYCN ^{High} - | MYCN ^{Low} - |
| Compounds 5 FU | Targets Provincing analog | Source | number | MYCN ^{Low} | MYCNg. | MYCN ¹³⁰ | MYCN ^{mgn} | MYCN ^{Low} | 1.60 | 7.06 | 7 06 |
| 5-FU Abiraterone | Cytochrome P450 17A1 | Accord Healthcare, Inc | 276-68 NA | 23.83 | 24.37 | 2.31 | 0.68 | 0.59 | 0.98 | -7.06 | -0.54 |
| ABT737 | BCL2 | Selleckchem | S1002 | 2.31 | 17.63 | 0.94 | 1.32 | 7.64 | 0.13 | 15.32 | -15.32 |
| Afatinib | EGFR | AOD library | NA | 0.96 | 1.13 | 0.42 | 0.17 | 1.18 | 0.85 | 0.18 | -0.18 |
| Allopurinol Altretamine | A lkylating agent | AOD library AOD library | NA NA | >30.00 | >30.00 | 0.00 | 0.00 | 0.73 | 1.37 | 0.00 | 0.00 |
| Amifostine | Platinum/free radical scavenger | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Aminolevulinic acid HCl | Photosensitizer | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Anastrozole | Aromatase | AOD library | NA | 24.42 | 25.24 | 2.37 | 1.32 | 1.03 | 0.97 | 0.83 | -0.83 |
| Arsenic trioxide | KUS Tyrosine kinases - VECER, PDCER, cRAF | AOD library AOD library | NA | 2.08 | >30.00 | 0.00 | 0.00 | 0.28 | 3.58 | -1.50 | 0.00 |
| Azacitidine | Nucleoside analogue - DNA, RNA | AOD library | NA | >30.00 | 8.02 | 0.00 | 1.87 | 0.27 | 3.74 | -21.98 | 21.98 |
| AZD8186 | PKCbeta | Selleckchem | S7694 | 3.07 | 27.39 | 0.29 | 3.04 | 8.91 | 0.11 | 24.31 | -24.31 |
| Belinostat Bondomustino HCl | HDAC Alkylating agent | Selleckchem | S1085 | 0.97 | 0.40 | 0.06 | 0.02 | 0.41 | 2.41 | -0.57 | 0.57 |
| BKM120 | PI3K | Selleckchem | S2247 | 0.80 | 1.01 | 0.00 | 0.06 | 1.00 | 0.79 | 0.00 | -0.22 |
| Bleomycin sulfate | DNA damge | AOD library | NA | 0.58 | 0.14 | 0.07 | 0.10 | 0.25 | 4.04 | -0.43 | 0.43 |
| Bortezomib | Proteasome | Selleckchem | S1013 | 0.02 | 0.01 | 0.00 | 0.00 | 0.62 | 1.62 | -0.01 | 0.01 |
| Bosutinib Busulfan | Alkylating agent | AOD library AOD library | NA | >30.00 | 29.39 | 0.14 | 0.61 | 0.95 | 1.05 | -0.06 | 0.06 |
| BYL719 | PI3K (Alpha Specific) | Selleckchem | S2814 | 1.53 | 10.59 | 0.00 | 0.87 | 6.91 | 0.14 | 9.06 | -9.06 |
| Cabazitaxel | Antimicrotubule agent | AOD library | NA | 0.00 | 0.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Cabozantinib Canositabino | Multi-receptor tyrosine kinase | AOD library | NA | 4.94 | 4.57 | 2.32 | 1.32 | 0.93 | 1.08 | -0.37 | 0.37 |
| Capechabine | Alkylating agent | AOD library | NA | 27.84 | 813 | 3.05 | 1.12 | 0.29 | 3.42 | -19.71 | 19.71 |
| Carfilzomib | Proteasome | AOD library | NA | 0.01 | 0.00 | 0.00 | 0.00 | 0.52 | 1.91 | 0.00 | 0.00 |
| Carmustine | Alkylating agent | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| CB-839 CD532 | Gutaminase Aurora Kinase A | Selleckchem Millinore Sigma | 532605 | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Celecoxib | COX2 | AOD library | NA | >30.00 | >30.00 | 0.08 | 0.00 | 1.00 | 1.04 | 0.02 | 0.02 |
| Ceritinib | ALK/multi-receptor tyrosine kinase | Selleckchem | S7083 | 1.12 | 1.50 | 0.50 | 0.47 | 1.34 | 0.75 | 0.38 | -0.38 |
| Chlorambucil | DNA Intercalation | AOD library | NA | 28.97 | 7.74 | 1.46 | 1.82 | 0.27 | 3.74 | -21.22 | 21.22 |
| Cladribine Clofarabine | Antimetabolite Nucleoside Analog | AOD library AOD library | NA | 0.52 | 0.47 | 0.05 | 0.48 | 0.71 | 1.42 | -0.58 | 0.58 |
| Crizotinib | ALK | AOD library | NA | 0.88 | 0.36 | 0.03 | 0.15 | 0.41 | 2.43 | -0.52 | 0.52 |
| Cyclophosphamide | Alkylating agent | AOD library | NA | 23.32 | 27.97 | 3.69 | 2.88 | 1.20 | 0.83 | 4.65 | -4.65 |
| Cytarabine HCl | Nucleoside Analog Mutant BBAE | AOD library | NA | 0.13 | 0.13 | 0.04 | 0.07 | 0.98 | 1.02 | 0.00 | 0.00 |
| Dabratenib mesylate Dacarbazine | Alkylating agent | AOD library | NA | >30.00 | >30.00 | 0.78 | 0.03 | 1.00 | 3.20 | -1.47 | 0.00 |
| Dactinomycin | DNA Intercalation | AOD library | NA | 0.00 | 0.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Dasatinib | Bcr-Abl/Src | AOD library | NA | 0.03 | 1.94 | 0.01 | 0.00 | 61.49 | 0.02 | 1.91 | -1.91 |
| Daunorubicin HCl | DNA Intercalation | AOD library | NA | 0.01 | 0.00 | 0.01 | 0.00 | 0.53 | 1.88 | 0.00 | 0.00 |
| Dechabine | Fe Chelator/Topoisomerase II | AOD library | NA | 9.70 | 9.12 | 0.99 | 5 34 | 0.94 | 1.06 | -0.58 | -1.82 |
| Docetaxel | Antimicrotubule agent | AOD library | NA | 0.00 | 0.00 | 0.00 | 0.00 | 0.47 | 2.13 | 0.00 | 0.00 |
| Doxorubicin HCl | DNA Intercalation | Pfizer, Inc | 3032-20 | 0.93 | 0.07 | 0.86 | 0.02 | 0.07 | 13.73 | -0.87 | 0.87 |
| Doxorubicin HCI Enzolutomido | DNA Intercalation | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 0.25 | 3.99 | -0.02 | 0.02 |
| Epirubicin HCl | DNA | AOD library | NA | 0.02 | 0.00 | 0.00 | 0.00 | 0.22 | 4.61 | -0.01 | 0.00 |
| Epothilone B | Antimicrotubule agent | Selleckchem | S1364 | 0.00 | 0.00 | 0.00 | 0.00 | 0.81 | 1.23 | 0.00 | 0.00 |
| EPZ-6438 | EZH2 | Selleckchem | S7128 | 23.59 | >30.00 | 9.07 | 0.00 | 1.27 | 0.79 | 6.42 | -6.42 |
| EPZ5676 | DOTIL | Selleckchem | S7062 | 30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | -0.01 |
| Erastin | Ferroptosis | Selleckchem | \$7242 | 2.29 | 1.74 | 0.03 | 0.29 | 0.76 | 1.31 | -0.55 | 0.55 |
| Erlotinib HCl | EGFR | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Estramustine Na ₂ SO ₄ | Alkylating agent | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Etoposide Everelimus | 1 opoisomerase mTOP | AOD library | NA | 0.31 | 0.12 | 0.01 | 0.00 | 0.39 | 2.54 | -0.19 | 0.19 |
| EX 527 | Sirtuin | Selleckchem | S1541 | >30.00 | >30.00 | 0.01 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Exemestane | Aromatase | AOD library | NA | 15.16 | 21.55 | 6.77 | 8.66 | 1.42 | 0.70 | 6.39 | -6.39 |
| Floxuridine | Antimetabolite | AOD library | NA | 0.60 | 0.05 | 0.31 | 0.03 | 0.08 | 12.47 | -0.55 | 0.55 |
| Fludarabine phosphate | Antimetabolite Pyrimidine analog | AOD library AOD library | NA | 29.66 | >30.00 | 2.36 | 0.00 | 0.59 | 0.99 | -3.06 | -0.34 |
| Fulvestrant | Estrogen receptor | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Ganetespib | HSP90 | Selleckchem | S1159 | 0.01 | 0.01 | 0.00 | 0.00 | 0.62 | 1.60 | -0.01 | 0.01 |
| GDC-0032 GDC-0068 | Pan-PI3K Pan AKT | Genentech Sellecksherr | NA RC7440 | 0.09 | 2.49 | 0.08 | 0.57 | 26.28 | 0.04 | 2.40 | -2.40 |
| GDC-0449 | Hedgehog - PTCH, SMO | Selleckchem | S1082 | >30.00 | >30.00 | 0.09 | 0.14 | 1.00 | 1.49 | 0.00 | 0.15 |
| GDC-0941 | Pan-PI3K | Genentech | NA | 0.52 | 5.04 | 0.10 | 2.08 | 9.65 | 0.10 | 4.52 | -4.52 |
| GDC-0973 | MEK1/2 | Selleckchem | RG7420 | 5.11 | 0.13 | 0.98 | 0.03 | 0.02 | 40.30 | -4.98 | 4.98 |
| Gefitinib Comeitabino HCl | EGFR Deoxycytidine anglogue | AOD library AOD library | NA | 14.54 | 9.23 | 4.24 | 1.52 | 0.63 | 1.58 | -5.31 | 5.31 |
| Hvdroxvurea | Antimetabolite | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Ibrutinib | Bruton's tyr kinase (BTK) | AOD library | NA | 7.23 | 16.11 | 2.97 | 2.12 | 2.23 | 0.45 | 8.88 | -8.88 |
| Idarubicin HCl | DNA Intercalation | AOD library | NA | 0.00 | 0.00 | 0.00 | 0.00 | 0.36 | 2.81 | 0.00 | 0.00 |
| Iucialisib Ifosfamide | r 1380 Alkylating agent | AOD library | S2226 NA | 25.42 | >30.00 | 4.94 | 0.00 | 0.98 | 0.88 | -0.43 | -5.49 |
| Imatinib | multikinase v-Abl, c-Kit and PDGFR | AOD library | NA | 23.44 | 14.94 | 0.39 | 0.16 | 0.64 | 1.57 | -8.50 | 8.50 |
| Imiquimod | Unknown | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| INCB024360 | | Incyte Corporation | NA | 15.00 | 15.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| INCB039110 INCB040093 | ΡΙ3Κδ | Incyte Corporation | NA | 15.00 | 15.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| INCB053914 | Pan-PIM | Incyte Corporation | NA | 7.22 | 2.77 | 0.50 | 0.65 | 0.38 | 2.60 | -4.45 | 4.45 |
| INCB054329 | BRD family | Incyte Corporation | NA | 15.00 | 1.34 | 0.00 | 0.19 | 0.09 | 11.22 | -13.66 | 13.66 |
| INCB054828 | FGFR DNA/Tongicomonogo | Incyte Corporation | NA | 1.88 | 0.33 | 0.81 | 0.12 | 0.18 | 5.71 | -1.55 | 1.55 |
| Irmotecan HCI Ixabepilone | Antimicrotubule agent | AOD library AOD library | NA | 0.44 | 2.42 | 0.83 | 0.04 | 0.38 | 2.66 | -4.02 | 4.02 |
| JQ1 | BRD4 | Selleckchem | S7110 | 24.89 | 3.09 | 7.23 | 0.47 | 0.12 | 8.04 | -21.79 | 21.79 |
| Lapatinib | Tyrosine kinases - HER2, EGFR | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Lenalidomide Letrozole | Cereblon | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Lomustine | Alkylating agent | AOD library | NA | >30.00 | >30.00 | 0.00 | 4.26 | 1.00 | 1.04 | -0.86 | 0.86 |
| Mechlorethamine HCl | DNA Intercalation | AOD library | NA | 4.06 | 4.85 | 1.65 | 4.56 | 1.19 | 0.84 | 0.79 | -0.79 |
| Megestrol acetate | Progesterone receptor | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |

| | 1 m m m | | | 0.07 | 2.20 | 6.74 | 1.45 | 0.07 | 2.00 | 6.65 | 6.65 |
|---------------------------|---------------------------------------|-------------------------|-------------|--------|--------|-------|-------|------|--------|--------|--------|
| Melphalan HCI | Alkylating agent | AOD library | NA | 8.97 | 3.20 | 6.74 | 1.45 | 0.36 | 2.80 | -5.77 | 5.77 |
| Mercaptopurine | Nucleoside Analog | AOD library | NA | >30.00 | 19.89 | 0.00 | 14.30 | 0.66 | 1.51 | -10.11 | 10.11 |
| Methotrexate | Antimetabolite/Folic Acid | AOD library | NA | 1.39 | 0.52 | 1.59 | 0.50 | 0.37 | 2.70 | -0.88 | 0.88 |
| Methoxsalen | DNA damge | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Mitomycin | DNA Intercalation | AOD library | NA | 0.06 | 0.02 | 0.03 | 0.01 | 0.34 | 2.92 | -0.04 | 0.04 |
| Mitotane | Unknown | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Mitovantrone | DNA Interceletion | AOD library | NA | 0.01 | 0.00 | 0.00 | 0.00 | 0.11 | 8.01 | 0.00 | 0.00 |
| MICOANTONE | DIVA Intercatation | AOD indiary | 11A | 0.01 | 0.00 | 0.00 | 0.00 | 0.11 | 0.91 | 0.00 | 0.00 |
| MK2206 | AKI | Selleckchem | 510/8 | 0.55 | 1.26 | 0.13 | 0.34 | 2.30 | 0.43 | 0.71 | -0./1 |
| MLN8237 | Aurora Kinase | Selleckchem | \$1133 | 0.14 | 0.03 | 0.03 | 0.01 | 0.25 | 4.05 | -0.11 | 0.11 |
| Nelarabine | Antimetabolite | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Nilotinib | Tyrosine kinases - BCR-ABL, PDGFR | AOD library | NA | >30.00 | 21.06 | 0.00 | 12.64 | 0.70 | 1.42 | -8.94 | 8.94 |
| NU7441 | DNA-PK | Selleckchem | S2638 | 2.25 | 3.70 | 0.82 | 0.04 | 1.64 | 0.61 | 1.44 | -1.44 |
| Nutlin | MDM2 | Cavman | 10004372 | 0.90 | 0.99 | 0.07 | 0.20 | 1.10 | 0.91 | 0.09 | -0.09 |
| Olanarib HCI | PARP | AOD library | NA | 9.60 | 10.20 | 9 1 4 | 2.75 | 1.06 | 0.94 | 0.60 | -0.60 |
| Omacetavine menesuccinate | Ribosomes | AOD library | NA | 0.02 | 0.01 | 0.00 | 0.00 | 0.35 | 2.85 | -0.01 | 0.01 |
| Ovalinatin | DNA | AOD library | NA | 5.40 | 1.54 | 1.97 | 0.00 | 0.35 | 2.05 | 2.96 | 2.96 |
| | | AOD library | NA | 3.40 | 1.34 | 1.6/ | 0.40 | 0.29 | 3.30 | -5.80 | 5.80 |
| r aciitaxei | CDV4/ | AOD library | NA 01116 | 0.00 | 0.00 | 0.00 | 0.00 | 0.90 | 1.11 | 0.00 | 0.00 |
| Palbociclib | CDK4/6 | Selleckchem | 81116 | 2.00 | 1.34 | 0.12 | 0.29 | 0.67 | 1.49 | -0.66 | 0.66 |
| Pazopanib | Multi-receptor tyrosine kinase | Selleckchem | \$1035 | 28.68 | 12.25 | 1.87 | 3.15 | 0.43 | 2.34 | -16.44 | 16.44 |
| Pazopanib HCl | Tyrosine kinases - VEGFR, PDGFR | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Pemetrexed | Antimetabolite/Folic Acid | AOD library | NA | >30.00 | 3.60 | 0.00 | 3.99 | 0.12 | 8.34 | -26.40 | 26.40 |
| Pentostatin | Nucleotide analogue/adenine deaminase | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| PF-4708671 | n70 ribosomal S6 kinase S6K1 | Selleckchem | S2163 | 12.46 | 7.63 | 1 77 | 0.55 | 0.61 | 1.63 | -4.83 | 4 83 |
| Pinobroman | Alkylating agent | AOD library | NA | 22.26 | 4 14 | 10.95 | 1 38 | 0.19 | 5.38 | -18.12 | 18.12 |
| Plerivafor | CXCR4 | AOD library | NA | 26.35 | 27.90 | 5.16 | 2.98 | 1.06 | 0.94 | 1.55 | -1.55 |
| Plicemycin | DNA Interestation | AOD library | NA | 0.02 | 0.02 | 0.00 | 2.90 | 0.65 | 1.52 | 0.01 | 0.01 |
| | C II | AOD library | NA | 0.03 | 0.02 | 0.00 | 0.00 | 0.03 | 1.33 | -0.01 | 0.01 |
| Pomalidomide | Cerebion | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Ponatinib | Bcr-Abl | AOD library | NA | 0.39 | 0.13 | 0.16 | 0.02 | 0.33 | 3.02 | -0.26 | 0.26 |
| Pralatrexate | Antimetabolite/Folic Acid | AOD library | NA | 0.12 | 0.03 | 0.16 | 0.04 | 0.26 | 3.88 | -0.09 | 0.09 |
| Procarbazine HCl | Alkylating agent | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Quisinostat | HDAC1 | Selleckchem | S1096 | 0.02 | 0.00 | 0.01 | 0.00 | 0.23 | 4.29 | -0.01 | 0.01 |
| Raloxifene | Estrogen receptor | AOD library | NA | 26.89 | 13.48 | 1.80 | 1.07 | 0.50 | 2.00 | -13.42 | 13.42 |
| Regorafenib | VEGFR2-TIE2 | AOD library | NA | 4.18 | 1.40 | 0.51 | 0.18 | 0.34 | 2.97 | -2.77 | 2.77 |
| Romidensin | HDAC | AOD library | NA | 0.00 | 0.00 | 0.00 | 0.00 | 0.60 | 1.66 | 0.00 | 0.00 |
| SAHA | HDAC | Selleckchem | \$1047 | 3 25 | 1.90 | 0.32 | 0.13 | 0.58 | 1.00 | -1.35 | 1.35 |
| SCH772084 | FPK | Selleckchem | \$7101 | >30.00 | 18.82 | 0.00 | 7.83 | 0.50 | 1.50 | 11.18 | 11.18 |
| SCITT2704 | CDEDDD/ED200 | Selleckenem | 57101 | 20.27 | 10.02 | 0.00 | 4.21 | 0.03 | 1.59 | 10.92 | 10.92 |
| SGC-CBF50 | CREBBF/EF300 | selleckchem | 5/250 | 29.57 | 18.55 | 0.89 | 4.21 | 0.65 | 1.38 | -10.82 | 10.82 |
| Sirolimus | miok | AOD library | NA | 0.00 | 0.00 | 0.00 | 0.00 | 0.71 | 1.41 | 0.00 | 0.00 |
| Sorafenib | Kinases - RAF, VEGFR | AOD library | NA | 4.56 | 3.68 | 1.11 | 0.26 | 0.81 | 1.24 | -0.88 | 0.88 |
| Streptozocin | DNA Intercalation | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Sunitinib | c-KIT, FGFR, PDGFR, VEGFR | AOD library | NA | 2.93 | 1.25 | 1.32 | 0.00 | 0.43 | 2.34 | -1.67 | 1.67 |
| Tamoxifen citrate | Estrogen receptor | AOD library | NA | 16.14 | 13.09 | 2.25 | 2.38 | 0.81 | 1.23 | -3.05 | 3.05 |
| Temozolomide | Alkylating agent | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Temsirolimus | mTOR | AOD library | NA | 0.01 | 0.01 | 0.01 | 0.00 | 1.24 | 0.81 | 0.00 | 0.00 |
| Teninoside | DNA Topoisomerase II | AOD library | NA | 0.07 | 0.01 | 0.05 | 0.00 | 0.18 | 5 47 | -0.06 | 0.06 |
| TGX-221 | PKCheta | Selleckchem | \$1169 | 17.99 | 29.08 | 4.52 | 1 30 | 1.62 | 0.62 | 11.10 | -11.10 |
| Thalidamida | Complian | A OD library | NA | >20.00 | >20.00 | 0.00 | 0.00 | 1.02 | 1.00 | 0.00 | 0.00 |
| Thismooning | A attimate halita | AOD library | NA NA | /30.00 | -30.00 | 2.02 | 0.00 | 0.29 | 2.64 | 0.00 | 0.00 |
| Thioguanine | Anumetabolite | AOD library | NA | 13.93 | 3.29 | 2.95 | 2.33 | 0.38 | 2.04 | -8.04 | 8.64 |
| 1 niotepa | Alkylating agent | AOD library | NA | 4.00 | 1.82 | 0.57 | 0.57 | 0.46 | 2.20 | -2.18 | 2.18 |
| Topotecan HCI | DNA Topoisomerase I | AOD library | NA | 0.03 | 0.02 | 0.01 | 0.00 | 0.61 | 1.63 | -0.01 | 0.01 |
| Trametinib | MEK1/2 | AOD library | NA | 6.51 | 0.04 | 4.93 | 0.00 | 0.01 | 162.14 | -6.47 | 6.47 |
| Tretinoin | Retinoic acid receptors | AOD library | NA | 18.82 | 18.56 | 0.05 | 1.80 | 0.99 | 1.01 | -0.26 | 0.26 |
| Triethylenemelamine | DNA | AOD library | NA | 0.43 | 0.20 | 0.16 | 0.01 | 0.46 | 2.17 | -0.23 | 0.23 |
| Uracil mustard | Alkylating agent | AOD library | NA | 25.06 | 13.11 | 0.59 | 0.04 | 0.52 | 1.91 | -11.96 | 11.96 |
| Valrubicin | DNA | AOD library | NA | 0.10 | 0.04 | 0.01 | 0.00 | 0.35 | 2.87 | -0.07 | 0.07 |
| Vandetanib | Tyrosine kinases - VEGFR, EGFR | AOD library | NA | 2.27 | 2.40 | 0.16 | 0.36 | 1.06 | 0.94 | 0.13 | -0.13 |
| Vemurafenib | Mutant BRAF | AOD library | NA | 21.25 | 10.15 | 9.61 | 0.40 | 0.48 | 2.09 | -11.10 | 11.10 |
| Vinblasting sulfate | Antimianotubulo agont | AOD library | NA | 0.00 | 0.00 | 0.00 | 0.40 | 0.46 | 2.07 | 0.00 | 0.00 |
| v morasune sunate | Antimicrotubule agent | AOD library | NA | 0.00 | 0.00 | 0.00 | 0.00 | 0.40 | 2.1/ | 0.00 | 0.00 |
| v incristine suitate | Anumicrotubule agent | AOD library | INA | 0.00 | 0.00 | 0.00 | 0.00 | 0.30 | 5.58 | 0.00 | 0.00 |
| vinorelbine tartrate | Antimicrotubule agent | AOD library | NA | 0.06 | 0.02 | 0.03 | 0.00 | 0.38 | 2.66 | -0.04 | 0.04 |
| Vismodegib | Hedgehog - PTCH, SMO | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Vorinostat | HDAC | AOD library | NA | 1.73 | 0.91 | 0.70 | 0.47 | 0.53 | 1.90 | -0.82 | 0.82 |
| VU661013 | MCL1 | Stephen W. Fesik, Ph.D. | NA | 11.55 | 0.62 | 1.47 | 0.29 | 0.05 | 18.52 | -10.93 | 10.93 |
| Zoledronic acid | Pyrophosphate synthase | AOD library | NA | >30.00 | >30.00 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |

AOD, FDA-<u>Approved Oncology D</u>rug

Bromodomain and extra-terminal motif inhibitors (BETis) are a class of compounds currently under clinical development that broadly target the BRD family (predominantly BRD2, BRD3, and BRD4) (373). Preclinical studies have demonstrated that BETis are a promising strategy to target MYCN-amplified neuroblastoma because BRD4 regulates transcription of MYCN and occupies MYCN target-gene enhancers and super-enhancers (271, 273). Since BETi sensitivity has been reported to have a stronger positive correlation with MYCN expression than with MYC expression in both hormonally (343) and non-hormonally regulated malignancies (271, 273), we investigated BETis further using our MYCN-expressing TNBC preclinical models. By treating additional CAL-51 clonally-derived cell lines (n=26) with varying MYCN levels with BETi, we validated results from our prior drug screens that MYCN-expressing cells were more sensitive (p<0.0001) to BETi (Figure 17B). Further, we performed longer-term drug treatments and evaluated the colony-forming ability of a subset of clonal cell lines (n=14) differing in MYCN and MYC expression. Again, MYCN-expressing cells were more sensitive to BETi, and longerterm treatments resulted in more profound differential sensitivity (p<0.001) (Figure 16B and Figure 17C). MYCN^{High} cell lines had a ≥5-fold decrease in cell growth compared to MYCN^{Low} cell lines in both short-term metabolic and long-term colony formation assays, demonstrating an association between MYCN expression and BETi sensitivity in TNBC. To assess the effects of BETi treatment on MYCN^{High} cell lines in a forced suspension culture, we treated ten lines with BETi for seven days. Similar to adherent assays, MYCNexpressing cells had significantly (p<0.0001) reduced viability after BETi treatment in anchorage-independent culture systems (Figure 17D). Taken together, MYCNexpressing TNBC cells demonstrated increased sensitivity to BETi in both adherent and



Figure 17. Evaluation of MYCN-expressing TNBC clonal cell line drug-sensitivity. (**A**) IC₅₀ of 40 compounds used in a secondary screen to treat five MYCN^{Low} and MYCN^{High} cell lines for 72 hours. Colors associate with drug class [PI3K (red), ATR (orange), BRD family (blue), Aurora kinase A (brown), MAPK pathway (green)]. Horizonal red dotted lines represent a separation of compounds that had a greater or less than two-fold IC₅₀ between MYCN^{Low} and MYCN^{High} cell lines. (**B**) IC₅₀ of 31 CAL-51 clonally-derived cell lines after treatment with a dose-escalation of INCB054329 for 72 hours. Unpaired t-test, ****p<0.0001. (**C**) Quantification of crystal violet stained colonies compared to control for ten MYCN^{Low} and four MYCN^{High} cell lines treated with 0.5 µM INCB054329 for six days. Unpaired t-test, ****p<0.001. (**D**) Viability of the ten indicated MYCN^{High} cell lines treated with 0.5 or 1 µM INCB054329 in forced suspension cultures for seven days. Data shown represent the means ± SD of three biological replicates. # indicates p<0.0001 for all untreated to treated unpaired t-tests. Cell lines with blue font represent lines with residual viability (>10% after 0.5 µM INCB054329) that were used in subsequent combination experiments.

Table 6. Results from a secondary drug screen on CAL-51 $\rm MYCN^{\rm High}$ and $\rm MYCN^{\rm Low}$ cell lines

| | | | | Average IC ₅₀ | | Standard | | Fold shares | | D'66 | |
|---------------------|------------------------------|-------------------------|---------|--------------------------|----------------------|----------|----------------------|------------------------|----------------------|------------------------|----------------------|
| | | | | (µM) | | deviati | deviation (µM) | | roid change | | rence |
| | | | Catalog | | 1 | | | MVCN ^{High} / | MVCNLow/ | MVCN ^{High} - | MVCNLow- |
| Compounds | Targets | Source | number | MYCNLow | MYCN ^{High} | MYCNLow | MYCN ^{High} | MYCNLow | MYCN ^{High} | MYCNLow | MYCN ^{High} |
| ABT-199 | BCL2 | Selleckchem | \$8048 | 9.16 | 11.35 | 1.37 | 1.75 | 1 24 | 0.81 | 2 19 | -2.19 |
| ABT737 | BCL2 | Selleckchem | S1002 | 5.14 | 20.12 | 2.25 | 3 54 | 3.91 | 0.01 | 14.98 | -14.98 |
| AT101 | BCL2 | Selleckchem | S2812 | 1.85 | 1 37 | 0.33 | 0.30 | 0.74 | 1 35 | -0.48 | 0.48 |
| Azacitidine | Nucleoside analogue | AOD library | NA | 7.78 | 9.20 | 4.04 | 7.48 | 1.18 | 0.85 | 1.42 | -1.42 |
| AZD8186 | PKCbeta | Selleckchem | S7694 | 6.94 | 26.43 | 2.81 | 5.32 | 3.81 | 0.26 | 19.49 | -19.49 |
| BKM120 | PI3K | Selleckchem | S2247 | 0.97 | 1.20 | 0.12 | 0.15 | 1.24 | 0.81 | 0.23 | -0.23 |
| Bleomycin Sulfate | DNA Damage | AOD library | NA | 1.72 | 0.42 | 0.90 | 0.36 | 0.24 | 4.11 | -1.30 | 1.30 |
| BYL719 | PI3K (Alpha Specific) | Selleckchem | S2814 | 2.91 | 9.76 | 1.04 | 1.95 | 3.35 | 0.30 | 6.85 | -6.85 |
| CBL0137 | FACT | Active Biochem | A-1961 | 0.27 | 0.17 | 0.04 | 0.05 | 0.64 | 1.57 | -0.10 | 0.10 |
| CD532 | Aurora Kinase A | Millipore Sigma | 532605 | 0.82 | 0.84 | 0.11 | 0.16 | 1.03 | 0.97 | 0.02 | -0.02 |
| Dabrafenib mesylate | Mutant BRAF | AOD library | NA | >30.00 | 2.48 | 0.00 | 2.34 | 0.08 | 12.08 | -27.52 | 27.52 |
| Dasatinib | Bcr-Abl/Src | AOD library | NA | 0.08 | 6.69 | 0.06 | 3.36 | 83.72 | 0.01 | 6.61 | -6.61 |
| Decitabine | DNA methylation | AOD library | NA | >30.00 | 5.55 | 0.00 | 3.58 | 0.19 | 5.40 | -24.45 | 24.45 |
| Floxuridine | Antimetabolite | AOD library | NA | 0.02 | 0.02 | 0.01 | 0.01 | 0.87 | 1.15 | 0.00 | 0.00 |
| GDC-0032 | Pan-PI3K | Genentech | NA | 0.35 | 3.14 | 0.12 | 0.70 | 8.88 | 0.11 | 2.78 | -2.78 |
| GDC-0068 | Pan-AKT | Selleckchem | RG7440 | 0.41 | 0.47 | 0.05 | 0.18 | 1.14 | 0.88 | 0.06 | -0.06 |
| GDC-0941 | Pan-PI3K | Genentech | NA | 1.27 | 2.96 | 0.32 | 0.65 | 2.33 | 0.43 | 1.69 | -1.69 |
| GDC-0973 | MEK1/2 | Selleckchem | RG7420 | 6.63 | 0.33 | 2.94 | 0.20 | 0.05 | 19.96 | -6.30 | 6.30 |
| Gemcitabine HCl | Deoxycytidine analogue | AOD library | NA | 0.01 | 0.01 | 0.00 | 0.00 | 1.00 | 1.00 | 0.00 | 0.00 |
| Ibrutinib | Bruton's tyrosine kinase | AOD library | NA | 11.18 | 20.20 | 1.89 | 5.85 | 1.81 | 0.55 | 9.02 | -9.02 |
| INCB053914 | Pan-PIM | Incyte Corporation | NA | 7.58 | 5.53 | 1.79 | 1.82 | 0.73 | 1.37 | -2.05 | 2.05 |
| INCB054329 | BRD family | Incyte Corporation | NA | 12.00 | 4.29 | 3.60 | 0.53 | 0.36 | 2.79 | -7.71 | 7.71 |
| JQ1 | BRD4 | Selleckchem | S7110 | 9.00 | 2.79 | 6.49 | 0.25 | 0.31 | 3.23 | -6.21 | 6.21 |
| KU55933 | ATM | Selleckchem | S1092 | 14.04 | 15.11 | 2.11 | 2.65 | 1.08 | 0.93 | 1.07 | -1.07 |
| Methotrexate | Antimetabolite/Folic Acid | AOD library | NA | 0.20 | 0.11 | 0.14 | 0.09 | 0.55 | 1.81 | -0.09 | 0.09 |
| MK-457 | Aurora Kinase | Selleckchem | S1048 | 0.13 | 0.02 | 0.07 | 0.01 | 0.16 | 6.19 | -0.11 | 0.11 |
| MK2206 | AKT | Selleckchem | S1078 | 1.58 | 3.90 | 0.25 | 0.82 | 2.46 | 0.41 | 2.31 | -2.31 |
| MLN8237 | Aurora Kinase | Selleckchem | S1133 | 0.27 | 0.05 | 0.09 | 0.02 | 0.18 | 5.50 | -0.22 | 0.22 |
| NU7441 | DNA-PK | Selleckchem | S2638 | 2.33 | 3.73 | 0.43 | 0.69 | 1.60 | 0.62 | 1.40 | -1.40 |
| OTX015 | BRD family | Selleckchem | S7360 | 10.18 | 4.04 | 6.74 | 1.23 | 0.40 | 2.52 | -6.15 | 6.15 |
| Pemetrexed | Antimetabolite/Folic Acid | AOD library | NA | 1.16 | 0.47 | 0.85 | 0.37 | 0.41 | 2.44 | -0.68 | 0.68 |
| PF-4708671 | p70 ribosomal S6 kinase S6K1 | Selleckchem | S2163 | 10.20 | 12.47 | 1.94 | 2.65 | 1.22 | 0.82 | 2.27 | -2.27 |
| Pralatrexate | Antimetabolite/Folic Acid | AOD library | NA | 0.01 | 0.01 | 0.01 | 0.00 | 0.62 | 1.61 | -0.01 | 0.01 |
| SCH772984 | ERK | Selleckchem | S7101 | 8.19 | 1.25 | 4.34 | 1.73 | 0.15 | 6.54 | -6.94 | 6.94 |
| TGX-221 | PKCbeta | Selleckchem | S1169 | 23.51 | 29.89 | 5.02 | 0.24 | 1.27 | 0.79 | 6.38 | -6.38 |
| Thioguanine | Antimetabolite | AOD library | NA | 7.16 | 4.66 | 2.86 | 2.83 | 0.65 | 1.54 | -2.50 | 2.50 |
| Trametinib | MEK1/2 | AOD library | NA | 4.09 | 0.12 | 0.81 | 0.11 | 0.03 | 33.19 | -3.96 | 3.96 |
| Vemurafenib | Mutant BRAF | AOD library | NA | 28.21 | 16.84 | 2.46 | 7.62 | 0.60 | 1.67 | -11.37 | 11.37 |
| VU661013 | MCL1 | Stephen W. Fesik, Ph.D. | NA | 11.86 | 1.18 | 1.91 | 0.33 | 0.10 | 10.02 | -10.68 | 10.68 |
| VX-970 | ATR | Selleckchem | S7102 | 0.80 | 2.13 | 0.14 | 0.75 | 2.66 | 0.38 | 1.33 | -1.33 |

AOD, FDA-<u>A</u>pproved <u>O</u>ncology <u>D</u>rug

non-adherent growth conditions, providing the rationale for further drug development strategies for BETi in advanced TNBC.

Changes in MYC-family isoform expression in response to BETi treatment

To determine if the increased sensitivity of MYCN-expressing cells to BETi was MYCN-dependent, MYCN^{Low} and MYCN^{High} lines were subjected to MYCN siRNAmediated knockdown. siRNAs targeting MYCN RNA decreased MYCN protein and decreased viability in a dose-dependent manner only in the MYCN^{High} cell lines, without altering MYC levels in MYCN^{Low} cells (Figure 18A). Of note, MYC expression increased with MYCN knockdown in MYCN^{High} cells (Figure 18A), suggesting a feedback signaling mechanism between the MYC-family members to ensure cell survival under normal growth conditions. To determine if MYCN is a downstream target of BRD-mediated transcriptional regulation, we performed precision nuclear run-on sequencing (PRO-seq) on two MYCN^{High} and two MYCN^{Low} cell lines treated with BETi (0.5 µM INCB054329) for 15 minutes. Nascent RNA at the MYCN locus was observed only in MYCN^{High} cells and MYCN transcripts were reduced after BETi treatment (Figure 18B). Nascent RNA at the MYC locus decreased in the MYCN^{Low} cell lines after BETi treatment, consistent with reported responses to BETi in previous studies (272, 374) (Figure 18B). However, MYC RNA levels increased to basal levels by four hours (RNA-Seq; Figure 18C) in the MYCN^{Low} cells, and protein levels were elevated at 24 hours (immunoblot; Figure 18D) in the MYCN^{High} cells, in parallel experiments. Gene set enrichment analyses (GSEA) performed on RNA samples harvested after four hours of BETi treatment demonstrated



Figure 18. Evaluation of MYC-family isoform expression after BETi treatment. (A) Top: Viability of MYCN^{Low} and MYCN^{High} cell lines after siRNA-mediated knockdown using nontargeting (siNT) or anti-MYCN (siMYCN) siRNAs for 96 hours. Data shown represent the means ± SEM. Bottom: Immunoblot analysis of MYCN, MYC, and β-Actin in MYCN^{Low} and MYCN^{High} cell lines after the described knockdown with 25 nM siRNAs. (B) Genome viewer showing sequencing alignment tracks of nascent transcript PRO-seq mapping at the MYCN and MYC gene loci for the two indicated MYCN^{Low} and MYCN^{High} cell lines after treatment with DMSO control (Unt, blue) or 0.5 µM INCB054329 (BETi, red) for 15 minutes. (C) Fold change in MYCN and MYC expression in the two indicated MYCN^{Low} (Cln3 and Cln5) and four MYCN^{High} (Cln8, Cln15, Cln37, and Cln39) cell lines after treatment with 0.5 µM INCB054329 for four hours. (**D**) Immunoblot analysis of MYCN, MYC, and β-Actin in cell lines described in Part C after treatment with 0.5 and 1.0 µM INCB054329 or JQ1 for 24 hours. (E) MYC-family isoform TSA-IF on two MYCN-expressing TNBC cell lines (MDA-MB-468 and CAL-51) after 0, 0.25, 0.5, or 1.0 µM INCB054329 or JQ1 for 24 hours. Colors represent cell nuclei (blue), MYCN (magenta), and MYC (green). Scale bar, 50 μ M. (F) Quantication of fluorescence intensity for MYCN and MYC after BETi treatments described in Part E. Data shown represent the means ± SEM. TSA-IF images and quantification are representative of three biological replicates.

MYC target genes were significantly downregulated in response to BETi treatment in the MYCN^{High} cells (Hallmark MYC targets V1, FDR q<0.0001; Hallmark MYC targets V2, FDR q<0.0001); Figure 19, A and B), consistent with BETi-mediated downregulation of MYCN-mediated transcriptional activity.

To evaluate MYC-family isoform dynamics in individual cells treated with BETi treatment, CAL-51 and MDA-MB-468 were treated with increasing doses of BETi (INCB054329 or JQ1) for 24 hours and TSA-IF performed for MYCN and MYC detection. Similar to MYC-family isoform expression changes observed in the CAL-51 clonal cell lines (Figure 18, C and D), BETi treatment decreased MYCN and increased MYC expression in a dose-dependent manner in the heterogeneous CAL-51 parental population (Figure 18, E and F). However, both MYCN and MYC expression decreased after BETi treatment in MDA- MB-468, suggesting isoform switching observed in the CAL-51 clonal cell lines.



Figure 19. Changes in MYC target gene expression in CAL-51 MYCN^{High} cell lines after BETi treatment. (A) GSEA enrichment plots of the Hallmark MYC targets V1 and V2 genesets for MYCN-expressing CAL-51 clonal cell lines treated with DMSO control (Unt) or 0.5 μ M INCB054329 (BETi) for four hours with corresponding statistical metrics shown. (B) Heatmap of normalized expression for genes in the Hallmark MYC targets V2 geneset after MYCN-expressing CAL-51 clonal cell lines were treated as described in Part A.

Combination BETi and MEKi treatment in MYCN-expressing TNBC cell lines

Given that the majority of MYCN-expressing TNBC also contains MYC-expressing tumor cells (Figure 11B), we sought to identify drug combinations that would result in decreased expression of both isoforms and thereby inhibit cell proliferation and tumor development. MYC protein stability can be regulated by both the MAPK and PI3K pathways (375), and inhibition of either signaling pathway can lead to MYC instability and proteasomal degradation (287). Given that MAPK pathway inhibitors are under preclinical investigation to treat aggressive relapsed MYCN-driven neuroblastoma (376, 377) and were among the top "hits" in our previously described drug screens (Figure 16A and Figure 17A), we evaluated if MAPK pathway inhibition would alter MYCN protein levels and/or be effective at decreasing MYC expression when combined with BRD inhibition. MYCN^{High} and MYC-expressing MYCN^{Low} CAL-51 clonal cell lines were treated with inhibitors targeting proteins in the MAPK pathway, including EGFR (erlotinib), RAF (TAK-632), MEK1/2 (trametinib and GDC-0973), and ERK1/2 (SCH772984). MEK inhibitors (MEKi) were most effective at inhibiting MAPK signaling, as evidenced by decreased ERK1/2 phosphorylation, and decreased MYC-family isoform levels within respective cell lines (Figure 20A). Since the FDA-approved MEKi, trametinib, demonstrated the greatest decrease in MYC and MYCN levels, we evaluated the effects of trametinib treatment alone or in combination with BETi. MYCN levels decreased while MYC levels increased in CAL-51 MYCN^{High} clonal cell lines treated with either BETi agent alone (INCB054329 or JQ1, Figure 20B). However, trametinib in combination with either BETi attenuated MYC upregulation, thereby decreasing levels of both MYC-family isoforms (Figure 20B).



Figure 20. Effect of BETi and MEKi combination treatment on MYC-family isoform expression and cell viability of MYCN-expressing CAL-51 clonal cell lines. (A-B) Immunoblot analysis for pERK1/2, total ERK1/2, MYCN, MYC and β -Actin in the indicated CAL-51 clonal cell lines after treatment with MAPK pathway inhibitors at 0.25 μ M for 24 hours (A) or treatment with 0.25 μ M trametinib, 0.5 μ M INCB054329, 0.5 μ M JQ1, or the combination of trametinib with either BETi for 48 hours (B). All immunoblot experiments shown are representative of at least two biological replicates. (C) Left: Representative crystal violet-

stained colony formation assay (CFA) treated with INCB054329 (0.1, 0.25 and 0.5 μ M) and trametinib (0.001, 0.005 and 0.01 μ M), as single-agents or in combination, for six days. Right: Quantification of CFAs for the indicated four MYCN^{High} cell lines representing one of the nine treatment combinations represented in the left panel. Data shown represent the means ± SD of two biological replicates. One-way ANOVA, *p<0.05, **p<0.01, ***p<0.001. Values in brackets represent synergy of combination treatment. (**D**) Forced suspension viability assays for the indicated four MYCN^{High} cell lines treated with a dose escalation of INCB054329, 3 nM trametinib, or the combination of the two for seven days. Data shown represent the means ± SEM of four biological replicates. Dotted black lines represent the theoretical line of additivity, indicating data plotted below the theoretical line represents synergy between compounds evaluated in the combination treatment.

To determine the effects of BETi and MEKi on cell growth, we evaluated viability after single-agent and combination treatment in MYCN^{High} cell lines in both adherent and non-adherent culture systems using colony formation assays and forced-suspension assays, respectively. Due to the high degree of single-agent efficacy in MYCN^{High} cells to both 0.5 and 1.0 μM INCB054329 (Figure 17D), we evaluated the combination of BETi and MEKi on MYCN^{High} clonally-derived lines that had demonstrated >10% residual viability after single-agent BETi (0.5 µM INCB054329) treatment (Figure 17D; Cln 15, 34, 37, and 40). Adherent and non-adherent cultures were treated with a dose escalation of low-dose INCB054329, as a single-agent or in combination with low-dose trametinib, for six and eight days, respectively (Figure 20, C and D). Cells grown as adherent cultures had a statistically significant synergistic decrease in cell growth in all lines tested [CIn15: ANOVA (p=0.0120), synergy (1); Cln34: ANOVA (p=0.0020), synergy (23); Cln37: ANOVA (p=0.0263), synergy (14); Cln40: ANOVA (p=0.0019), synergy (12)] (Figure 18C). By calculating the theoretical line of additivity between single-agents (see 'Drug sensitivity assays' in the materials and methods section for details), we also found cells cultured in forced suspension had a synergistic decrease in cell growth after BETi and MEKi combination treatment (Figure 20D).

To expand our analysis of effects of BETi and MEKi combination treatment on heterogeneous populations of MYCN-expressing TNBC, we treated CAL-51 and MDA-MB-468 cells with trametinib, INCB054329, or JQ1 as single-agents, or with either BETi in combination with trametinib, for 48 hours and examined MYC and MYCN expression. Treatment with either BETi alone decreased MYCN expression across both TNBC cell lines (Figure 21, A and B), consistent with previous single-agent results (Figure 18, E and F). While BETi treatment resulted in little to no change in MYC levels (Figure 21, A and B), single-agent trametinib decreased MYC expression to a greater extent than MYCN in both cell lines; and, when trametinib was combined with either BETi, MYC and MYCN decreased to a larger extent than with either agent alone (Figure 21, A and B). MDA-MB-468 and CAL-51 cell populations were treated with low-dose BETi and MEKi combinations to evaluate growth and viability in response to BETi and MEKi treatment. Both TNBC cell lines were treated with increasing doses of INCB054329 or JQ1, as single-agents, or in combination with increasing doses of trametinib, and colony-forming ability was assessed after six days (Figure 21C). MDA-MB-468, the higher MYCNexpressing cell line (Figure 12D, Figure 18F, and Figure 21B), displayed greater sensitivity to both single-agent BETi and MEKi treatments compared to CAL-51, and the combination of BETi and MEKi treatment resulted in a synergistic decrease in cell growth in both MYCN-expressing lines (Figure 21C). These data demonstrate low-dose BETi and MEKi combinations are effective in MYCN-expressing TNBC cell populations and provide the rationale to further evaluate the combination using in vivo model systems of MYCNexpressing TNBC.



Figure 21. Effect of BETi and MEKi combination treatment on MYC-family isoform expression and cell viability of MYCN-expressing TNBC cell populations. (A) MYC-family isoform TSA-IF on two MYCN-expressing TNBC cell lines (MDA-MB-468 and CAL-51) after 0.25 μ M trametinib, 0.5 μ M INCB054329, 0.5 μ M JQ1, or the combination of trametinib with either BETi for 48 hours. Colors represent cell nuclei (blue), MYCN (magenta), and MYC (green). Scale bar, 50 μ M. (B) Violin plots showing quanfication of fluorescence intensity for MYCN and MYC after BETi treatments described in Part C. TSA-IF images and quantification are representative of three biological replicates. (C) Crystal violet colony formation assays for MDA-MB-468 and CAL-51 after treatment with the indicated concentrations of trametinib, INCB054329, or JQ1 alone, or either BETi in combination treatments across three biological replicates.

Effects of BETi and MEKi treatment on in vivo growth of MYCN-expressing TNBC PDXs

To evaluate the preclinical efficacy of BET and MEK inhibition in vivo, we first confirmed MYCN and MYC protein expression in three TNBC PDX models with differing MYCN and MYC RNA expression (Figure 22A and Table 3A). The TM00096 PDX model is an M-subtype TNBC derived from a metastatic lung lesion (Table 3A) (378) and expresses MYCN and MYC in ~37% and ~51% of the tumor cells, respectively (Figure 22B). PDX models TM01273 and TM00090 both have a low percentage of MYCNexpressing cells (~2% and <1%, respectively) relative to MYC-expressing cells (~63%) and ~32%, respectively) (Figure 22B). For all three models, a 2 mm³ tumor was subcutaneously implanted into NOD scid gamma (NSG) mice and when xenograft tumor volumes reached ~150 mm³, mice were treated with vehicle control, trametinib (0.1 mg/kg, QD), INCB054329 (50 mg/kg, BID), or the combination of the two agents at the indicated doses for 14 days. Compared to vehicle-treated controls, combined BET and MEK inhibitor treatment resulted in a synergistic and significant reduction in tumor growth only in the high MYCN-expressing PDX model (tumor growth inhibition (TGI): TM00096, 97%; TM01273, 58%; TM00090, 35%) (Figure 22C). These in vivo results were consistent with our in vitro observations and further confirmed an association between MYCN expression and efficacy of BETi and MEKi combination treatment.

To expand and reproduce our *in vivo* findings, we performed another PDX "trial" with TM00096 (MYCN^{High}) alongside two additional TNBC PDX models, HBCx1 and BCM2147, that have an intermediate (MYCN^{Intermediate}) or low (MYCN^{Low}) percentage of MYCN-expressing cells (~20% and ~2%, respectively) relative to MYC-expressing cells (~80% and ~95%, respectively) (Figure 22D). All three models were treated for 22 days



Figure 22. Evaluation of TNBC tumor growth after BETi and MEKi combination treatment *in vivo*. (A) *MYCN* and *MYC* expression (TPM) in three TNBC PDX models (TM00096, TM01273, and TM00090). (B) Representative IHC and quantification of percent positive cells for MYCN and MYC in TM00096, TM01273, and TM00090 sections. (C) Tumor volume (mm³) of TM00096, TM01273, and TM00090 treated with trametinib (0.1mg/kg, QD) or INCB054329 (50mg/kg, BID) alone, or in combination for 14 days. Red bar represents mean. (D) Representative IHC and quantification of percent positive cells for MYCN and MYC in HBCx1 and BCM-2147 sections. (E) Tumor volume (mm³) of TM00096, HBCx1, and BCM-2147 treated with trametinib, INCB054329, or JQ1 (50mg/kg, BID) alone, or either BETi in combination with trametinib for 22 days. TGI, tumor growth inhibition. QD, once daily. BID, twice daily. Data shown represent the means \pm SEM. Unpaired t-test between vehicle, BETi, and corresponding combination treated tissue, *p<0.05, **p<0.01, ***p<0.001, ****p<0.001.

with trametinib, INCB054329, or JQ1 (50 mg/kg, BID) as single-agents, or with the indicated BETi combined with trametinib. All compounds administered were well tolerated as all animals completed the study without excess weight loss (Figure 23) or limiting morbidities. We observed the greatest statistical difference from vehicle in response to either BETi treatment in the MYCN^{High} model (TM00096) with a 63% TGI in response to INCB054329 treatment (compared to 40% and 38% in the MYCN^{Intermediate} and MYCN^{Iow} models, respectively) and an 83% TGI in response to JQ1 (compared to 75% and 57% in the MYCN^{Intermediate} and MYCN^{Iow} models, respectively) (Figure 22E). Combined MEKi and BETi resulted in a synergistic TGI in mice harboring either MYCN^{High} and MYCN^{Intermediate} tumors (Figure 22E) and an 11% and 85% reduction in tumor volume, compared to the starting treatment-naïve tumor volume, in the MYCN^{High} PDX model when trametinib was combined with either INCB054329 or JQ1, respectively (left panel, yellow section; Figure 22E).

To determine the effects of the agents on pharmacodynamic markers *in vivo*, tumors were resected and protein extracted after the initial (two days) and final (22 days) treatments during the PDX study. Through immunoblot analyses, we observed that trametinib decreased pERK1/2 and both BETis decreased MYC and MYCN in all three PDX models, consistent with the agent's predicted biochemical activities (Figure 24A). To determine if decreased cell proliferation or increased apoptosis contributed to the observed decrease in tumor growth in the MYCN^{High} and MYCN^{Intermediate} models treated with the combination, we evaluated markers of proliferation (Ki67) and apoptosis (cleaved PARP and cleaved caspase-3) by IHC and immunoblot, respectively. Unlike the MYCN^{Low} PDX model, Ki67 decreased in tissue from the MYCN^{High} and MYCN^{Intermediate} models



Figure 23. Effect of BETi and MEKi combination treatments on weight of treated mice. Averaged weight (grams) of mice measured weekly while treated with vehicle control (n=25), trametinib (0.1mg/kg, QD, n=25), INCB054329 (50mg/kg, BID, n=26), JQ1 (50mg/kg, BID, n=19), or the combination of trametinib with INCB054329 (n=25) or JQ1 (n=21) by orogastric gavage for 21 days (**A**) or with vehicle control (n=12), trametinib (0.1mg/kg, QD, n=12), or INCB057643 (10mg/kg, BID, n=11), or the combination of trametinib with INCB057643 (n=12) by orogastric gavage for 30 days (**B**). Data shown represent the means \pm SD. QD, daily. BID, twice a day. treated with either single-agent BETi or in combination with MEKi, after two days of treatment and to a greater extent at the end of treatment (Figure 24B). Only the MYCN^{High} model displayed markers of apoptosis after two days of treatment with each single-agent alone or in combination (Figure 24A). These data suggest that BETis decreased both MYCN and MYC levels in tumor cells grown *in vivo*, and combination treatment resulted in a synergistic decrease in tumor volume in the MYCN-expressing TNBC models due to both anti-proliferative and pro-apoptotic mechanisms.

Changes in MYC-family isoform expression in vivo after BETi and MEKi combination treatment

To evaluate changes in cellular expression of MYCN and MYC during treatment, we performed IHC and dual MYC-family isoform TSA-IF on PDX tissue collected after initial and final doses. Similar to immunoblot results at the early treatment timepoint (Figure 24A), single-agent BETis decreased MYC levels in the MYCN^{Low} PDX model and both MYC and MYCN levels in the MYCN^{High} and MYCN^{Intermediate} models (Figure 25A and Figure 26, A-C). Trametinib treatment alone transiently decreased both MYC-family isoforms in the MYCN^{High} PDX model as protein expression returned to near basal, vehicle-treated levels by day 22 (Figure 25, A-C, and Figure 26, B and C). In contrast, trametinib combined with either BETi decreased MYCN and MYC levels to a greater extent than with either BETi alone throughout the time course of treatment in both MYCN^{High} and MYCN^{Intermediate} models (Figure 25, B and C). Taken together, treatment with either structurally distinct BETi, INCB054329 or JQ1, when combined with MEKi, continuously inhibited MYC-family isoform expression in PDX models with elevated



Figure 24. Evaluation of apoptosis and proliferation after BETi and MEKi treatment in TNBC PDX models. (A) Immunoblot analysis for pERK1/2, total ERK1/2, MYCN, MYC, cleaved PARP, cleaved caspase-3 and β -Actin, in TM00096, HBCx1, and BCM-2147 treated for two days with vehicle control, trametinib (0.1mg/kg, QD), INCB054329 (50mg/kg, BID), JQ1 (50mg/kg, BID), or the combination of trametinib with INCB054329 or JQ1. Two tumors per treatment condition. Controls represent SK-N-BE(2)C cell lysates for MYCN, and cell lysates prepared from irradiated CAL-51 Cln8 (50 J/m²) for cleaved PARP and cleaved caspase-3. (B) Change in percent Ki67 positive nuclei in TM00096, HBCx1, and BCM-2147 tumor cells after treatment with compounds described in Part A.



Figure 25. Evaluation of MYC-family isoform expression after BETi and MEKi combination treatment *in vivo*. (A) Representative TSA-IF of MYCN and MYC in TM00096 after two or 22 days of treatment with trametinib (0.1mg/kg, QD), INCB054329 (50mg/kg, BID), or JQ1 (50mg/kg, BID) alone, or either BETi in combination with trametinib. Colors represent cell nuclei (blue), MYCN (magenta), and MYC (green). Scale bar, 20 μ M. (B) Quantification of IHC (percent positive cells) and (C) violin plots showing the distribution of TSA-IF intensity per


Figure 26. Evaluation of MYC-family isoform expression after BETi and MEKi combination treatment *in vivo*. (A) Representative TSA-IF of MYCN and MYC in HBCx1 and BCM-2147 after two or 22 days of treatment with trametinib (0.1mg/kg, QD), INCB054329 (50mg/kg, BID), or JQ1 (50mg/kg, BID) alone, or with INCB054329 or JQ1 in combination with trametinib. Scale bar, 20 μ M. (B-C) IHC (percent positive cells) (B) and TSA-IF intensity per nuclei (C) quantification of MYCN and MYC in TM00096, HBCx1, and BCM-2147 sections after treatments described in Part A for two days. Unpaired t-test between vehicle and treatment arms and between single-agent BETi and associated combination treated tissue, *p<0.05, **p<0.01, ***p<0.001, ****p<0.001.

MYCN levels and resulted in synergistic tumor growth inhibition for both PDX models and tumor regression in the highest MYCN-expressing PDX model.

MYCN expression in primary and recurrent ER+ and HER2+ breast cancer

Despite there being effective first-line therapies for patients with HER2+ disease, patients with locally recurrent and/or metastatic HER2+ breast cancer have an unfavorable five-year survival and need additional therapeutic options (*25*). To determine if we could extend our findings beyond TNBC, we analyzed *MYCN* expression across all primary, treatment-naïve breast cancer subtypes including estrogen receptor (ER)-expressing (ER+), HER2-amplified (HER2+), ER-expressing and HER2-amplified (ER+/HER2+), and TNBC within TCGA and METABRIC datasets. *MYCN* expression was highest in TNBC (p=<0.0001), as well as HER2+ (p=<0.0001) breast cancer, compared to ER+ tumors in both datasets (Figure 27, A and B). *MYCN* expression was also elevated in metastatic TNBC and HER2+ tumors compared to ER+ breast cancer in the MET500 dataset (Figure 27C) (*379*).

Previously, we analyzed *MYCN* expression in TNBC cases from a recent study (37) evaluating transcriptional changes between primary and metastatic breast cancer. Similar to *MYCN* expression between primary TNBC and patient-matched metastases (Figure 10), *MYCN* levels in primary ER+ and HER2+ breast cancer were also elevated



Figure 27. *MYCN* expression in primary and metastatic TNBC and ER+/HER2+ breast cancer. *MYCN* expression grouped according to breast cancer subtype (ER+ only, ER+/HER+, HER2+ only, TNBC) for primary, treatment-naïve cases from TCGA (BRCA) (**A**) and METABRIC (**B**) datasets. Unpaired t-test, *p<0.05, **p<0.01, ***p<0.001, ****p<0.0001. Red line represents mean. (**C**) *MYCN* expression (TPM) grouped according to breast cancer subtype for metastatic cases in the MET500 dataset. (**D**) *MYCN* expression in ER+ and HER2+ primary breast cancer and associated patient-matched metastatic lesions from Siegel *et al.* Primary and metastatic samples are circled in green and black, respectively. Colors represent TNBC (magenta), HER2+ only (orange), ER+/HER2+ (yellow), ER+ only (black) breast cancer samples.

or similarly expressed in nearly all associated metastatic specimens, except for one case with *ER* expression in both the primary tumor and associated metastases (Figure 27D). Of note, four of the seven patients with ER+ primary breast cancer lost *ER* expression in the metastatic setting and were redefined as TNBC; the majority of these metastatic lesions contained elevated MYCN expression compared to the primary tumor (Figure 27D). Similarly, the case that exhibited the greatest increase in *MYCN* expression in the metastatic setting was a primary ER+ tumor that had gained the expression of *HER2* during progression of the disease (Figure 27D). Although further analyses need to be conducted across larger datasets to determine the prevalence of MYCN expression in advanced HER2+ disease, the data presented in the previous chapter for TNBC may have implications for patients with HER2+ breast cancer.

Effect of BETi and MEKi treatment on in vivo growth of MYCN-expressing HER2+ breast xenograft tumors

To determine if the preclinical efficacy of BET and MEK inhibition observed for MYCN-expressing TNBC would be similar for MYCN-expressing HER2+ breast cancer, we first evaluated *MYCN* and *MYC* expression across a panel of HER2+ and TNBC PDX samples from Champions Oncology (CO) (Figure 28A). The only model with elevated *MYCN* expression was CTG-1475, a primary stage IV HER2+ invasive ductal breast carcinoma with little to no *MYC* expression (Figure 28A). To evaluated if RNA levels correlated with protein expression, we performed an immunoblot analysis for MYCN and MYC in CTG-1475 alongside the two other HER2+ breast cancer models and 16 TNBC PDX models (Figure 28B), including TM00096, the high MYCN-expressing TNBC PDX

model that demonstrated a synergistic response to combined BET and MEK inhibition (Figure 22A). Although MYCN levels in CTG-1475 were not as high as TM00096, they were elevated compared to the other breast cancer PDX models, and similar to *MYC* RNA expression, CTG-1475 had little to no MYC protein expression (Figure 28B). The inverse relationship between MYCN and MYC expression in this model was further validated through IHC and TSA-IF staining on tissue sections of CTG-1475 (Figure 28C). Based on these data, the CTG-1475 HER2+ PDX model was selected for *in vivo* tumor growth studies and evaluation of response to single-agent and combination BET and MEK inhibitors at CO.

For this study, CTG-1475 tumor fragments were subcutaneously implanted into the flank of female immunocompromised mice and when xenograft tumor volumes reached 150-300 mm³, mice were treated with vehicle control, trametinib (0.1 mg/kg, QD), INCB057643 (10 mg/kg, BID), or the combination of the two agents at the indicated doses for 30 days. INCB057643 is a next-generation BETi and has a more favorable pharmacokinetic (PK) profile than INCB054329 (*380*). Again, all compounds administered were well tolerated as all animals completed the study without excess weight loss (Figure 23B) or limiting morbidities. While both trametinib and INCB057643 had marked antitumor effects as single-agents, the combination resulted in a greater TGI than either agent alone and had a statistically significant reduction in tumor volume compared to vehicle control (p=0.0201, Figure 28D). Collectively, these data suggest that in addition to patients with advanced MYCN-expressing TNBC, those with advanced HER2+ MYCNexpressing breast cancer could also benefit from combined treatment with BET and MEK inhibitors.

| • | | | | | | | | | | | |
|---|----------|------------------|----------|--------------|---------------------------|--------------|------------------|-----------------------|----------------------|-----------------|-------|
| | | | Metadata | | | | | | | RNA log(RPKM+1) | |
| | Model | Doubling Time | Subtype | Tumor Status | Histology | Harvest Site | Disease Stage | Tumor Grade | Treatment History | МҮСМ | МҮС |
| | CTG-1475 | 19 days | HER2+ | Primary | Invasive ductal carcinoma | Breast | IV | Poorly differentiated | Pretreated | 5.347 | 0.863 |
| | CTG-0018 | 20 days | TNBC | Metastatic | Colloid carcinoma | Lymph node | IV | Well differentiated | NA | 3.319 | 2.695 |
| | CTG-1325 | 10 days | HER2+ | Primary | Intraductal carcinoma | Breast | NA | Poorly differentiated | NA | 2.887 | 6.482 |
| | CTG-1684 | NA | HER2+ | Metastatic | Adenocarcinoma | Liver | NA | Poorly differentiated | Pretreated | 2.848 | 4.377 |
| | CTG-1408 | 38 days | TNBC | Metastatic | Invasive ductal carcinoma | Lymph node | IV | Poorly differentiated | Pretreated | 2.827 | 6.626 |
| | CTG-1019 | 18 days | TNBC | Metastatic | Invasive ductal carcinoma | Liver | IV | Poorly differentiated | Pretreated | 2.817 | 6.517 |
| | CTG-1340 | 9 days | TNBC | Metastatic | Invasive ductal carcinoma | Lymph node | III | Poorly differentiated | Pretreated | 2.527 | 6.478 |
| | CTG-0869 | 9 days | TNBC | Metastatic | Invasive ductal carcinoma | Chest | IV | Poorly differentiated | Pretreated | 2.522 | 7.545 |
| | CTG-1151 | 52 days | TNBC | Metastatic | Invasive ductal carcinoma | Lymph node | IV | Poorly differentiated | Pretreated | 2.094 | 4.988 |
| | CTG-0017 | 20 days | TNBC | Metastatic | Carcinoma | Lymph node | IV | Poorly differentiated | Pretreated | 1.850 | 6.166 |



Figure 28. Evaluation HER2+ breast cancer tumor growth after BETi and MEKi combination treatment in vivo. (A) Metadata and MYC-family isoform (MYCN and MYC) expression for TNBC and HER2+ breast cancer PDX samples from Champions Oncology (CO). The PDX highlighted in red was the model selected for IHC/TSA-IF analyses and drug sensitivity studies as described in Fig. 28. (B) Immunoblot analysis for MYCN, MYC, and GAPDH in TNBC (magenta), HER2+ (green), and PR+ (black) breast cancer cases from CO and Jackson Laboratories (JL). (C) Representative hematoxylin and eosin (H&E), IHC, and TSA-IF stains of MYCN and MYC in the CTG-1475 HER2+ breast cancer PDX model. Colors represent cell nuclei (blue), MYCN (magenta), and MYC (green). Scale bars, 20 μM (IHC) and 50 μM (TSA-IF). (D) Tumor volume (mm³) of CTG-1475 treated with vehicle control (n=12), trametinib (0.1mg/kg, QD, n=12), or INCB057643 (10mg/kg, BID, n=11), or the combination of trametinib with INCB057643 (n=12) by orogastric gavage for 30 days. TGI, tumor growth inhibition. Data shown represent the means \pm SEM. Unpaired t-tests between treatment arms. *p<0.05.

Discussion

Previous studies have demonstrated MYCN and MYC preferentially regulate the same set of core genes involved in metabolism and cell growth, and while the MYCN allele can functionally replace MYC in murine development (180), MYCN and MYC have separate temporal regulation over organogenesis in early vertebrate development (358). MYCN expression is essential for the initial establishment of stem and progenitor populations; over the course of organ system development, MYCN expression switches to low MYC expression to support stem and progenitor cell maintenance, and during cell lineage commitment and expansion, elevated MYC levels drive highly proliferative cells until they reach terminal differentiation (358). MYC-isoform expression within vertebrate development and in normal breast tissue will be discussed further in the Future Directions section. Of relevance to this discussion, developmental studies demonstrate the ability of cells to switch between MYCN and MYC expression over time while serving differing roles in progenitor cells, tissue homeostasis, and organogenesis. Similarly, an elegant study using endogenous fluorescent labels for MYCN and MYC demonstrate both isoforms are necessary for the survival of hematopoietic stem cells. They show that MYCN is involved in the self-renewal of quiescent stem cells and that MYCN expression switches to MYC expression upon differentiation to transit-amplifying progenitors (349, 381). MYC-family isoform switching in our clonally-derived TNBC cell line models indicates tumor cells have retained the epigenetic ability to transition between MYCN and MYC, which may account for the large range (2-100%) of MYCN expression within heterogenous TNBC cell populations. Although a MYCN-to-MYC transition is typically observed, MYC-expressing

cells may revert to a MYCN-expressing state to provide a survival advantage in the presence of therapeutic perturbations.

By isolating and expanding single cells from heterogeneous TNBC tumor-derived cell line populations, we were able to generate novel MYCN- and MYC-expressing cell cultures with a similar genetic background, thus allowing us to assign the biological relevance of MYCN versus MYC expression to the sensitivity of compounds under preclinical investigation. We conducted a high-throughput 158-drug screen that included compounds from the NCI FDA-AOD library and identified inhibitors of the BRD-family of transcriptional regulators (e.g. BETi) that were preferentially effective in inhibiting MYCNexpressing tumor cell growth. BETis are a class of compounds currently under early stage clinical development that broadly targets the BRD family (predominantly BRD2, BRD3, and BRD4) of transcriptional regulators (373). These compounds were of particular interest given a previous report of preferential sensitivity of BETis in TNBC compared to other breast cancer subtypes (374). Further, the activity of BET is has been predominantly attributed to the selective disruption of super-enhancer-associated genes that deregulates transcription factor activity (374, 382, 383). BRD4 regulates transcription of MYCN as well as occupies MYCN target-gene enhancers and super-enhancer genomic sites, and preclinical studies have suggested BET is as a promising strategy to target MYCN-driven neuronal [neuroblastoma (271, 273), medulloblastoma (384), embryonal tumors with multilayered rosettes (385)] and non-neuronal [ovarian cancer (343), alveolar rhadomyosarcoma (386)] tumor cell growth.

While prior studies have focused on BRD-mediated targeting of MYC, we show that TNBC tumors are heterogeneously composed of MYC and MYCN-expressing cells

and MYCN-expressing cells have differential sensitivity to BETis in select tumor cells and model systems. Currently, BETis are in the initial stages of clinical assessment and have had their greatest single-agent clinical efficacy in hematopoietic and NUT midline malignancies (373); however, favorable preclinical investigation with BETi combination treatments have catalyzed interventional trials to improve hematopoietic malignancy and solid tumor patient responses (373, 387). The synergy between BETi and MEKi has been attributed to an upregulation of MAPK pathway signaling in response to BETi treatment (388) and the ability of BETis to disrupt adaptive bypass mechanisms induced by MEKi treatment (389). While we did not observe an upregulation of MAPK pathway signaling after BETi treatment in either our TNBC cell lines or PDX tissue, we cannot rule out chromatin modulation or enhancer remodeling in response to BETi treatment with either single-agent given the rebound/upregulation of MYC expression in response to BETi treatment in the CAL-51 clonal cell lines.

Conclusions

We discovered that single-agent BETi and MEKi treatments decreased both MYCN and MYC expression and had a greater effect when used in combination. Importantly, combined low-dose BETi and MEKi displayed a synergistic decrease in tumor cell viability in cell line cultures and in mice harboring TNBC PDXs with the heterogeneous expression of both MYCN and MYC. Based on our preclinical results using adherent and non-adherent *in vitro* TNBC cell lines models and *in vivo* TNBC and HER2+ breast cancer PDX models, we posit that BETi and MEKi combination treatment will induce regression of MYCN-expressing breast tumors and/or reduce the advent of

MYCN-mediated metastases. Given that patients with TNBC primarily receive systemic cytotoxic chemotherapies that frequently result in unfavorable outcomes, we initially propose the clinical development of combination BET and MEK inhibitors for patients with advanced or recurrent TNBC with the evaluation of MYCN as a relevant biomarker for patient selection. Any benefits observed clinically for TNBC will inform future studies for TNBC as well as non-TNBC MYCN-expressing tumors.

CHAPTER V

SYNOPSIS AND FUTURE DIRECTIONS

The poor prognosis associated with TNBC is primarily due to the lack of biomarkers used to direct effective therapeutic options for this very heterogeneous subtype. While patients with TNBC achieved a greater pathological complete response to neoadjuvant chemotherapy compared to the other breast cancer subtypes, the majority of patients with TNBC experience recurrence and succumb to their disease. To evaluate if MYCN, a proto-oncogene shown to be aberrantly expressed in a variety of neuronal and non-neuronal tissues, was also deregulated in TNBC, we performed a series of immunohistochemistry-based analyses on >300 TNBC specimens representing the main stages of disease progression, including: at diagnosis (primary, treatment-naïve tissue), after standard-of-care NAC treatment (NAC-treated residual disease), and after the onset of disease recurrence (locally-recurrently/metastatic lesions).

In conjunction with *MYCN* expression analyses from TNBC tumor cells, pre- and post-NAC treatment, and between primary and metastatic patient-matched tumors, we determined that: (i) MYCN-expressing tumor cells were present within a significant fraction (55%) of TNBC tumors; (ii) MYCN-expressing cells increased in expression level (mean H-score, 25 to 29) and frequency (45% to 64%) after NAC treatment primarily consisting of anthracyclines and taxols; (iii) both MYCN RNA and protein were detected in metastatic TNBC; and (iv) patients with TNBC tumors with elevated MYCN expression had decreased rates of overall survival by approximately 30%.

This dissertation research also led to the discovery that nearly half of all TNBC tumors heterogeneously co-express MYCN and MYC within intratumoral cell populations, and the majority of cell nuclei robustly expressed only one MYC family member. Through isolation and analysis of individual cells from a TNBC cell line that was derived from a metastatic lung lesion, we were able to confirm the heterogeneous nature of MYC and MYCN protein expression observed in clinical specimens and create unique TNBC clonal cell line models to evaluate differing growth properties and drug sensitives between MYCN- and MYC-expressing TNBC cells. By conducting high-throughput drug screens using 158 compounds, currently or previously under clinical development, we observed increased sensitivity of MYCN-expressing tumor cells to several drug classes including BET, Aurora-A, and MAPK pathway inhibitors. Drug combination studies, using BETi and MEKi on TNBC cell line and PDX models with heterogeneous expression of MYCN and MYC, resulted in a synergistic decrease in tumor cell growth and/or viability that mirrored cumulative MYC-family isoform expression levels after treatment. Many questions remain as to the biological relevance of MYCN-expressing cells in breast cancer, if MYCN- and MYC-expressing tumor cells have unique differentiation states, and if expression of one family member versus the other contributes to or is just a biomarker of disease progression.

MYCN- and MYC-expressing TNBC drug sensitivity and resistance

Standard-of-care chemotherapy for patients with primary, localized TNBC generally consists of the DNA damaging agents called anthracyclines (e.g. doxorubicin) and microtubule stabilizers known as taxanes (e.g. paclitaxel). The vast majority of the primary, NAC-treated tissue used to determine levels of MYCN-expressing cells after chemotherapy were surgical resections after treatment with either an anthracycline (85%) and/or a taxane (58%), collectively representing 96% of all samples. To identify oncogenic signaling pathways in residual disease after NAC that may be candidates for drug development, Balko and colleagues performed a comprehensive molecular analysis on 74 TNBC tumors after NAC using targeted next-generation sequencing and digital RNA expression analyses. After TP53 alterations (89%), MCL1 and MYC were amplified in 54% and 35% of NAC-treated TNBC, respectively (321). While the frequency of amplified MYC was similar to that of primary, treatment-naïve TNBC, a greater number of MCL1amplified TNBC was observed post-NAC compared to basal-like primary, treatment-naïve tumors in TCGA (54% post-NAC versus 19% treatment-naïve) (321). These data demonstrate retention and/or acquisition of high-frequency MYC amplifications post-NAC. These tissue, as well as patient-matched primary and NAC-treated TNBC samples, were generously provided by Dr. Balko for our investigation of MYCN and MYC expression preand post-NAC described in Chapter III. While MYC levels and frequencies were similar between the independent cohorts of primary, treatment-naïve and NAC-treated TNBC cases, a significant fraction of specimens also expressed MYCN. The frequency of MYC-Only-expressing cases transitioned from 46% to 21% to 45% between the primary, treatment-naïve; primary, NAC-treated; and recurrent TNBC cohorts, respectively. Of

importance, the percentage of MYCN-Only- and dual MYCN/MYC-expressing cases increased post-NAC (MYCN-Only: 9% to 16%, both MYCN and MYC: 34% to 49%). Further, the majority (91%) of recurrent MYCN-expressing TNBC cases also express MYC. The IHC evaluations of MYC protein were similar to results from the Balko lab and suggest a cooperation between MYC and MYCN during tumor progression.

Through the use of single-cell sequencing, elevated *MYCN*-expression has been shown to associate with TNBC tumor cells that have a basal/stem-like differentiation state and seed metastatic lesions; *MYCN*-expressing low-burden tumors then expanded and differentiated into high *MYC*-expressing proliferative tumors with luminal gene expression (*261*). Consistent with this report, MYC levels were higher in recurrent tumors compared to primary tumors [mean H-score: recurrent TNBC (86) versus primary, treatment-naïve (33) and NAC-treated TNBC (32)]. Whether the actual *MYCN*-expressing basal/stem-like cells that seeded metastatic lesions in the Lawson *et al.* study were just present at lower percentages within high-burden *MYC*-expressing tumors or they differentiated into *MYC*-expressing cells is unknown and should be investigated further.

In Chapter III, we generated MYC- and MYCN-expressing clonal cell lines through isolation of individual cells from the CAL-51 TNBC cell line cultured with and without in the presence of PI3Ki. The percentage of MYCN-expressing clonal cells increased from 6% to 86% after exposure to continuous PI3Ki exposure, implicating an association between MYCN expression and PI3Ki resistance. Along these same lines, Muellner and colleagues created isogenic cell lines from the human breast epithelial cell line MCF10A and performed a synthetic lethal screen (*390*). Barcoded cells were transfected with cDNA for overexpression, or siRNAs for knockdown, of 70 cancer-related genes and then

evaluated for resistance to the dual PI3K/mTOR inhibitor, BEZ235 (390). By validating "hits" from this screen, the authors reported *MYC* was one of the primary genes responsible for PI3Ki resistance (390). Similar results have been described in several other studies evaluating mechanisms of resistance to PI3Kis (391). Of note, two of the fourteen clonal cell lines generated from our PI3Ki-resistant TNBC cell population expressed MYC, indicating MYC-expressing cells were resistant to PI3Ki-induced senescence or cell death. However, unlike MYCN-expressing cells isolated from the same cell population, the MYC-expressing cells reverted back to a PI3Ki-sensitive state.

To evaluate mechanisms of PI3Ki-resistance and differential drug sensitivities between MYCN- and MYC-expressing TNBC cells, we performed a high-throughput drug screen described in Chapter IV using the NCI FDA-Approved AOD library and 44 additional compounds of interest. The additional compounds included the previouslymentioned inhibitors that target MYC-family isoform expression (i.e. BRD family, Aurora-A, and MAPK pathway inhibitors) as well as several inhibitors against proteins known to prevent apoptosis, including the anti-apoptotic proteins BCL2 (ABT737) and MCL1 (VU661013). The latter compound, along with two other MCL1 inhibitors, were generously provided by Dr. Stephen W. Fesik at VUMC. Mentioned in Chapter I, MYCN-amplified neuroblastoma cell lines have demonstrated sensitivity to BCL2 inhibitors (316). In contrast to this study, we found the MYCN-expressing TNBC cell lines were resistant to the BCL1 inhibitor but hypersensitive to all three MCL1 inhibitors. To further explore MCL1-sensitivity in the context of PI3Ki-resistance, we treated two PIK3CA-mutant, PI3Ki-resistant TNBC cell lines, MDA-MB-453 and CAL-148 (previously generated alongside CAL-51 PI3Ki-resistant line), and corresponding parental cell line controls with

the MCL1 inhibitors. Given that there was no difference in MCL1-sensitivity between the MDA-MB-453 and CAL-148 PI3KiR and parental lines (data not shown, d.n.s), the MCL1sensitivity observed in the CAL-51 MYCN-expressing PI3Ki-resistant clonal cell lines was either cell line-specific or related to the MYCN-expressing cell state.

As previously mentioned, EZH2 is an enzymatic component of the polycomb repressive complex that facilitates the NEPC cell state that is associated with expression of MYCN. Inhibitors targeting EZH2 have been shown to inhibit growth of MYCNexpressing NEPC cells (256). Therefore, we included an inhibitor of EZH2 in our initial primary screen. We observed no difference in sensitivity between MYCN^{High} and MYCN^{Low} (MYC-expressing) cell lines. The CAL-51 MYCN^{High} lines either have no expression or similar expression of CHGA, SYP, ENO2, and NCAM1, canonical neuroendocrine markers (256), compared to the MYCN^{Low} lines, indicating the MYCNexpressing TNBC cell line models do not associate with a neuroendocrine cell state and therefore, would not have increased drug sensitivity to EZH2 inhibitors. Previous studies have shown binding of Aurora-A stabilized MYCN protein and that inhibition of Aurora-A resulted in uncoupling of the Aurora-A complex from the degron of MYCN, leading to polyubiquitination and subsequent proteasomal degradation (295). However, decreased tumor cell growth after Aurora-A inhibitor treatment has been observed for both MYCand MYCN-expressing tumors (316, 392). Allosteric Aurora-A inhibitors have also shown activity in the treatment of MYCN-amplified neuroblastoma (298); therefore, we included the allosteric Aurora-A kinase, CD532, along with ATP-site competitive small molecule inhibitors, MLN8237 and MK-457, in our drugs screen. Compared to the TNBC MYCN^{Low} (MYC-expressing) lines, the MYCN^{High} cells in both the primary and validation screens

had increased sensitivity to MLN8237 and MK-457 but demonstrated no differential sensitivity to CD532, with all lines exhibiting similar relative sensitivity to CD532. These data suggest that allosteric Aurora-A inhibitors would not be selective for MYCN-expressing TNBC tumors.

The canonical MAPK pathway is activated by RAS-mediated mechanisms and signals through MEK1/2 and ERK1/2 to drive the transcription of genes involved in pathways that include proliferation, differentiation, and migration (393). High-risk neuroblastoma is a subset of neuroblastic tumors characterized by pathological advanced disease and/or the presence of MYCN gene-amplifications (394). In an analysis of chemotherapy-resistant relapsed disease, the vast majority of tumors demonstrated active RAS-MAPK pathway signaling predictive of MEK inhibition in vitro and in vivo (376). Given that previous studies have also demonstrated the MAPK pathway contributes to MYC phosphorylation and stability (287), we included several MAPK pathway inhibitors in our primary and validation drug screens, including the FDA-approved MEK inhibitor, trametinib. MAPK pathway inhibitors were the top "hits" in both screens. We show in Chapter IV that MAPK pathway inhibitors downstream of MEK resulted in decreased MYCN and MYC protein in all MYCN- and MYC-expressing CAL-51 cell line models, with trametinib resulting in the greatest decrease in protein levels. Whether changes in MYCN protein expression by trametinib treatment were due to altered transcriptional, translational, or protein stability is currently unknown and could be the basis of future studies.

MYCN- versus MYC-associated gene expression in TNBC

The discovery of both intertumoral and intratumoral heterogeneity of MYCN and MYC expression in TNBC led us to wonder if the associated gene expression differed between cells that express a given MYC-family isoform. We performed differential gene expression analyses using the TNBC587 dataset and compared TNBC tumors based on MYCN and MYC expression levels and the ratio of expression relative to each other. MYCN^{HighRatio} tumors have the highest MYCN expression and the lowest MYC expression. Conversely, MYC^{HighRatio} tumors have the highest MYC expression and the lowest MYCN expression. Selecting tumors based on expression levels as well as respective expression ratios allowed us to minimize the inclusion of heterogeneous tumors co-expressing both isoforms and therefore reduce confounding results. To ensure the optimal number of MYCN^{HighRatio} and MYC^{HighRatio} tumors were selected for differential gene expression analyses and that genes identified were not by chance, we performed a simulation between a percentage (1-10%) of tumors from the TNBC587 cohort selected at random compared to the same percentage of the top MYCN^{HighRatio} and MYC^{HighRatio} tumors. The top 7% of *MYCN*^{HighRatio} and *MYC*^{HighRatio} tumors demonstrated the greatest number of statistically significant differentially expressed genes compared to 7% of tumors selected at random (Figure 32, panel A). The tumors selected and the statistically significant differentially expressed genes compared between the two groups are presented in Figure 32, panel B and C.

To determine the degree of variance between all *MYCN*^{HighRatio} and *MYC*^{HighRatio} tumors selected for analysis, we performed a principal component analysis (PCA). Although the variation between subgroups was relatively small (PC1, 11%), *MYCN*^{HighRatio}



Figure 29. Differential gene expression analyses between *MYCN*^{RatioHigh} **and** *MYC*^{RatioHigh} **TNBC.** (**A**) Number of differentially expressed genes between a percentage (1-10) of TNBC samples in the TNBC587 dataset selected at random (simulation, black) versus samples selected for high expression of either MYC-family isoform (*MYCN* or *MYC*) relative to each other (top percentage, red). The dotted vertical lines represent the percent of samples from TNBC587 with the highest number of differentially expressed genes between samples with high *MYCN* expression relative to *MYC* expression (*MYCN*RatioHigh) versus high *MYC* expression relative to *MYCN* expression (*MYCN*RatioHigh). These samples are highlighted in Panel B. (**B**) The top seven percent of *MYCN*RatioHigh (red) and *MYC*RatioHigh (blue) samples in the TNBC587 dataset and (**C**) corresponding differentially expressed genes. (**D**) Principal component analysis and (**E**) geneset enrichment analyses (GSEA) from statistically significant differentially expressed genes from samples described in Part A and B.

and *MYC*^{HighRatio} tumors clustered apart from each other, indicating tumors within each respective group have a greater similarity to each other than they do to tumors of the opposing group (Figure 32D). We show in Chapter III that MYCN is elevated in M-subtype TNBC, a subtype that associates with higher expression of genes involved in cell motility and EMT (97). Consistent with this result, GSEA between *MYCN*^{HighRatio} and *MYC*^{HighRatio} tumors demonstrated an association between *MYCN* expression and pathways involved in EMT (Hallmark Epithelial to Mesenchymal Transition, p<0.001, false discovery rate [FDR] q=0.009) and invasion (Anastassiou Multicancer Invasiveness Signature p<0.0001, FDR q<0.001) (Figure 32E). Similarly, *MYCN* expression has also been associated with EMT in neuroendocrine prostate cancer (*256*). Of note, MEK signaling is up in *MYCN*^{HighRatio} tumors compared to *MYC*^{HighRatio} tumors (El-Ashry MEK Up V1 Up p<0.001, FDR q=<0.001), providing more rationale to select a MEKi in combination with BETi to target MYCN-expressing TNBC (d.n.s.).

In Chapter III, we demonstrated that the majority of individual MYCN-expressing cells have little, if any, detectable expression of MYC. When analyzing *MYCN* expression across patient-matched primary and metastatic TNBC tumor samples, we found *MYCN* was elevated or similarly expressed between the primary tumor and sites of metastasis, indicating individual *MYCN*-expressing tumor cells may be able to migrate to distal sites. By evaluating gene expression in a publicly available RNA-seq dataset generated from circulating tumor cell (CTC) clusters *(347)*, we found one of ten breast cancer patients harbored *MYCN*-expressing CTC clusters. In a second RNA-seq dataset generated from CTC cluster cell cultures (from several of the same patients) *(395)*, we detected *MYCN*-expression in three of the six CTC cultures sequenced. Importantly, only the CTC cultures

that contained *MYCN* expression were able to generate CTC-derived xenografts in mice. In Chapter III, we stained 39 locally-advanced/metastatic TNBC and found over half contained MYCN expression. Collectively, these data reveal (i) similar or elevated *MYCN* expression between patient-matched primary TNBC and associated metastatic lesions, (ii) MYCN protein in tumor cell nuclei within recurrent TNBC, (iii) a positive correlation between MYCN and EMT/invasion through differential gene expression analyses, and (iv) *MYCN* expression within tumor-forming CTC clusters, implicating a role for MYCN in the metastatic progression of breast cancer.

While we demonstrate significance between *MYCN* expression and EMT/invasion through the GSEA, we did not determine a core set of genes responsible for this association. Functional evaluation of candidate genes could help elucidate how and why a small percentage of MYCN-expressing cells are retained within a large fraction of primary and metastatic tumors. Additional studies are needed to confirm the correlation we observed between cultured *MYCN*-expressing CTCs and CDX-forming ability and whether MYCN confers specific tumor cell biology that allows CTCs to exit the bloodstream and proliferate in distal sites in the human body. While we demonstrated the inhibitory effects of combination BETi and MEKi treatment on MYCN-expressing TNBC cell viability, understanding the biology of MYCN-expressing tumor cells and how it contributes to the progression of the disease could open up therapeutic avenues focused on preventing the metastatic spread of tumor cells.

Insights into the differentiation state of MYCN-expressing TNBC

The functional replacement of MYC alleles with the MYCN gene in murine development indicates the MYC-boxes and bHLHZip motif sequence homology between MYC and MYCN is sufficient to enable near-identical cell functions necessary for proper cell growth, survival, signaling, and differentiation of a developing mammal *(180)*. Therefore, differences observed between MYC- and MYCN-expressing cells likely reflect the differentiation state in which each isoform is expressed rather than the differences in MYC/MYCN amino acid sequence-specific structure-functions themselves. The various cell types in which each isoform is expressed have unique chromosomal architectures and sites of euchromatin, and therefore, each MYC-family isoform would be regulated differently based on variations in co-expressed proteins and would have differing target genes based on open chromatin and gene accessibility. MYC- and MYCN-associated drug sensitivities may actually relate to the cell state in which a given isoform regulates genes involved in cell growth and survival.

In Chapter IV, we demonstrated treatment with inhibitors that target the BRD family of transcriptional regulators (i.e. BETis) resulted in increased MYCN-expressing tumor cell growth inhibition compared to MYC-expressing models, both *in vitro* and in mice harboring TNBC PDX models. Previous studies on BRD inhibition have contributed increased effects of BETis to key tissue- or transcription factor-specific associations with enhancers and super-enhancers that mediate a cell state (*373*). Therefore, the increased effects of BETis on MYCN-expressing cells may have more to do with the chromosomal architecture dictating the differentiation state of MYCN-expressing cells than an actual MYCN-specific protein function itself. MYCN has been shown to regulate the transcription

of thousands of genes at both gene promoters, enhancers, and super-enhancers (167, 385, 396). BETi treatments result in displacement of BRD proteins from chromatin, thereby disrupting the transcription of *MYCN* as well as MYCN-mediated transcriptional regulation of its gene targets (397). Cells that exhibit stemness are characterized by increased hypomethylation and a greater percentage of euchromatin (398). Given the association of MYCN with stemness in vertebrate development and in the finding of a MYCN-containing basal/stem cell-like signature discussed above (122, 261), we hypothesize the increase in MYCN-associated BETi-sensitivity is due to the cell state in which MYCN is expressed. Through fingerprinting analyses, we confirmed that our MYCN- and MYC-expressing cell line models originate from the same genetic background. A focus on enhancer RNAs and global transcription from the PRO-seq experiments, coupled with ATAC-seq (assay for transposase-accessible chromatin using sequencing) to assess genome-wide chromatin accessibility, and ChIP-seq (chromatin immunoprecipitation) to determine MYCN binding sites, could aid in the understanding of differing cell states between cells that express either MYCN or MYC.

A recently published pan-cancer study evaluated *MYC* and *MYCN*-associated genetic and epigenetic alterations across numerous cancer types from TCGA, including breast cancer (BRCA dataset) (399), provides a resource for comparative analyses. In the pan-cancer study, pathways enriched in DNA replication and repair, chromatin, cell signaling, and extracellular matrix components were common between *MYC*- and *MYCN*-expressing cancers. *MYC*-expressing cancers were uniquely enriched in transcription, RNA processing, ribosomes, and rRNA pathways, while *MYCN*-expressing tumors were enriched in neuronal gene sets (glutamate receptor function, ligand-gated ion channels,

calcium ion transport, and acetylcholine binding) and developmental pathways. Of importance, several cancer types with the highest *MYCN* expression [LGG (low grade glioma), GBM (glioblastoma multiforme), TGCT (testicular germ cell tumors), and OV (ovarian)] displayed pathway enrichments that were consistent with canonical *MYC* signatures, suggesting tumors with *MYCN* expression above a certain threshold are more similar to *MYC*-driven cancers than to lower *MYCN*-expressing tumors.

Given the pan-cancer association between *MYCN* expression and neuronal gene sets, we analyzed our GSEA results from the previously described *MYCN*^{HighRatio} and *MYC*^{HighRatio} tumors in the context of the neuronal expression. Unlike *MYC*^{HighRatio} tumors, *MYCN*^{HighRatio} tumors exhibited neuro-associated gene expression, with the greatest correlation to gene sets describing axon extensions and ion transport (Table 7A). These results were particularly interesting given that none of the *MYCN*^{HighRatio} tumors were of neuroendocrine histology yet still associated with neuronal gene sets. To determine if the correlation between *MYCN*^{HighRatio} tumors and the neuronal gene sets should be attributed to *MYCN*-expressing tumor cells or tumor-infiltrating stromal/immune cells, we performed GSEA on gene expression from our CAL-51 MYCN^{High} and MYCN^{Low} clonal cell lines described and analyzed in the last two Chapters. Again, only the MYCN^{High} TNBC lines highly correlated with neuronal gene sets that relate to synaptic plasticity and ion channel activity (Table 7B).

While several of the gene sets related to neuronal synaptic transmission, these results may not actually relate to neurons but instead, provides a descriptor of a cell type with elevated cation channel activity. The combined activities of ion channels and transporters create an ionic gradient across cell membranes. All cells present a negative

| Α | A TNBC587 dataset | | | | MYCN RatioHigh | | | MYCRatioHigh | | | |
|---|-------------------|---|------|------|-----------------------|---------|-----|---------------------|---------|--|--|
| | | | | | | FDR | | | FDR | | |
| | | GO geneset name | Size | NES | P-value | Q-value | NES | P-value | Q-value | | |
| | | MOTOR NEURON AXON GUIDANCE | 22 | 2.13 | 0.0018 | 0.0013 | NA | 1.0000 | 1.0000 | | |
| | | NEURON PROJECTION EXTENSION | 48 | 2.09 | <0.0001 | 0.0020 | NA | 1.0000 | 1.0000 | | |
| | | NEURON FATE SPECIFICATION | 22 | 2.08 | <0.0001 | 0.0021 | NA | 1.0000 | 1.0000 | | |
| | | AXON EXTENSION | 34 | 2.08 | <0.0001 | 0.0033 | NA | 1.0000 | 1.0000 | | |
| | | POSITIVE REGULATION OF CALCIUM ION IMPORT | 49 | 2.04 | <0.0001 | 0.0031 | NA | 1.0000 | 1.0000 | | |
| | | NEURON PROJECTION GUIDANCE | 173 | 2.05 | <0.0001 | 0.0043 | NA | 1.0000 | 1.0000 | | |
| | CALCIUM | I ION TRANSMEMBRANE TRANSPORTER ACTIVITY | 99 | 2.01 | <0.0001 | 0.0044 | NA | 1.0000 | 1.0000 | | |
| | | VOLTAGE GATED CALCIUM CHANNEL COMPLEX | 30 | 2.01 | 0.0020 | 0.0058 | NA | 1.0000 | 1.0000 | | |
| | | NEURON RECOGNITION | 29 | 1.99 | <0.0001 | 0.0179 | NA | 1.0000 | 1.0000 | | |
| | | VOLTAGE GATED CATION CHANNEL ACTIVITY | 99 | 1.83 | <0.0001 | 0.0058 | NA | 1.0000 | 1.0000 | | |
| | | VOLTAGE GATED CALCIUM CHANNEL ACTIVITY | 30 | 1.98 | <0.0001 | 0.0059 | NA | 1.0000 | 1.0000 | | |
| | | SPINAL CORD DEVELOPMENT | 82 | 1.99 | <0.0001 | 0.0059 | NA | 1.0000 | 1.0000 | | |
| | | CALCIUM CHANNEL COMPLEX | 46 | 1.95 | <0.0001 | 0.0075 | NA | 1.0000 | 1.0000 | | |
| | | NEURON FATE COMMITMENT | 49 | 1.92 | <0.0001 | 0.0100 | NA | 1.0000 | 1.0000 | | |
| | | SODIUM ION TRANSMEMBRANE TRANSPORT | 71 | 1.87 | <0.0001 | 0.0133 | NA | 1.0000 | 1.0000 | | |

| B CAL-51 clonally-derived cell lines | М | MYCN RatioHigh | | | MYCRatioHigh | | |
|--|------|-----------------------|---------|---------|---------------------|---------|---------|
| | | | | FDR | | | FDR |
| GO geneset name | Size | NES | P-value | Q-value | NES | P-value | Q-value |
| REGULAITON OF NEURONAL SYNAPTIC PLASTICITY | 29 | 1.96 | <0.0001 | 0.2133 | NA | 1.0000 | 1.0000 |
| EXTRACELLULAR LIGAND GATED ION CHANNEL ACTIVITY | 26 | 1.93 | <0.0001 | 0.1099 | NA | 1.0000 | 1.0000 |
| PRESYNAPTIC ACTIVE ZONE | 22 | 1.92 | 0.0021 | 0.0838 | NA | 1.0000 | 1.0000 |
| SYNAPTIC TRANSMISSION GLUTAMATERGIC | 17 | 1.92 | 0.0021 | 0.0760 | NA | 1.0000 | 1.0000 |
| VOLTAGE GATED CATION CHANNEL ACTIVITY | 59 | 1.91 | <0.0001 | 0.0730 | NA | 1.0000 | 1.0000 |
| EXCITATORY EXTRACELLULAR LIGAND GATED ION CHANNEL ACTIVITY | 24 | 1.91 | <0.0001 | 0.0652 | NA | 1.0000 | 1.0000 |
| AMPA GLUTAMATE RECEPTOR COMPLEX | 15 | 1.84 | 0.0045 | 0.1217 | NA | 1.0000 | 1.0000 |
| VOLTAGE GATED CALCIUM CHANNEL ACTIVITY | 20 | 1.80 | <0.0001 | 0.1655 | NA | 1.0000 | 1.0000 |
| CATION CHANNEL ACTIVITY | 148 | 1.80 | <0.0001 | 0.1499 | NA | 1.0000 | 1.0000 |
| PRESYNAPTIC PROCESS INVOLVED IN SYNAPTIC TRANSMISSION | 71 | 1.78 | <0.0001 | 0.1543 | NA | 1.0000 | 1.0000 |
| REGULATION OF NEUROTRANSMITTER RECEPTOR ACTIVITY | 18 | 1.75 | 0.0043 | 0.2134 | NA | 1.0000 | 1.0000 |
| MODULATION OF EXCITATORY POSTSYNAPTION PROTENTIAL | 19 | 1.74 | 0.0045 | 0.2082 | NA | 1.0000 | 1.0000 |
| REGULATION OF SYNAPTIC VESICLE TRANSPORT | 28 | 1.74 | 0.0044 | 0.2028 | NA | 1.0000 | 1.0000 |
| NEUROTRANSMITTER RECEPTOR ACTIVITY | 25 | 1.71 | 0.0071 | 0.2400 | NA | 1.0000 | 1.0000 |
| NEURON SYNAPTIC TRANSMISSION | 29 | 1.71 | 0.0048 | 0.2271 | NA | 1.0000 | 1.0000 |

Table 7. Neuronal gene set pathway enrichments in $MYCN^{RatioHigh}$ TNBC tumor specimens and CAL-51 clonal cell lines. (A-B) GSEA between $MYCN^{RatioHigh}$ and $MYC^{RatioHigh}$ TNBC samples in the TNBC587 dataset (A) and CAL-51 clonally-derived cell lines (B). GO, gene ontology. NES, normalized enrichment score. NA, not applicable.

intracellular electric charge called transmembrane potential (Vm) (400). Depolarization, the transient decrease of Vm, and repolarization, the transient increase of Vm, corresponds to cell cycle checkpoints and is critical for cell cycle progression in different cell types (400). Several breast cancer studies have demonstrated transient depolarization initiates key signaling pathways that result in re-entry into the cell cycle, which enables malignant proliferation (401).

The ion channel that predominates our GSEA results from both the TNBC587 dataset and our CAL-51 clonal cell line models involves the cation, calcium (Table 7, A and B). Calcium is a well-established signaling molecule prevalent in both normal physiology and pathological conditions. Finely controlled calcium gradients across the cell surface as well as the endoplasmic reticulum contribute to a diverse range of cellular processes, such as gene expression, proliferation, cell growth, apoptosis, and migration (402, 403) and have been linked to metastasis and invasion for many cancer cell types, including breast cancer (404–406). Metabolomic studies evaluating the tumor cell media and plasma from patients with high MYCN-expressing disease could aid in the understanding of how these transport ions contribute to tumor cell function. With growing interest in the cancer research field on ion channel activity, pharmacological agents are being repurposed to investigate new therapeutic strategies. Studies have demonstrated that calcium channel blockers decreased proliferative tumor cell growth and voltage-gated Na+ channel inhibitors decreased migration and invasion (407). Analyzing the presence, activity, and biological relevance of ion channels in MYCN-expressing tumors could enable additional avenues for therapeutic intervention.

MYCN expression in the normal mammary gland

To better understand the pathology of breast cancer progression and how deregulated HR signaling results in tumorigenesis, significant effort has been given towards determining the physiological roles of proto-oncoproteins, ER and PR, in normal mammary gland function. Likewise, understanding the role of MYCN in normal breast tissue could aid in an understanding to how cells that express this protein contribute to the onset or progression of breast cancer. In adult female humans and mice, the mammary gland contains continuous bilayers of epithelial cells structured as a network of ducts that branch out from a central duct and terminate at clustered alveolae or 'terminal ductal lobular units' (TDLU) (408). Nearly 95% of human breast malignancies are adenocarcinomas that stem from the inner (luminal) layer of epithelial cells within ducts (ductal carcinomas) or at TDLUs (lobular carcinomas) (409). The outer layer of cells are considered basal myoepithelial cells and express contractile proteins such as myosin and smooth muscle actin that enable vessel constriction and movement of secretions (i.e. milk) along the duct (408).

The only evidence to date that indicates a presence of *MYCN* expression in normal breast tissue is from the previously-described study that characterized normal and tumor cell differentiation states over the course of TNBC disease progression (261). Multiplex gene expression analyses were conducted on individual cells from normal breast epithelium using a 116 gene panel that associated with EMT, stemness, pluripotency, dormancy, mammary lineage specification, cell cycle, and proliferation (261). *MYCN* expression associated with basal cell gene expression and stemness (261), suggesting *MYCN* is expressed in the myoepithelium. While myoepithelial cells were once neglected

as being an essential part of breast cancer biology, we now know that myoepithelial cells, in general, have extensive stem cell-like properties, with ~65% of single-cell derived basal colonies capable of repopulating a mammary gland (410). They also act as "natural tumor suppressors" by establishing epithelial cell polarity and in turn, inhibiting tumor formation and cell migration (411).

Through the use of fluorescence lineage tracing, a seminal paper by Rios and colleagues elegantly revealed the existence of clonal epithelial patches of mammary stem cells (MaSC) that have both luminal and myoepithelial cell characteristics and stem from a primitive basal-cell precursor (412). MaSCs were capable of long-lived self-renewal and through asymmetric division, contributed to the stem-cell population that maintains ducts (412). ER and PR are generally co-expressed in 10-15% of human luminal breast epithelial cells and are evenly distributed across the mammary gland (413). While ER is required for elongation of the mammary duct during puberty, PR is essential for epithelial cell proliferation of ductal side branches and alveologenesis during pregnancy (21, 414). If MYCN expression contributes to mammary gland development, as it does with the hematopoietic system, skeletal tissue, and intestinal tract in murine development, we would hypothesize MYCN to have a higher expression at the onset of mammary gland organogenesis and possibly during puberty and pregnancy when the mammary duct and ductal side branches are extending. A better understanding of MYCN expression in normal breast tissue could aid in our understanding of its aberrant expression in TNBC and the identification of pathways or chromatin states that may be "targets" for drug development strategies.

Concluding Remarks

TNBC is a heterogeneous collection of tumors that lack the expression of therapeutic targets that direct treatment options for the other breast cancer subtypes; therefore, cytotoxic chemotherapy remains the primary means in which to treat patients with primary TNBC. With >70% of patients progressing or recurring upon treatment, patients with TNBC experience a shorter time to relapse and worse survival outcome compared to the other breast cancer subtypes. While PARP inhibitors and monoclonal antibodies are used to target BRCA-mutant and unresectable PD-L1-expressing disease, respectively, new therapeutic targets are needed to improve outcomes for patients with TNBC. Given that MYCN is an unfavorable prognostic feature detected in a wide variety of neuronal and non-neuronally-derived tumors, we sought to determine the prevalence of MYCN expression in TNBC and whether MYCN-expressing tumors represented a tumor population to direct clinical efforts. The work presented herein begins to characterize the phenotypic properties and drug sensitivities of this unique tumor type within TNBC and will hopefully lead to additional therapeutic options for patients with TNBC.

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